

# Grammar-based tree compression: combinatorics and algorithms

Markus Lohrey

Universität Siegen

June 20, 2024

# What is grammar-based compression?

# What is grammar-based compression?

Grammar-based compression origins in **string (text) compression**.

# What is grammar-based compression?

Grammar-based compression origins in **string (text) compression**.

Idea: Compress a string  $s$  by a **context-free grammar** that only produces  $s$   
(Storer, Szymansk 1982)

# What is grammar-based compression?

Grammar-based compression origins in **string (text) compression**.

Idea: Compress a string  $s$  by a **context-free grammar** that only produces  $s$   
(Storer, Szymansk 1982)

## Definition (straight-line program – SLP)

An **SLP** is a context-free grammar  $\mathcal{G}$  in Chomsky normal form that derives a unique word that is denoted by  $\text{val}(\mathcal{G})$ .

# What is grammar-based compression?

Grammar-based compression origins in **string (text) compression**.

Idea: Compress a string  $s$  by a **context-free grammar** that only produces  $s$   
(Storer, Szymansk 1982)

## Definition (straight-line program – SLP)

An **SLP** is a context-free grammar  $\mathcal{G}$  in Chomsky normal form that derives a unique word that is denoted by  $\text{val}(\mathcal{G})$ .

- ▶ For every variable  $A$  there is a unique production of the form  $A \rightarrow BC$  or  $A \rightarrow a$ , and
- ▶ there are no cycles in derivations.

# What is grammar-based compression?

Grammar-based compression origins in **string (text) compression**.

Idea: Compress a string  $s$  by a **context-free grammar** that only produces  $s$  (Storer, Szymansk 1982)

## Definition (straight-line program – SLP)

An **SLP** is a context-free grammar  $\mathcal{G}$  in Chomsky normal form that derives a unique word that is denoted by  $\text{val}(\mathcal{G})$ .

- ▶ For every variable  $A$  there is a unique production of the form  $A \rightarrow BC$  or  $A \rightarrow a$ , and
- ▶ there are no cycles in derivations.

The **size** of  $\mathcal{G}$  is the number of variables (= number of productions).

## Example of an SLP

$A \rightarrow BC, B \rightarrow CD, C \rightarrow DE, D \rightarrow EF, E \rightarrow b, F \rightarrow a$



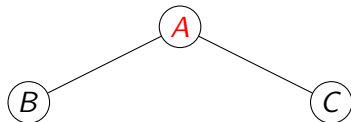
## Example of an SLP

$A \rightarrow BC, B \rightarrow CD, C \rightarrow DE, D \rightarrow EF, E \rightarrow b, F \rightarrow a$

$A$

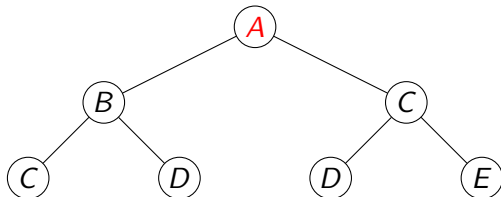
## Example of an SLP

$A \rightarrow BC$ ,  $B \rightarrow CD$ ,  $C \rightarrow DE$ ,  $D \rightarrow EF$ ,  $E \rightarrow b$ ,  $F \rightarrow a$



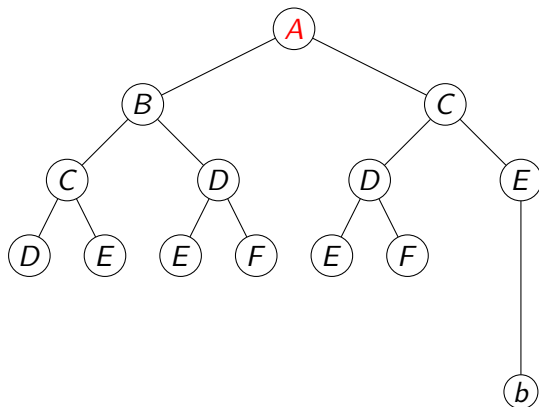
## Example of an SLP

$A \rightarrow BC$ ,  $B \rightarrow CD$ ,  $C \rightarrow DE$ ,  $D \rightarrow EF$ ,  $E \rightarrow b$ ,  $F \rightarrow a$



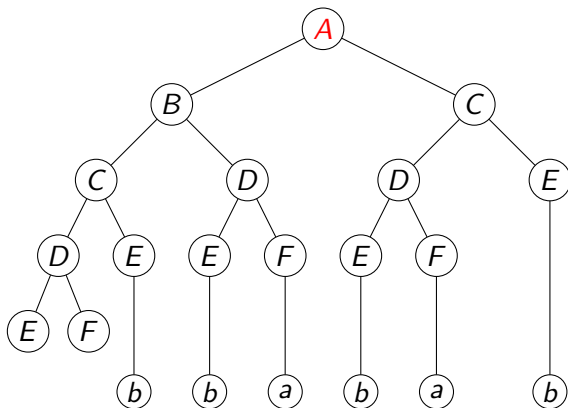
## Example of an SLP

$A \rightarrow BC$ ,  $B \rightarrow CD$ ,  $C \rightarrow DE$ ,  $D \rightarrow EF$ ,  $E \rightarrow b$ ,  $F \rightarrow a$



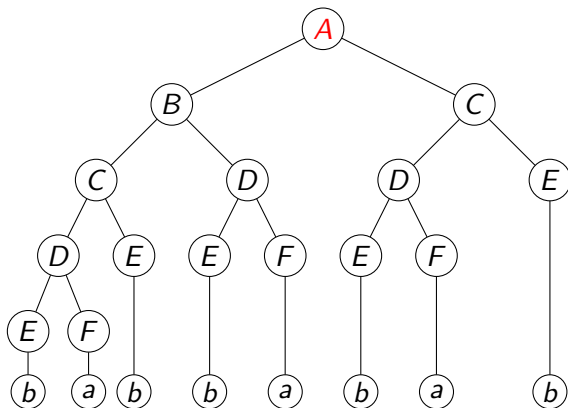
## Example of an SLP

$A \rightarrow BC$ ,  $B \rightarrow CD$ ,  $C \rightarrow DE$ ,  $D \rightarrow EF$ ,  $E \rightarrow b$ ,  $F \rightarrow a$



