

Multi-Pivot Quicksort and How to Compute Precise Asymptotics

Daniel Krenn

[he/him]

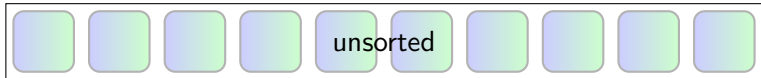


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Quicksort & Quickselect

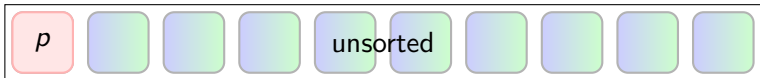


Quicksort & Quickselect



- choose a pivot element p

Quicksort & Quickselect



- choose a pivot element p
- partition into
 - small elements
 - large elements



Quicksort & Quickselect



- choose a pivot element p
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- proceed recursively

Quicksort: Recurrence Relation

- number of key comparisons C_n (random variable)

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 - $J \dots$ number of elements smaller than pivot (random variable)

$$C_n = n - 1 + C_J + C_{n-1-J}$$

Quicksort: Recurrence Relation

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 - $J \dots$ number of elements smaller than pivot (random variable)

$$C_n = n - 1 + C_J + C_{n-1-J} \quad \Bigg| \quad \mathbb{E}$$

$$c_n = \mathbb{E}(C_n) = n - 1 + \sum_{j=0}^{n-1} \mathbb{P}(J = j) (\mathbb{E}(C_j) + \mathbb{E}(C_{n-1-j}))$$

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$$c_n = \mathbb{E}(C_n) = n - 1 + \sum_{j=0}^{n-1} \underbrace{\mathbb{P}(J=j)}_{=\frac{1}{n}} \left(\underbrace{\mathbb{E}(C_j)}_{=c_j} + \underbrace{\mathbb{E}(C_{n-1-j})}_{=c_{n-1-j}} \right)$$

$$c_n = n - 1 + \frac{2}{n} \sum_{j=0}^{n-1} c_j \quad \Bigg| \quad \cdot n$$

$$nc_n = n(n-1) + 2 \sum_{j=0}^{n-1} c_j, \quad c_0 = 0$$

\dots recurrence relation for expected value $c_n = \mathbb{E}(C_n)$

Generating Function for Quicksort

- generating function $C(z) = \sum_{n \geq 0} c_n z^n$

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Generating Function for Quicksort

- generating function $C(z) = \sum_{n \geq 0} c_n z^n$

$$n c_n = n(n-1) + 2 \sum_{j=0}^{n-1} c_j \quad \left| \cdot z^{n-1} \right| \quad \left| \sum_{n \geq 1} \right.$$

$$\sum_{n \geq 1} n c_n z^{n-1} = \sum_{n \geq 1} n(n-1) z^{n-1} + 2 \sum_{n \geq 1} z^{n-1} \sum_{j=0}^{n-1} c_j \quad \left| \cancel{\cdot} \right.$$

$$\sum_{n \geq 1} n c_n z^{n-1} = z \sum_{n \geq 2} n(n-1) z^{n-2} + 2 \sum_{n \geq 0} z^n \sum_{j=0}^n c_j$$

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$$C'(z) = z \left(\frac{z}{1-z} \right)'' + 2 \frac{C(z)}{1-z}$$



Generating Function for Quicksort

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$$\begin{aligned}
 n c_n &= n(n-1) + 2 \sum_{j=0}^{n-1} c_j \quad \left| \cdot z^{n-1} \right| \quad \left| \sum_{n \geq 1} \right. \\
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 C'(z) &= z \left(\frac{z}{1-z} \right)'' + 2 \frac{C(z)}{1-z}
 \end{aligned}$$

- explicit formula

$$C(z) = \sum_{n \geq 0} c_n z^n = -\frac{2 \log(1-z)}{(1-z)^2} - \frac{2}{(1-z)^2} + \frac{2}{1-z}$$



Asymptotic Analysis of Quicksort

Generating Function for Quicksort

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Asymptotic Analysis of Quicksort