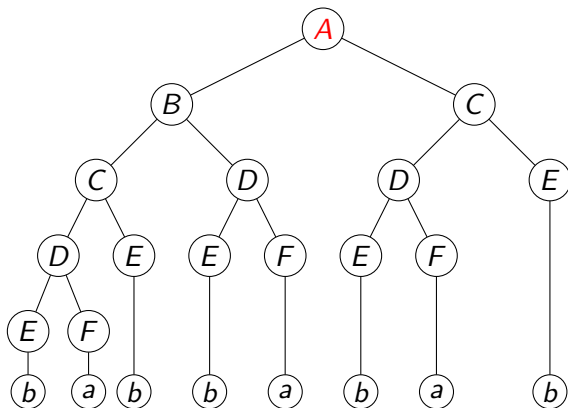
## Example of an SLP

$A \rightarrow BC$ ,  $B \rightarrow CD$ ,  $C \rightarrow DE$ ,  $D \rightarrow EF$ ,  $E \rightarrow b$ ,  $F \rightarrow a$



## Example of an SLP

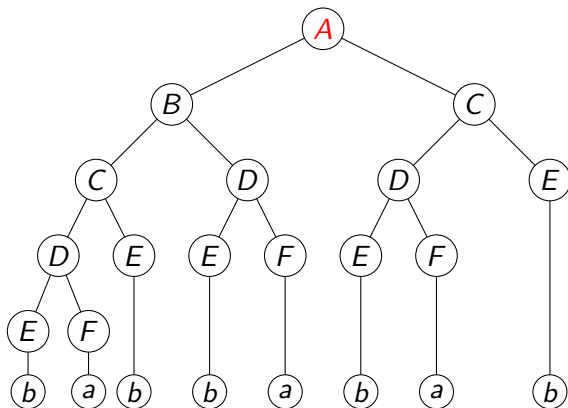
$A \rightarrow BC$ ,  $B \rightarrow CD$ ,  $C \rightarrow DE$ ,  $D \rightarrow EF$ ,  $E \rightarrow b$ ,  $F \rightarrow a$



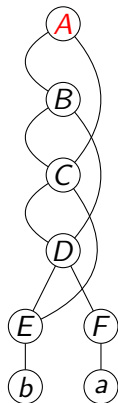
$\text{val}(\mathcal{G}) = \text{babbabab}$      $|\mathcal{G}| = 6$

## Example of an SLP

$A \rightarrow BC$ ,  $B \rightarrow CD$ ,  $C \rightarrow DE$ ,  $D \rightarrow EF$ ,  $E \rightarrow b$ ,  $F \rightarrow a$



$\text{val}(\mathcal{G}) = \text{babbabab}$      $|\mathcal{G}| = 6$





## Grammar-based string compression

An SLP  $\mathcal{G}$  can be seen as a compressed representation of  $\text{val}(\mathcal{G})$ .

## Grammar-based string compression

An SLP  $\mathcal{G}$  can be seen as a compressed representation of  $\text{val}(\mathcal{G})$ .

**Grammar-based compressor** = algorithm that computes from a given word  $w$  a hopefully small SLP  $\mathcal{G}$  with  $\text{val}(\mathcal{G}) = w$ .

## Grammar-based string compression

An SLP  $\mathcal{G}$  can be seen as a compressed representation of  $\text{val}(\mathcal{G})$ .

**Grammar-based compressor** = algorithm that computes from a given word  $w$  a hopefully small SLP  $\mathcal{G}$  with  $\text{val}(\mathcal{G}) = w$ .

Examples: LZ78, BiSection, RePair, Sequitur, ...

## Grammar-based string compression

An SLP  $\mathcal{G}$  can be seen as a compressed representation of  $\text{val}(\mathcal{G})$ .

**Grammar-based compressor** = algorithm that computes from a given word  $w$  a hopefully small SLP  $\mathcal{G}$  with  $\text{val}(\mathcal{G}) = w$ .

Examples: LZ78, BiSection, RePair, Sequitur, ...

Let  $w \in \Sigma^*$  be a word of length  $n$  and  $\sigma = |\Sigma|$ .

## Grammar-based string compression

An SLP  $\mathcal{G}$  can be seen as a compressed representation of  $\text{val}(\mathcal{G})$ .

**Grammar-based compressor** = algorithm that computes from a given word  $w$  a hopefully small SLP  $\mathcal{G}$  with  $\text{val}(\mathcal{G}) = w$ .

Examples: LZ78, BiSection, RePair, Sequitur, ...

Let  $w \in \Sigma^*$  be a word of length  $n$  and  $\sigma = |\Sigma|$ .

Let  $\text{opt}(w)$  be the size of a smallest SLP for  $w$ .

## Grammar-based string compression

An SLP  $\mathcal{G}$  can be seen as a compressed representation of  $\text{val}(\mathcal{G})$ .

**Grammar-based compressor** = algorithm that computes from a given word  $w$  a hopefully small SLP  $\mathcal{G}$  with  $\text{val}(\mathcal{G}) = w$ .

Examples: LZ78, BiSection, RePair, Sequitur, ...

Let  $w \in \Sigma^*$  be a word of length  $n$  and  $\sigma = |\Sigma|$ .

Let  $\text{opt}(w)$  be the size of a smallest SLP for  $w$ .

Lower bound:  $\text{opt}(w) \geq \log_2 n$

## Grammar-based string compression

An SLP  $\mathcal{G}$  can be seen as a compressed representation of  $\text{val}(\mathcal{G})$ .

**Grammar-based compressor** = algorithm that computes from a given word  $w$  a hopefully small SLP  $\mathcal{G}$  with  $\text{val}(\mathcal{G}) = w$ .

Examples: LZ78, BiSection, RePair, Sequitur, ...

Let  $w \in \Sigma^*$  be a word of length  $n$  and  $\sigma = |\Sigma|$ .

Let  $\text{opt}(w)$  be the size of a smallest SLP for  $w$ .

Lower bound:  $\text{opt}(w) \geq \log_2 n$

Berstel, Brlek 1987

$\text{opt}(w) \leq \mathcal{O}\left(\frac{n}{\log_\sigma n}\right)$  (assuming  $\sigma \geq 2$ ).

# Computing small SLPs

## The smallest grammar problem

INPUT: A word  $w$

OUTPUT: An SLP  $\mathcal{G}$  for  $w$  of size  $\text{opt}(w)$



# Computing small SLPs

## The smallest grammar problem

INPUT: A word  $w$

OUTPUT: An SLP  $\mathcal{G}$  for  $w$  of size  $\text{opt}(w)$

## Charikar et al. 2002

The smallest grammar problem cannot be solved in polynomial time unless  $P = NP$ .

# Computing small SLPs

## The smallest grammar problem

INPUT: A word  $w$

OUTPUT: An SLP  $\mathcal{G}$  for  $w$  of size  $\text{opt}(w)$

## Charikar et al. 2002

The smallest grammar problem cannot be solved in polynomial time unless  $P = NP$ .

Even worse: Unless  $P = NP$ , there is no polynomial time algorithm that produces for every word  $w$  an SLP of size  $8569/8568 \cdot \text{opt}(w)$ .

# Computing small SLPs

## The smallest grammar problem

INPUT: A word  $w$

OUTPUT: An SLP  $\mathcal{G}$  for  $w$  of size  $\text{opt}(w)$

## Charikar et al. 2002

The smallest grammar problem cannot be solved in polynomial time unless  $P = NP$ .

Even worse: Unless  $P = NP$ , there is no polynomial time algorithm that produces for every word  $w$  an SLP of size  $8569/8568 \cdot \text{opt}(w)$ .

## Charikar et al. 2002, Rytter 2004, Jez 2013

There is a linear time algorithm that produces for every word  $w$  of length  $n$  an SLP of size at most  $\mathcal{O}(\log(n) \cdot \text{opt}(w))$ .

# Balancing straight-line program

Ganardi, Jež, L 2021

From a given SLP  $\mathcal{G}$  of size  $n$  such that  $w := \text{val}(\mathcal{G})$  has length  $N$ , one can compute in time  $\mathcal{O}(n)$  an SLP  $\mathcal{H}$  such that:

- ▶  $\text{val}(\mathcal{H}) = \text{val}(\mathcal{G})$
- ▶  $|\mathcal{H}| \in \mathcal{O}(n)$
- ▶  $\text{depth}(\mathcal{H}) \in \mathcal{O}(\log N)$

# Balancing straight-line program

Ganardi, Jež, L 2021

From a given SLP  $\mathcal{G}$  of size  $n$  such that  $w := \text{val}(\mathcal{G})$  has length  $N$ , one can compute in time  $\mathcal{O}(n)$  an SLP  $\mathcal{H}$  such that:

- ▶  $\text{val}(\mathcal{H}) = \text{val}(\mathcal{G})$
- ▶  $|\mathcal{H}| \in \mathcal{O}(n)$
- ▶  $\text{depth}(\mathcal{H}) \in \mathcal{O}(\log N)$

**Corollary:** random access in logarithmic time on compressed words.

# Balancing straight-line program

Ganardi, Jež, L 2021

From a given SLP  $\mathcal{G}$  of size  $n$  such that  $w := \text{val}(\mathcal{G})$  has length  $N$ , one can compute in time  $\mathcal{O}(n)$  an SLP  $\mathcal{H}$  such that:

- ▶  $\text{val}(\mathcal{H}) = \text{val}(\mathcal{G})$
- ▶  $|\mathcal{H}| \in \mathcal{O}(n)$
- ▶  $\text{depth}(\mathcal{H}) \in \mathcal{O}(\log N)$

**Corollary:** random access in logarithmic time on compressed words.

From a given SLP  $\mathcal{G}$  one can build in linear time a data structure that allows to solve for  $w = \text{val}(\mathcal{G})$  the following problem in time  $\mathcal{O}(\log |w|)$ :

- ▶ Input: a position  $i \in [1, |w|]$
- ▶ Output: the  $i$ -th symbol of  $w$ .

# Balancing straight-line program

Ganardi, Jež, L 2021

From a given SLP  $\mathcal{G}$  of size  $n$  such that  $w := \text{val}(\mathcal{G})$  has length  $N$ , one can compute in time  $\mathcal{O}(n)$  an SLP  $\mathcal{H}$  such that:

- ▶  $\text{val}(\mathcal{H}) = \text{val}(\mathcal{G})$
- ▶  $|\mathcal{H}| \in \mathcal{O}(n)$
- ▶  $\text{depth}(\mathcal{H}) \in \mathcal{O}(\log N)$

**Corollary:** random access in logarithmic time on compressed words.

From a given SLP  $\mathcal{G}$  one can build in linear time a data structure that allows to solve for  $w = \text{val}(\mathcal{G})$  the following problem in time  $\mathcal{O}(\log |w|)$ :

- ▶ Input: a position  $i \in [1, |w|]$
- ▶ Output: the  $i$ -th symbol of  $w$ .

Has been shown by Bille et al. using several complicated data structures.

# Tree compression I: directed acyclic graphs

Fix an alphabet  $\Gamma$  of symbols.



# Tree compression I: directed acyclic graphs

Fix an alphabet  $\Gamma$  of symbols.

We consider **rooted trees**, where nodes are **labelled** with symbols from  $\Gamma$ , and every node has arbitrarily many children that are **ordered**.

# Tree compression I: directed acyclic graphs

Fix an alphabet  $\Gamma$  of symbols.

We consider **rooted trees**, where nodes are **labelled** with symbols from  $\Gamma$ , and every node has arbitrarily many children that are **ordered**.

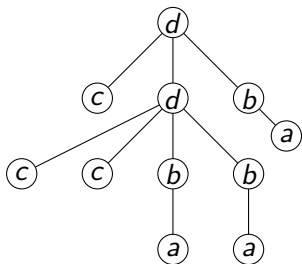
**Directed acyclic graphs (DAGs)** are the standard way to compress trees.

## Tree compression I: directed acyclic graphs

Fix an alphabet  $\Gamma$  of symbols.

We consider **rooted trees**, where nodes are **labelled** with symbols from  $\Gamma$ , and every node has arbitrarily many children that are **ordered**.

**Directed acyclic graphs (DAGs)** are the standard way to compress trees.

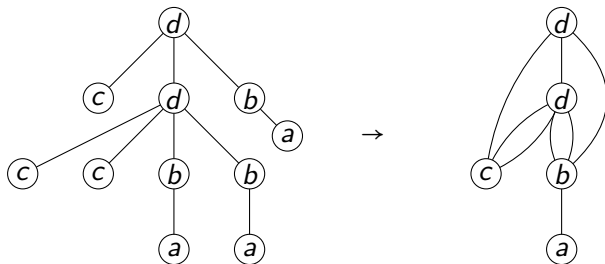


## Tree compression I: directed acyclic graphs

Fix an alphabet  $\Gamma$  of symbols.

We consider **rooted trees**, where nodes are **labelled** with symbols from  $\Gamma$ , and every node has arbitrarily many children that are **ordered**.