Generating Function for Quicksort

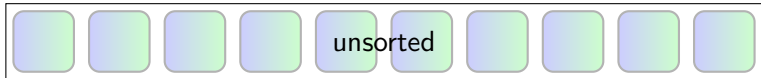
$$C(z) = \sum_{n \geq 0} c_n z^n = -\frac{2 \log(1-z)}{(1-z)^2} - \frac{2}{(1-z)^2} + \frac{2}{1-z}$$

⇓ singularity analysis ⇓

Expected Number of Key Comparisons

$$c_n = 2n \log n + (2\gamma - 4)n + 2 \log n + (2\gamma + 1) + O(1/n)$$

Dual Pivot Quicksort & Quickselect



Dual Pivot Quicksort & Quickselect

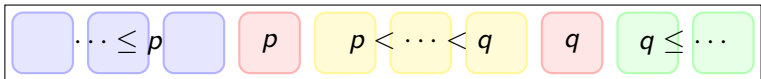


- choose pivot elements p and q

Dual Pivot Quicksort & Quickselect



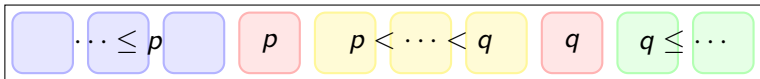
- choose pivot elements p and q
- partition into
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 - medium elements
 - large elements



Dual Pivot Quicksort & Quickselect

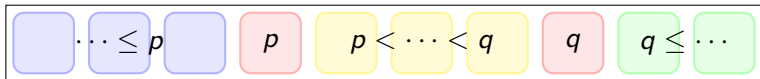


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- proceed recursively

Average Number of Key Comparisons



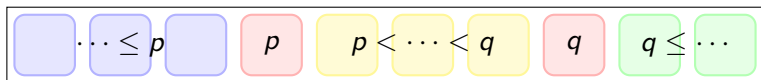
- partitioning

- “classical” $\rightsquigarrow n - 1$

- quicksort

- “classical” $\rightsquigarrow 2n \log n - (2.84 \dots)n + O(\log n)$

Average Number of Key Comparisons



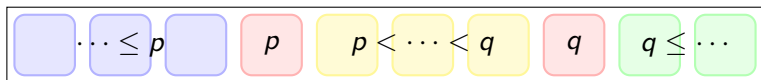
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 - “Yaroslavskiy–Bentley–Bloch” $\rightsquigarrow 1.9n \log n - (2.46 \dots)n + O(\log n)$
[Wild–Nebel 2012]

Average Number of Key Comparisons



- partitioning

- “classical” $\rightsquigarrow n - 1$

- “optimal dual pivot” $\rightsquigarrow 1.5n + 0.25 \log n + O(1)$

[Aumüller–Dietzfelbinger 2014,

Aumüller–Dietzfelbinger–Heuberger–K–Proding 2016]

- quicksort

- “classical” $\rightsquigarrow 2n \log n - (2.84 \dots)n + O(\log n)$

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[Wild–Nebel 2012]

- “optimal dual pivot” $\rightsquigarrow 1.8n \log n - (2.38 \dots)n + O(\log n)$

[Aumüller–Dietzfelbinger 2014,

Aumüller–Dietzfelbinger–Heuberger–K–Proding 2016]

Overview

- C_n number of key comparisons using multi-pivot quicksort to sort a list with n elements

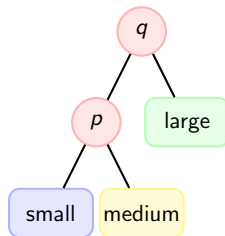
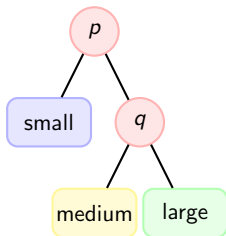
Questions

- What is the optimal multi-pivot strategy?
- Precise analysis of expected value of C_n ?