**Directed acyclic graphs (DAGs)** are the standard way to compress trees.



## DAGs and tree grammars

A DAG can be seen as a **regular tree grammar**:

## DAGs and tree grammars

A DAG can be seen as a **regular tree grammar**:

- ▶ The nodes of the DAG are nonterminals of the grammar

## DAGs and tree grammars

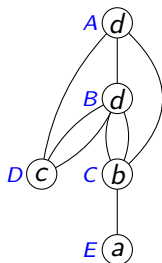
A DAG can be seen as a **regular tree grammar**:

- ▶ The nodes of the DAG are nonterminals of the grammar
- ▶ Productions are of the form  $A \rightarrow a(A_1, A_2, \dots, A_k)$ .

## DAGs and tree grammars

A DAG can be seen as a **regular tree grammar**:

- ▶ The nodes of the DAG are nonterminals of the grammar
- ▶ Productions are of the form  $A \rightarrow a(A_1, A_2, \dots, A_k)$ .

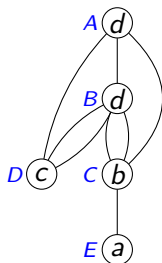




## DAGs and tree grammars

A DAG can be seen as a **regular tree grammar**:

- ▶ The nodes of the DAG are nonterminals of the grammar
- ▶ Productions are of the form  $A \rightarrow a(A_1, A_2, \dots, A_k)$ .



$$A \rightarrow d(D, B, C)$$

$$B \rightarrow d(D, D, C, C)$$

$$C \rightarrow b(E)$$

$$D \rightarrow c$$

$$E \rightarrow a$$

# Minimal DAGs

Clearly, every tree has a unique **minimal DAG**: merge nodes in which isomorphic subtrees are rooted as long as possible.

Downey, Sethi, Tarjan 1980

For a given tree, its minimal DAG can be computed in linear time.

# DAGs and asymptotic combinatorics

Bousquet-Mélou, L, Maneth, Noeth 2015

The average number of nodes of the minimal DAG for a uniformly chosen tree of size  $n$  with  $k = |\Gamma|$  node labels is

$$\sqrt{\frac{\ln(4k)}{\pi}} \cdot \frac{n}{\sqrt{\ln n}} \cdot (1 + o(1)).$$

- ▶ Extends a result of Flajolet, Sipala and Steyaert for binary unlabelled trees.
- ▶ Similar results that apply to certain classes of random tree models were recently shown by Seelbach-Benkner and Wagner.

## Tree compression II: forest straight-line programs

Let's consider forests = ordered sequences of trees.

## Tree compression II: forest straight-line programs

Let's consider forests = ordered sequences of trees.

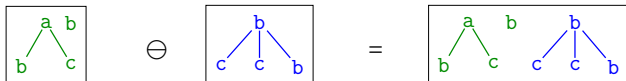
There are two operations for constructing forests:

## Tree compression II: forest straight-line programs

Let's consider forests = ordered sequences of trees.

There are two operations for constructing forests:

Horizontal  
concatenation:

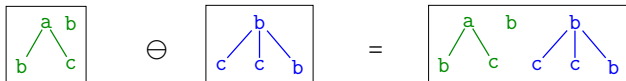


# Tree compression II: forest straight-line programs

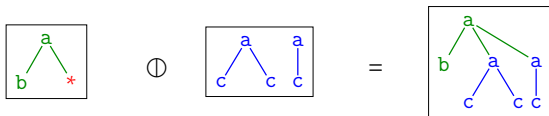
Let's consider forests = ordered sequences of trees.

There are two operations for constructing forests:

Horizontal  
concatenation:



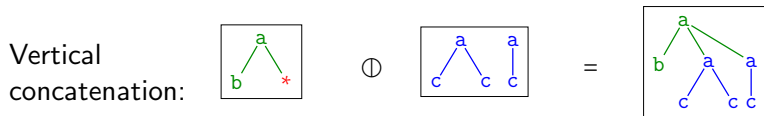
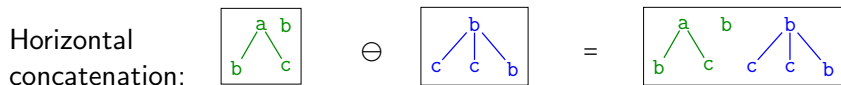
Vertical  
concatenation:



## Tree compression II: forest straight-line programs

Let's consider forests = ordered sequences of trees.

There are two operations for constructing forests:



A **(forest) context** is a forest, where exactly one leaf is labelled with the special symbol  $* \notin \Gamma$ .



## Forest algebra expressions

Forests and forest contexts can be also written as parenthesized expressions:

- ▶ A forest :  $a(bc) b(b(c c a) a) a$
- ▶ A forest context:  $a(bc) b(b(c c *) a) a$

Then we have

- ▶  $F \ominus G = F G$
- ▶  $F \oplus G = F[* \rightarrow G]$

A **forest algebra expression** is an expression that is built from the constants

- ▶  $a$  and  $a_* := a(*)$  for  $a \in \Gamma$  and
- ▶ the binary operations  $\ominus$  and  $\oplus$ .

Expressions must be **well-typed** ( $F$  = type of forests,  $C$  = type of contexts):

## Forest algebra expressions

Forests and forest contexts can be also written as parenthesized expressions:

- ▶ A forest :  $a(bc) b(b(c c a) a) a$
- ▶ A forest context:  $a(bc) b(b(c c *) a) a$

Then we have

- ▶  $F \ominus G = F G$
- ▶  $F \oplus G = F[* \rightarrow G]$

A **forest algebra expression** is an expression that is built from the constants

- ▶  $a$  and  $a_* := a(*)$  for  $a \in \Gamma$  and
- ▶ the binary operations  $\ominus$  and  $\oplus$ .

Expressions must be **well-typed** ( $F$  = type of forests,  $C$  = type of contexts):

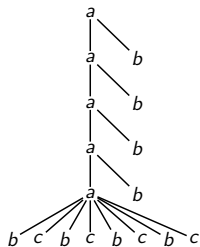
$F \ominus F$ ,  $F \ominus C$ ,  $C \ominus F$ ,  $C \oplus F$  and  $C \oplus C$  are allowed.

## Forest straight-line programs

A **forest straight-line program (FSLP)** is a forest algebra expression that is represented as a DAG (Gascon, L, Maneth, Reh, Sieber 2018).

## Forest straight-line programs

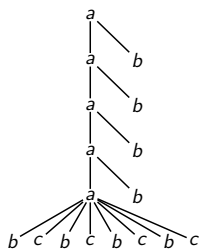
A **forest straight-line program (FSLP)** is a forest algebra expression that is represented as a DAG (Gascon, L, Maneth, Reh, Sieber 2018).



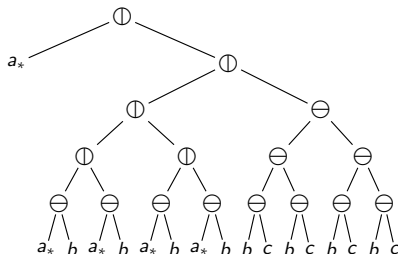
forest  $F$

## Forest straight-line programs

A **forest straight-line program (FSLP)** is a forest algebra expression that is represented as a DAG (Gascon, L, Maneth, Reh, Sieber 2018).



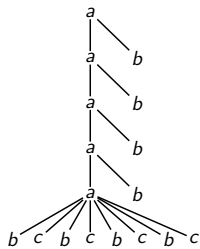
forest  $F$



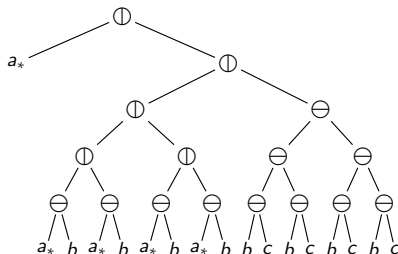
forest algebra expression for  $F$

## Forest straight-line programs

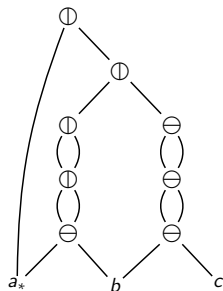
A **forest straight-line program (FSLP)** is a forest algebra expression that is represented as a DAG (Gascon, L, Maneth, Reh, Sieber 2018).



forest  $F$



forest algebra expression for  $F$



FSLP for  $F$



# Forest straight-line programs

- ▶ Two related formalisms:



# Forest straight-line programs

- ▶ Two related formalisms:
  - ▶ tree straight-line programs (Bussato, L, Maneth 2005):  
for node-labelled binary trees

# Forest straight-line programs

- ▶ Two related formalisms:
  - ▶ tree straight-line programs (Bussato, L, Maneth 2005):  
for node-labelled binary trees
  - ▶ top DAGs (Bille, Gørtz, Landau, Weimann 2013):  
very similar to FSLPs
- ▶ A (string) SLP is an FSLP that only uses the constants  $a$  for  $a \in \Gamma$  and the operation  $\ominus$ .

Such an FSLP produces a forest consisting of a chain of singleton trees.

## Small FSLPs always exist

Hucke, L, Noeth 2014

There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

# Small FSLPs always exist

Hucke, L, Noeth 2014

There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

## Proof idea:

1. Partition the input forest  $F$  of size  $n$  into  $\Theta\left(\frac{n}{\ell}\right)$  many subforests and subcontexts of size in  $[\ell, 2\ell]$ .

# Small FSLPs always exist

Hucke, L, Noeth 2014

There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

## Proof idea:

1. Partition the input forest  $F$  of size  $n$  into  $\Theta\left(\frac{n}{\ell}\right)$  many subforests and subcontexts of size in  $[\ell, 2\ell]$ .
2. One can choose  $\ell = \Theta(\log_k n)$  such that the total number of forests and contexts of size in  $[\ell, 2\ell]$  is  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

# Small FSLPs always exist

Hucke, L, Noeth 2014

There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

## Proof idea:

1. Partition the input forest  $F$  of size  $n$  into  $\Theta\left(\frac{n}{\ell}\right)$  many subforests and subcontexts of size in  $[\ell, 2\ell]$ .
2. One can choose  $\ell = \Theta(\log_k n)$  such that the total number of forests and contexts of size in  $[\ell, 2\ell]$  is  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .
3. The FSLP consists of two parts, both of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ :

# Small FSLPs always exist

Hucke, L, Noeth 2014

There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

## Proof idea:

1. Partition the input forest  $F$  of size  $n$  into  $\Theta\left(\frac{n}{\ell}\right)$  many subforests and subcontexts of size in  $[\ell, 2\ell]$ .
2. One can choose  $\ell = \Theta(\log_k n)$  such that the total number of forests and contexts of size in  $[\ell, 2\ell]$  is  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .
3. The FSLP consists of two parts, both of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ :
  - ▶ A forest algebra expression for the forest obtained by contracting the subforests and subcontexts from 1.

# Small FSLPs always exist

Hucke, L, Noeth 2014

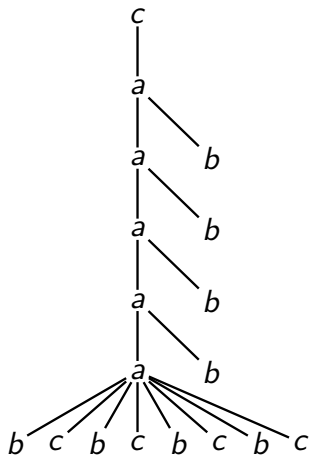
There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

## Proof idea:

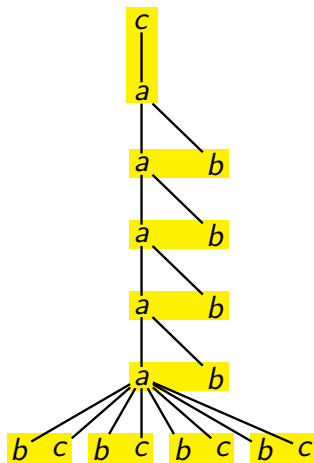
1. Partition the input forest  $F$  of size  $n$  into  $\Theta\left(\frac{n}{\ell}\right)$  many subforests and subcontexts of size in  $[\ell, 2\ell]$ .
2. One can choose  $\ell = \Theta(\log_k n)$  such that the total number of forests and contexts of size in  $[\ell, 2\ell]$  is  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .
3. The FSLP consists of two parts, both of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ :
  - ▶ A forest algebra expression for the forest obtained by contracting the subforests and subcontexts from 1.
  - ▶ A DAG producing the subforests and subcontexts from 1.