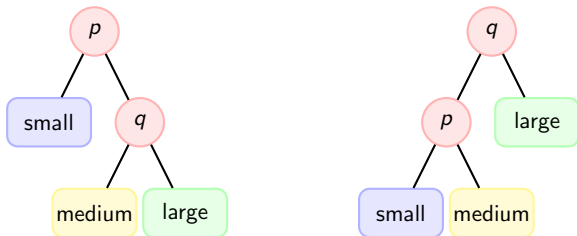
Yaroslavskiy–Bentley–Bloch Partitioning Strategy

- comparison trees:



Yaroslavskiy–Bentley–Bloch Partitioning Strategy

- comparison trees:



- comparison of element with pivots:

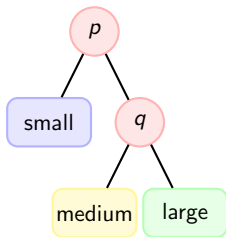
- previously seen a small element \rightsquigarrow smaller pivot p first
- (previously seen a medium element \rightsquigarrow smaller pivot p first)
- previously seen a large elements \rightsquigarrow larger pivot q first

Optimal Partitioning Strategy “Count”

- comparison of element with pivots:
 - seen more **small elements** \rightsquigarrow smaller pivot p first
 - seen more **large elements** \rightsquigarrow larger pivot q first
 - (● equality \rightsquigarrow choice: smaller pivot p first)

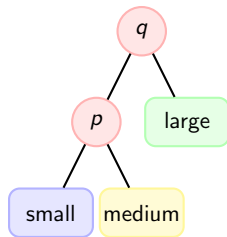
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choose this tree if

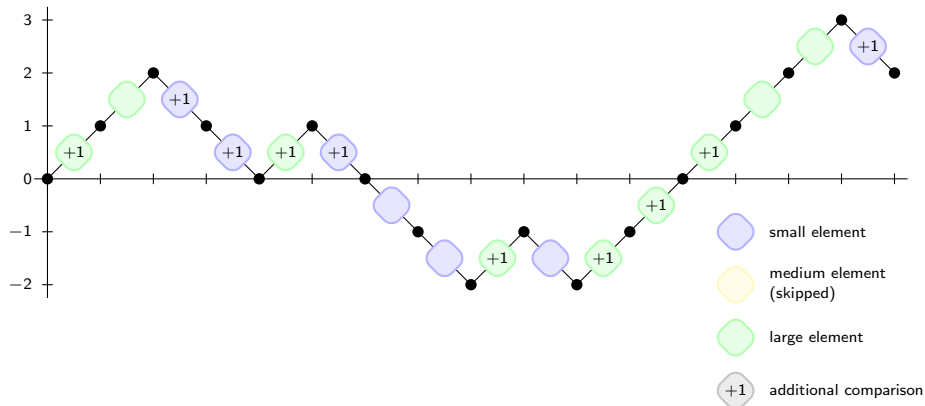
$$\#small \geq \#large$$



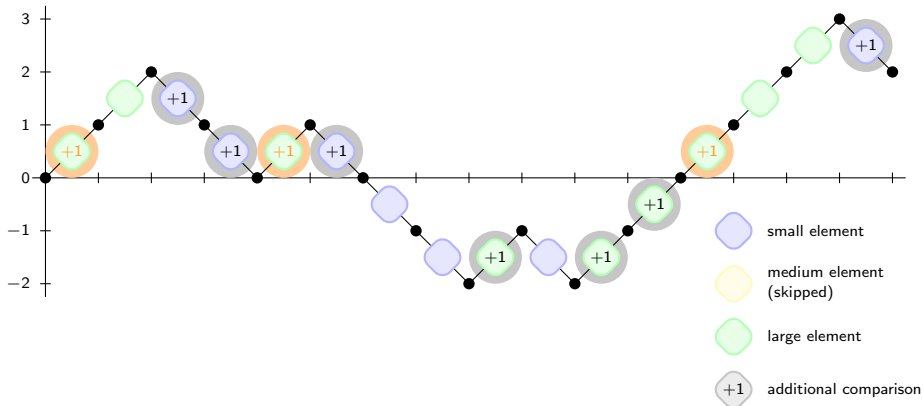
choose this tree if

$$\#small < \#large$$

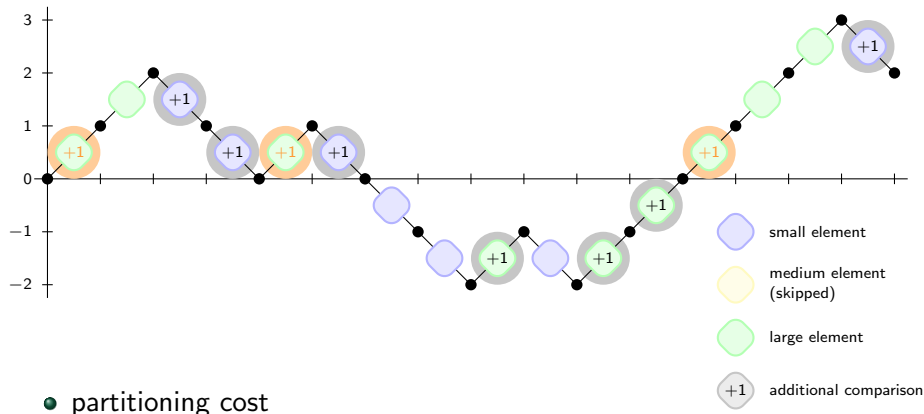
Optimal Partitioning Strategy “Count”–The Analysis



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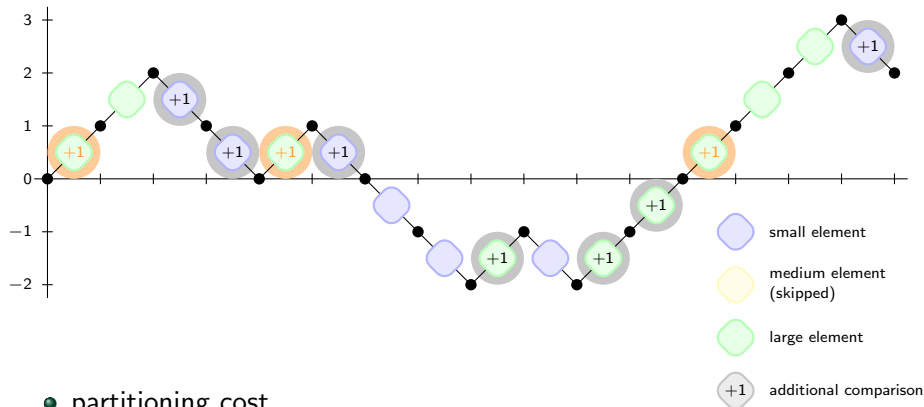


Optimal Partitioning Strategy “Count”–The Analysis



$$P_n = 1 + \frac{3}{2}(n - 2) + \frac{1}{2} \# \text{medium} + \frac{1}{2} \# \text{large} - \frac{1}{2} \# \text{small}$$

Optimal Partitioning Strategy “Count”–The Analysis



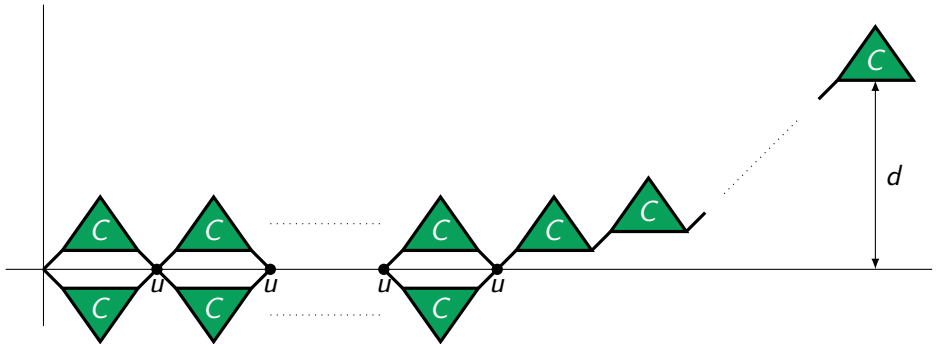
- partitioning cost

$$P_n = 1 + \frac{3}{2}(n-2) + \frac{1}{2} \# \text{medium} + \frac{1}{2} \# \text{additional comparisons} - \frac{1}{2} \left| \# \text{large} - \# \text{small} \right|$$