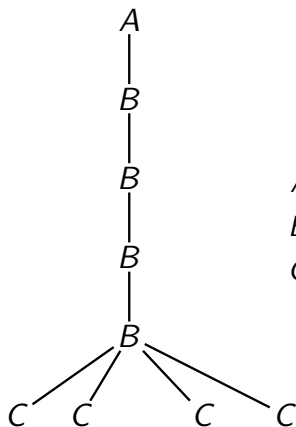
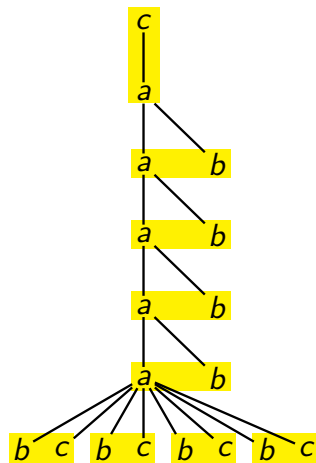
## Small FSLPs always exist



## Small FSLPs always exist



## Small FSLPs always exist

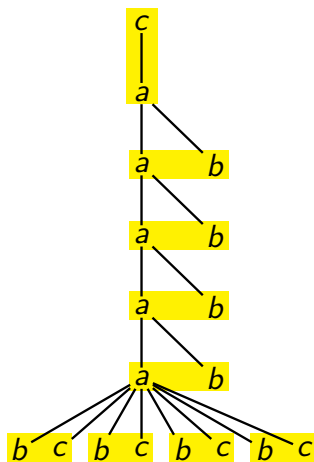


$$A \rightarrow c_* \oplus a_*$$

$$B \rightarrow a_* \ominus b$$

$$C \rightarrow b \ominus c$$

## Small FSLPs always exist



$$X_0 \rightarrow A \oplus X_1$$

$$X_1 \rightarrow B \oplus X_2$$

$$X_2 \rightarrow B \oplus X_3$$

$$X_3 \rightarrow B \oplus X_4$$

$$X_4 \rightarrow B \oplus X_5$$

$$X_5 \rightarrow C \ominus X_6$$

$$X_6 \rightarrow C \ominus X_7$$

$$X_7 \rightarrow C \ominus X_8$$

$$X_7 \rightarrow C \ominus C$$

$$A \rightarrow c_* \oplus a_*$$

$$B \rightarrow a_* \ominus b$$

$$C \rightarrow b \ominus c$$

## Small FSLPs always exist

Hucke, L, Noeth 2014

There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

# Small FSLPs always exist

Hucke, L, Noeth 2014

There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

## Related work:

- ▶ Ganardi, Hucke, L, Seelbach Benkner 2019:  
used for **universal tree coding**

# Small FSLPs always exist

Hucke, L, Noeth 2014

There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

## Related work:

- ▶ Ganardi, Hucke, L, Seelbach Benkner 2019:  
used for **universal tree coding**
- ▶ Munro, Nicholson, Seelbach Benkner, Wild 2021:  
similar two-step approach; universal tree coding + efficient querying

# Small FSLPs always exist

Hucke, L, Noeth 2014

There is an algorithm that produces in linear time from a tree (or forest) of size  $n$  with  $k$  different node labels an FSLP of size  $\mathcal{O}\left(\frac{n}{\log_k n}\right)$ .

## Related work:

- ▶ Ganardi, Hucke, L, Seelbach Benkner 2019:  
used for **universal tree coding**
- ▶ Munro, Nicholson, Seelbach Benkner, Wild 2021:  
similar two-step approach; universal tree coding + efficient querying
- ▶ L, Maneth, Mennicke 2013: **TreeRePair**; a practical algorithm for computing small FSLPs



# The smallest grammar problem for trees

L, Jež 2013

There is a linear time algorithm that produces for every forest  $F$  of size  $n$  an FSLP of size  $O(\log(n) \cdot \text{opt}(F))$ .

# Balancing forest straight-line program

Ganardi, Jež, L 2021

From a given FSLP  $\mathcal{G}$  of size  $n$  such that  $F := \text{val}(\mathcal{G})$  has size  $N$ , one can compute in time  $\mathcal{O}(n)$  an FSLP  $\mathcal{H}$  such that:

- ▶  $\text{val}(\mathcal{H}) = \text{val}(\mathcal{G})$
- ▶  $|\mathcal{H}| \in \mathcal{O}(n)$
- ▶  $\text{depth}(\mathcal{H}) \in \mathcal{O}(\log N)$

# Balancing forest straight-line program

Ganardi, Jež, L 2021

From a given FSLP  $\mathcal{G}$  of size  $n$  such that  $F := \text{val}(\mathcal{G})$  has size  $N$ , one can compute in time  $\mathcal{O}(n)$  an FSLP  $\mathcal{H}$  such that:

- ▶  $\text{val}(\mathcal{H}) = \text{val}(\mathcal{G})$
- ▶  $|\mathcal{H}| \in \mathcal{O}(n)$
- ▶  $\text{depth}(\mathcal{H}) \in \mathcal{O}(\log N)$

**Corollary:** random access in logarithmic time on compressed forests.

# Balancing forest straight-line program

Ganardi, Jež, L 2021

From a given FSLP  $\mathcal{G}$  of size  $n$  such that  $F := \text{val}(\mathcal{G})$  has size  $N$ , one can compute in time  $\mathcal{O}(n)$  an FSLP  $\mathcal{H}$  such that:

- ▶  $\text{val}(\mathcal{H}) = \text{val}(\mathcal{G})$
- ▶  $|\mathcal{H}| \in \mathcal{O}(n)$
- ▶  $\text{depth}(\mathcal{H}) \in \mathcal{O}(\log N)$

**Corollary:** random access in logarithmic time on compressed forests.

From a given FSLP  $\mathcal{G}$  one can built in linear time a data structure that allows to solve for  $F = \text{val}(\mathcal{G})$  the following problem in time  $\mathcal{O}(\log |F|)$ :

- ▶ Input: a preorder number of a node  $v$  in  $F$
- ▶ Output: the label of the node  $v$ .

# FSLPs in database theory

**Goal:** For a given

- ▶ huge tree (e.g. an XML tree structure) that is stored compressed as an FSLP and
- ▶ a query formulated in a suitable query language

we want to enumerate all query results.

# FSLPs in database theory

**Goal:** For a given

- ▶ huge tree (e.g. an XML tree structure) that is stored compressed as an FSLP and
- ▶ a query formulated in a suitable query language

we want to enumerate all query results.

We assume that queries are formulated in **MSO** (monadic 2nd order logic):

# FSLPs in database theory

**Goal:** For a given

- ▶ huge tree (e.g. an XML tree structure) that is stored compressed as an FSLP and
- ▶ a query formulated in a suitable query language

we want to enumerate all query results.