- 
 \rightsquigarrow analyze up-from-zero situations

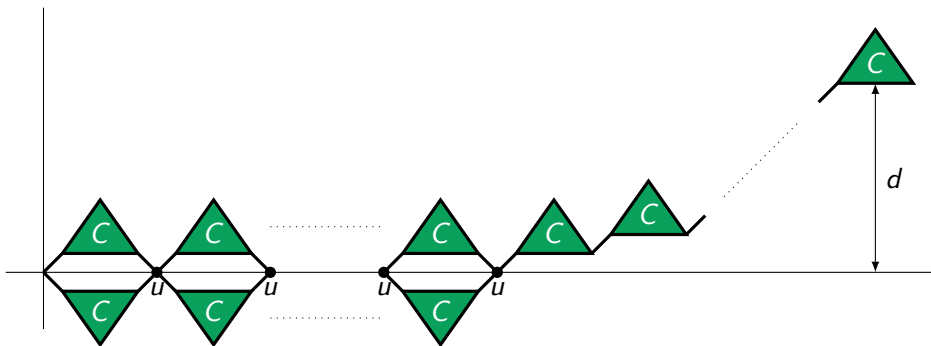
Symbolic Decomposition of Our Lattice Paths

- symbolic equation



Symbolic Decomposition of Our Lattice Paths

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- translates to generating function

$$Q_d(z, u) = \frac{C(z)^{|d|} z^{|d|}}{1 - 2uz^2 C(z)} = \frac{v^{|d|}(1 + v^2)}{1 - v^2(2u - 1)} \quad \text{with } z = \frac{v}{1 + v^2}$$

Getting the Double Sum

- expected number of zeros

$$\mu_{n,d} = \frac{[z^n] \frac{\partial}{\partial u} Q_d(z, u) \Big|_{u=1}}{[z^n] Q_d(z, 1)} = \frac{2}{\binom{n}{\ell}} \sum_{k=0}^{\ell-1} \binom{n}{k}$$

$$\text{with } \ell = \frac{1}{2}(n - |d|)$$



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with $\ell = \frac{1}{2}(n - |d|)$

- sum over all d
- expected number of zeros

$$\mathbb{E}(X_n) = \frac{4}{n+1} \sum_{0 \leq k < \ell < \lceil n/2 \rceil} \frac{\binom{n}{k}}{\binom{n}{\ell}} + [n \text{ even}] \frac{1}{n+1} \left(\frac{2^n}{\binom{n}{n/2}} - 1 \right)$$

Getting the Double Sum

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What now?

Simplify?—Asymptotic behavior?

The Identity

$$\frac{4}{n+1} \sum_{0 \leq k < \ell < \lceil n/2 \rceil} \frac{\binom{n}{k}}{\binom{n}{\ell}} + \frac{[n \text{ even}]}{n+1} \left(\frac{2^n}{\binom{n}{n/2}} - 1 \right) + 1 = ???$$



The Identity

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- computational proof with [Sigma](#)



The Identity

Theorem (ADHKP 2016)

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- computational proof with **Sigma**
 - \rightsquigarrow returns a single sum
 - \rightsquigarrow proof certificate: yes, but ...



The Identity

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(via Vandermonde’s convolution)



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 - \rightsquigarrow returns a single sum
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- use **creative telescoping**
- elementary “human” proof
(via Vandermonde’s convolution)
- combinatorial proof



Summing Up

- up-form-zero situations

$$\mathbb{E}(\text{up-form-zero}) = \frac{1}{2 \binom{n}{2}} \sum_{m=0}^{n-2} (m+1) H_m^{\text{odd}}$$

$$\text{with } H_m^{\text{odd}} = \sum_{i=1}^m \frac{[i \text{ odd}]}{i}$$

Summing Up

- up-form-zero situations

$$\mathbb{E}\left(\text{Diagram with } +1\right) = \frac{1}{2\binom{n}{2}} \sum_{m=0}^{n-2} (m+1) H_m^{\text{odd}}$$

with $H_m^{\text{odd}} = \sum_{i=1}^m \frac{[i \text{ odd}]}{i}$

- $\sum_{n>0} \frac{[n \text{ odd}]}{n} z^n = \text{artanh}(z) \quad \rightsquigarrow \quad \sum_{n \geq 0} H_n^{\text{odd}} z^n = \frac{\text{artanh}(z)}{1-z}$

Generating function

$$\sum_{n \geq 0} \mathbb{E}\left(\text{Diagram with } +1\right) z^n = \frac{\text{artanh}(z)}{2(1-z)} - \frac{z^2}{8(1-z)} - \frac{3z+5}{8} \text{artanh}(z) + \frac{1}{8}z$$

Partitioning & Generating Function

- partitioning cost of strategy “Count”

$$P_n = \text{“necessary” comparisons} + \textcircled{+1} + \textcircled{+1}$$

Generating function

$$P(z) = \sum_{n \geq 0} \mathbb{E}(P_n) z^n = \frac{3}{2(1-z)^2} + \frac{\operatorname{artanh}(z)}{2(1-z)} - \frac{31z^2}{8(1-z)} - \frac{3+z}{8} \operatorname{artanh}(z) - \frac{3}{2} - \frac{25z}{8}$$

Solving the Dual-Pivot Quicksort Recurrence

Recurrence

- C_n cost dual-pivot quicksort
- P_n cost for partitioning

$$\mathbb{E}(C_n) = \mathbb{E}(P_n) + \frac{3}{\binom{n}{2}} \sum_{k=1}^{n-2} (n-1-k) \mathbb{E}(C_k)$$

Solution [Hennequin 1991, Wild 2013]

- $C(z) = \sum_{n \geq 0} \mathbb{E}(C_n) z^n$
- $P(z) = \sum_{n \geq 0} \mathbb{E}(P_n) z^n$

$$C(z) = (1-z)^3 \int_0^z (1-t)^{-6} \int_0^t (1-s)^3 P''(s) ds dt$$

The Result

Theorem (ADHKP 2016)

*average number of key comparisons
in dual pivot quicksort
with the **optimal** partitioning strategy "Count" is*

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Theorem (ADHKP 2016)

*average number of key comparisons
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$$\frac{9}{5}nH_n - \frac{1}{5}nH_n^{\text{alt}} - \frac{89}{25}n + \frac{67}{40}H_n \\ - \frac{3}{40}H_n^{\text{alt}} - \frac{83}{800} + \frac{(-1)^n}{10} + \dots$$

• *harmonic numbers*

- $H_n = \sum_{i=1}^n 1/i$

- $H_n^{\text{alt}} = \sum_{i=1}^n (-1)^i / i$

The Result

Theorem (ADHKP 2016)

*average number of key comparisons
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*with the **optimal** partitioning strategy “Count” is*

$$\frac{9}{5}nH_n - \frac{1}{5}nH_n^{\text{alt}} - \frac{89}{25}n + \frac{67}{40}H_n \\ - \frac{3}{40}H_n^{\text{alt}} - \frac{83}{800} + \frac{(-1)^n}{10} + \dots$$

$$= \frac{9}{5}n \log n + An + B \log n + C \\ + \frac{D}{n} + \frac{E}{n^2} + \frac{(-1)^n F + G}{n^3} + O\left(\frac{1}{n^4}\right)$$

asymptotically as $n \rightarrow \infty$

• *harmonic numbers*

- $H_n = \sum_{i=1}^n 1/i$

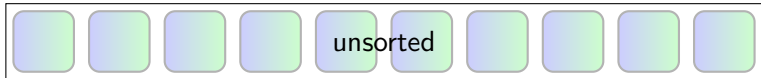
- $H_n^{\text{alt}} = \sum_{i=1}^n (-1)^i / i$

• *constant of linear term*

$$A = \frac{9}{5}\gamma + \frac{1}{5} \log 2 - \frac{89}{25} \\ = -2.3823823670652\dots$$

• *explicit constants B, C, \dots*

Dual Pivot Quicksort & Quickselect



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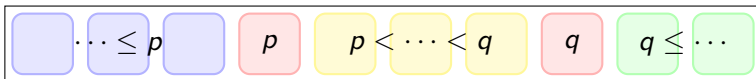


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Dual Pivot Quicksort & Quickselect



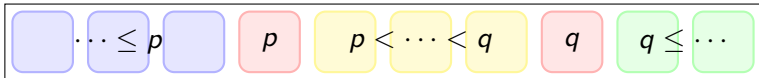
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Dual Pivot Quicksort & Quickselect