We assume that queries are formulated in **MSO** (monadic 2nd order logic):

- ▶ there are two types of variables:
  - ▶  $x, y, z, x'$  etc. for tree nodes
  - ▶  $X, Y, Z, Z'$  etc. for sets of tree nodes

## FSLPs in database theory

- ▶ atomic formulas ( $x, y$  are node variables,  $X$  is a node set variable):
  - ▶  $x = y$
  - ▶  $x \in X$ ,
  - ▶  $\text{label}(x) = a$  for  $a \in \Gamma$
  - ▶  $\text{parent}(x, y)$  ( $x$  is the parent node of  $y$ )
  - ▶  $\text{leftsibling}(x, y)$  ( $x$  is the left sibling of  $y$ )



## FSLPs in database theory

- ▶ atomic formulas ( $x, y$  are node variables,  $X$  is a node set variable):
  - ▶  $x = y$
  - ▶  $x \in X$ ,
  - ▶  $\text{label}(x) = a$  for  $a \in \Gamma$
  - ▶  $\text{parent}(x, y)$  ( $x$  is the parent node of  $y$ )
  - ▶  $\text{leftsibling}(x, y)$  ( $x$  is the left sibling of  $y$ )
- ▶ larger formulas are constructed from atomic formulas using
  - ▶ boolean operators ( $\neg\phi$ ,  $\phi \wedge \psi$ ,  $\phi \vee \psi$ ) and
  - ▶ quantification ( $\exists x : \phi$ ,  $\forall x : \phi$ ,  $\exists X : \phi$ ,  $\forall X : \phi$ )

## FSLPs in database theory

- ▶ atomic formulas ( $x, y$  are node variables,  $X$  is a node set variable):
  - ▶  $x = y$
  - ▶  $x \in X$ ,
  - ▶  $\text{label}(x) = a$  for  $a \in \Gamma$
  - ▶  $\text{parent}(x, y)$  ( $x$  is the parent node of  $y$ )
  - ▶  $\text{leftsibling}(x, y)$  ( $x$  is the left sibling of  $y$ )
- ▶ larger formulas are constructed from atomic formulas using
  - ▶ boolean operators ( $\neg\phi$ ,  $\phi \wedge \psi$ ,  $\phi \vee \psi$ ) and
  - ▶ quantification ( $\exists x : \phi$ ,  $\forall x : \phi$ ,  $\exists X : \phi$ ,  $\forall X : \phi$ )

Consider now a forest  $F$  and an MSO formula  $\phi(X)$  where  $X$  is the only free variable  $X$  in  $\phi$ .

## FSLPs in database theory

- ▶ atomic formulas ( $x, y$  are node variables,  $X$  is a node set variable):
  - ▶  $x = y$
  - ▶  $x \in X$ ,
  - ▶  $\text{label}(x) = a$  for  $a \in \Gamma$
  - ▶  $\text{parent}(x, y)$  ( $x$  is the parent node of  $y$ )
  - ▶  $\text{leftsibling}(x, y)$  ( $x$  is the left sibling of  $y$ )
- ▶ larger formulas are constructed from atomic formulas using
  - ▶ boolean operators ( $\neg\phi$ ,  $\phi \wedge \psi$ ,  $\phi \vee \psi$ ) and
  - ▶ quantification ( $\exists x : \phi$ ,  $\forall x : \phi$ ,  $\exists X : \phi$ ,  $\forall X : \phi$ )

Consider now a forest  $F$  and an MSO formula  $\phi(X)$  where  $X$  is the only free variable  $X$  in  $\phi$ .

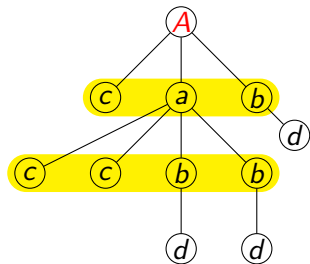
Then **query**( $\phi(X), F$ ) is the sets  $A \subseteq \text{nodes}(F)$  such that  $F \models \phi(A)$ .

## FSLPs in database theory

**Example:**  $\phi = \exists x(\text{label}(x) = a \wedge \forall y : y \in X \leftrightarrow \text{parent}(x, y))$

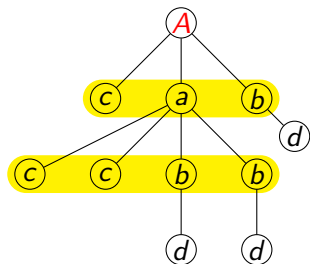
## FSLPs in database theory

**Example:**  $\phi = \exists x(\text{label}(x) = a \wedge \forall y : y \in X \leftrightarrow \text{parent}(x, y))$



## FSLPs in database theory

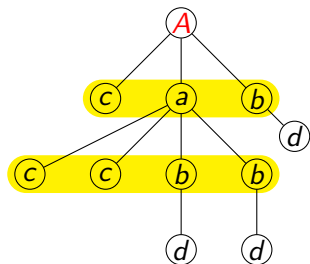
**Example:**  $\phi = \exists x(\text{label}(x) = a \wedge \forall y : y \in X \leftrightarrow \text{parent}(x, y))$



Note:  $\text{query}(\phi(X), F)$  may have size  $2^{|F|}$ , i.e., size  $2^{2^{O(|G|)}}$  if  $F$  is given by the FSLP  $\mathcal{G}$ .

## FSLPs in database theory

**Example:**  $\phi = \exists x(\text{label}(x) = a \wedge \forall y : y \in X \leftrightarrow \text{parent}(x, y))$



Note:  $\text{query}(\phi(X), F)$  may have size  $2^{|F|}$ , i.e., size  $2^{2^{O(|G|)}}$  if  $F$  is given by the FSLP  $\mathcal{G}$ .

What does it mean to enumerate efficiently  $\text{query}(\phi(X), \text{val}(\mathcal{G}))$ ?

# Enumeration problems

- ▶ An **enumeration problem** is a function  $E$  that maps an input  $x$  to a finite set  $E(x) = \{y_1, \dots, y_k\}$  of  $k$  different objects  $y_i$ .



# Enumeration problems

- ▶ An **enumeration problem** is a function  $E$  that maps an input  $x$  to a finite set  $E(x) = \{y_1, \dots, y_k\}$  of  $k$  different objects  $y_i$ .
- ▶ An **enumeration algorithm**  $\mathcal{A}$  for  $E$  is an algorithm that prints on input  $x$  sequentially a list  $y_{\pi(1)}, y_{\pi(2)}, \dots, y_{\pi(k)}$  for a permutation  $\pi$ .