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- proceed recursively

The Dual-Pivot Quicksort Recurrence

Recurrence Relation

- $C_{n,j}$ cost dual-pivot quickselect (select j th rank)
- P_n cost for partitioning
- then

$$C_{n,j} = P_n + S_{n,j} + M_{n,j} + L_{n,j}$$

with

$$S_{n,j} = \frac{1}{\binom{n}{2}} \sum_{s=j}^{n-2} (n-1-s) C_{s,j}$$

$$M_{n,j} = \frac{1}{\binom{n}{2}} \sum_{m=1}^{n-2} \sum_{s=\max\{0, j-m-1\}}^{\min\{j-2, n-m-2\}} C_{m, j-s-1}$$

$$L_{n,j} = \frac{1}{\binom{n}{2}} \sum_{\ell=n-j+1}^{n-2} (n-1-\ell) C_{\ell, n-j+1}$$

Solving the Dual-Pivot Quicksort Recurrence

- $C(z, u) = \sum_{n,j} C_{n,j} z^n u^j$
- functional equation $u C(zu, 1/u) = C(z, u)$

Differential Equation

$$\frac{\partial^2}{\partial z^2} C(z, u) = \frac{u}{1-u} (P''(z) - u^2 P''(zu)) + 2 C(z, u) r(z, u)$$

$$\text{with } r(z, u) = \frac{1}{(1-z)^2} + \frac{u}{(1-z)(1-zu)} + \frac{u^2}{(1-zu)^2}$$

Solving the Dual-Pivot Quickselect Recurrence

- $C(z, u) = \sum_{n,j} C_{n,j} z^n u^j$
- functional equation $u C(zu, 1/u) = C(z, u)$

Differential Equation

$$\frac{\partial^2}{\partial z^2} C(z, u) = \frac{u}{1-u} (P''(z) - u^2 P''(zu)) + 2 C(z, u) r(z, u)$$

$$\text{with } r(z, u) = \frac{1}{(1-z)^2} + \frac{u}{(1-z)(1-zu)} + \frac{u^2}{(1-zu)^2}$$

- grand averages:

$$\frac{d^2}{dz^2} C(z, u) \Big|_{u=1} = \frac{1}{z} (z^2 P''(z))' + \frac{6}{(1-z)^2} C(z, 1)$$

- with solution

$$C(z, 1) = (1-z)^3 \int_0^z (1-t)^{-6} \int_0^t (1-s)^3 \frac{1}{s} (s^2 P''(s))' ds dt$$

Quickselect: Grand Averages

- selecting the j th smallest element (the j th rank) with $j \in \{1, \dots, n\}$ uniformly at random
- average number of key comparisons

- “classical” \rightsquigarrow
$$3n - 8H_n + 13 - 8n^{-1}H_n$$
$$= 3n - 8 \log n - 8\gamma + 13 + O(n^{-1} \log n)$$

[Mahmoud–Modarres–Smythe 1995]

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- “Yaroslavskiy” \rightsquigarrow

$$\frac{19}{6}n - \frac{37}{5}H_n + \frac{1183}{100} - \frac{37}{5}n^{-1}H_n - \frac{71}{300}n^{-1}$$

$$= \frac{19}{6}n - \frac{37}{5} \log n - \frac{37}{5}\gamma + \frac{1183}{100} + O(n^{-1} \log n)$$

[Wild–Nebel–Mahmoud 2016]

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[Wild–Nebel–Mahmoud 2016]

Theorem (K 2017)

“optimal dual pivot” \rightsquigarrow

$$\mathbb{E}(C_n) = 3n + \frac{3}{20n} \sum_{k=1}^{n-1} H_k H_{n-k} - \frac{3}{10n} \sum_{k=1}^n \frac{H_{k-1}^{\text{alt}}}{k} (n-k+1) + \dots$$

$$= 3n + \frac{3}{20} (\log n)^2 + \left(\frac{\gamma + \log 2}{10} + \frac{319}{50} \right) \log n + O(1)$$

Dual Pivot Quicksort & Quickselect



Dual Pivot Quicksort & Quickselect

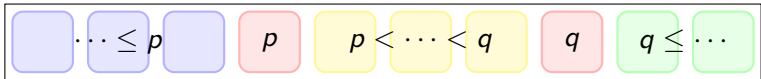


- choose pivot elements p and q

Dual Pivot Quicksort & Quickselect



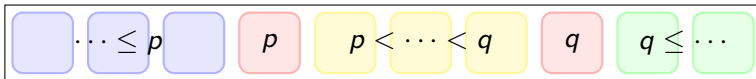
- choose pivot elements p and q
- partition into
 - small elements
 - medium elements
 - large elements