# Enumeration problems

- ▶ An **enumeration problem** is a function  $E$  that maps an input  $x$  to a finite set  $E(x) = \{y_1, \dots, y_k\}$  of  $k$  different objects  $y_i$ .
- ▶ An **enumeration algorithm**  $\mathcal{A}$  for  $E$  is an algorithm that prints on input  $x$  sequentially a list  $y_{\pi(1)}, y_{\pi(2)}, \dots, y_{\pi(k)}$  for a permutation  $\pi$ .
- ▶  $\mathcal{A}$  starts with a **preprocessing phase** finishing at time  $t_0 =: T_{\text{pre}}(x)$ .

# Enumeration problems

- ▶ An **enumeration problem** is a function  $E$  that maps an input  $x$  to a finite set  $E(x) = \{y_1, \dots, y_k\}$  of  $k$  different objects  $y_i$ .
- ▶ An **enumeration algorithm**  $\mathcal{A}$  for  $E$  is an algorithm that prints on input  $x$  sequentially a list  $y_{\pi(1)}, y_{\pi(2)}, \dots, y_{\pi(k)}$  for a permutation  $\pi$ .
- ▶  $\mathcal{A}$  starts with a **preprocessing phase** finishing at time  $t_0 =: T_{\text{pre}}(x)$ .
- ▶  $\mathcal{A}$  works in **linear preprocessing time** if  $T_{\text{pre}}(x) \leq \mathcal{O}(|x|)$ .

# Enumeration problems

- ▶ An **enumeration problem** is a function  $E$  that maps an input  $x$  to a finite set  $E(x) = \{y_1, \dots, y_k\}$  of  $k$  different objects  $y_i$ .
- ▶ An **enumeration algorithm**  $\mathcal{A}$  for  $E$  is an algorithm that prints on input  $x$  sequentially a list  $y_{\pi(1)}, y_{\pi(2)}, \dots, y_{\pi(k)}$  for a permutation  $\pi$ .
- ▶  $\mathcal{A}$  starts with a **preprocessing phase** finishing at time  $t_0 =: T_{\text{pre}}(x)$ .
- ▶  $\mathcal{A}$  works in **linear preprocessing time** if  $T_{\text{pre}}(x) \leq \mathcal{O}(|x|)$ .
- ▶ Assume that printing  $y_{\pi(i)}$  is completed at time  $t_i$  ( $t_1 < t_2 < \dots < t_k$ ).

# Enumeration problems

- ▶ An **enumeration problem** is a function  $E$  that maps an input  $x$  to a finite set  $E(x) = \{y_1, \dots, y_k\}$  of  $k$  different objects  $y_i$ .
- ▶ An **enumeration algorithm**  $\mathcal{A}$  for  $E$  is an algorithm that prints on input  $x$  sequentially a list  $y_{\pi(1)}, y_{\pi(2)}, \dots, y_{\pi(k)}$  for a permutation  $\pi$ .
- ▶  $\mathcal{A}$  starts with a **preprocessing phase** finishing at time  $t_0 =: T_{\text{pre}}(x)$ .
- ▶  $\mathcal{A}$  works in **linear preprocessing time** if  $T_{\text{pre}}(x) \leq \mathcal{O}(|x|)$ .
- ▶ Assume that printing  $y_{\pi(i)}$  is completed at time  $t_i$  ( $t_1 < t_2 < \dots < t_k$ ).
- ▶  $\mathcal{A}$  works in **output-linear delay** if  $t_i - t_{i-1} \leq \mathcal{O}(|y_i|)$  for all  $1 \leq i \leq k$ .

# FSLPs in database theory

L, Schmid 2024

Fix a query  $\phi(X)$ . One can enumerate  $\text{query}(\phi(X), \text{val}(\mathcal{G}))$  for a given FSLP  $\mathcal{G}$  in

- ▶ linear preprocessing time and
- ▶ output-linear delay.

# FSLPs in database theory

L, Schmid 2024

Fix a query  $\phi(X)$ . One can enumerate  $\text{query}(\phi(X), \text{val}(\mathcal{G}))$  for a given FSLP  $\mathcal{G}$  in

- ▶ linear preprocessing time and
- ▶ output-linear delay.

Previous results:

- ▶ Bagan 2006, Courcelle 2009: linear preprocessing and output-linear delay for **uncompressed trees**

# FSLPs in database theory

L, Schmid 2024

Fix a query  $\phi(X)$ . One can enumerate  $\text{query}(\phi(X), \text{val}(\mathcal{G}))$  for a given FSLP  $\mathcal{G}$  in

- ▶ linear preprocessing time and
- ▶ output-linear delay.

Previous results:

- ▶ Bagan 2006, Courcelle 2009: linear preprocessing and output-linear delay for **uncompressed trees**
- ▶ Schmid, Schweikardt 2021: linear preprocessing and logarithmic delay for **compressed strings** (and a fragment of MSO)



# FSLPs in database theory

L, Schmid 2024

Fix a query  $\phi(X)$ . One can enumerate  $\text{query}(\phi(X), \text{val}(\mathcal{G}))$  for a given FSLP  $\mathcal{G}$  in

- ▶ linear preprocessing time and
- ▶ output-linear delay.

Previous results:

- ▶ Bagan 2006, Courcelle 2009: linear preprocessing and output-linear delay for **uncompressed trees**
- ▶ Schmid, Schweikardt 2021: linear preprocessing and logarithmic delay for **compressed strings** (and a fragment of MSO)
- ▶ Muñoz, Riveros 2023: linear preprocessing and output-linear delay for **compressed strings** (and a fragment of MSO)

# FSLPs in database theory

Proof strategy: Let  $\Gamma$  be the set node labels of our trees.

1. Translate the MSO-query  $\phi(X)$  into a node-selecting tree automaton  $\mathcal{A}$  (a tree automaton working on the label set  $\Gamma \times \{0, 1\}$ ).
2. Reduce enumeration of  $\text{query}(\mathcal{A}, \text{val}(\mathcal{G}))$  to the enumeration of  $\text{query}(\mathcal{B}, \text{unfold}(\mathcal{G}))$ , where
  - ▶  $\text{unfold}(\mathcal{G})$  is the forest algebra expression obtained by unfolding  $\mathcal{G}$  and
  - ▶  $\mathcal{B}$  is a leaf-selecting tree automaton.
3. Bagan solved the previous enumeration problem for the case where the tree  $\text{unfold}(\mathcal{G})$  is given explicitly.

We extend Bagan's algorithm to DAG-compressed trees.