Dual Pivot Quicksort & Quickselect



- choose pivot elements p and q
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 - small elements
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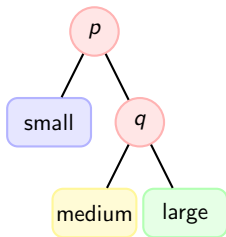
- proceed recursively

Optimal Partitioning Strategy “Count”

- comparison of element with pivots:
 - seen more **small elements** \rightsquigarrow smaller pivot p first
 - seen more **large elements** \rightsquigarrow larger pivot q first
 - equality \rightsquigarrow choice: smaller pivot p first

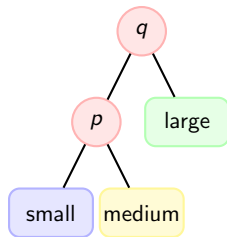
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- comparison trees:



choose this tree if

$$\#small \geq \#large$$



choose this tree if

$$\#small < \#large$$

Optimality

Theorem (ADHKP 2016, . . . , HK 2018)

- *cost P_n^* of strategy “Count”*
- *then $\mathbb{E}(P_n^*)$ is minimal among all strategies*

Optimality

Theorem (ADHKP 2016, ..., HK 2018)

- cost P_n^* of strategy "Count"
- then $\mathbb{E}(P_n^*)$ is minimal among all strategies

- urn model:
uniformly distributed

$$S_0 + \dots + S_d$$

- in step $k = \underbrace{s_0 + \dots + s_d}_{\text{already classified elements}}$

- e.g. $d = 2$ (dual-pivot)

- $s_0 =$ #small

- $s_1 =$ #medium

- $s_2 =$ #large

Optimality

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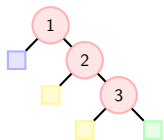
- $s_0 =$ #small
- $s_1 =$ #medium
- $s_2 =$ #large

- expected number of comparisons to classify next element:

$$\sum_{i=0}^d \overbrace{h_i(t)}^{\substack{\text{\# comparisons} \\ = \text{depths } h_i(t) \\ \text{in comparison tree } t}} \underbrace{\frac{s_i + 1}{k + d + 1}}_{\substack{\text{conditional probability that} \\ \text{next element is of type } i \\ \text{(given } s_0, \dots, s_d)}} = \frac{\overbrace{\ell_t(s)}^{\text{affine linear function } \ell_t(s)}}{k + d + 1}$$

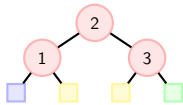
- choose tree $T_k^* = t$
such that $\ell_t(s)$ is minimal

Optimal Partitioning Strategy with Three Pivots



$$s_1 \geq s_3$$

$$s_0 \geq s_2 + s_3 + 1$$

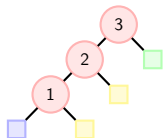


$$s_2 + s_3 + 1 \geq s_0$$

$$s_1 + s_2 + 1 \geq s_0$$

$$s_1 + s_2 + 1 \geq s_3$$

$$s_0 + s_1 + 1 \geq s_3$$



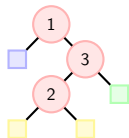
$$s_3 \geq s_0 + s_1 + 1$$

$$s_2 \geq s_0$$

$$s_0 \geq s_1 + s_2 + 1$$

$$s_3 \geq s_1$$

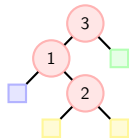
$$s_0 \geq s_3$$



$$s_0 \geq s_2$$

$$s_3 \geq s_0$$

$$s_3 \geq s_1 + s_2 + 1$$



comparison trees & polyhedra minimizing $\ell_t(s) = \sum_{i=0}^d h_i(t)(s_i + 1)$



Expected Partitioning Cost

- from optimality

$$\begin{aligned}
 \mathbb{E}(P_n) &\geq \mathbb{E}(P_n^*) = \mathbb{E}(Q_n) + \sum_{k=0}^{n-d-1} \frac{1}{(k+d+1) \binom{k+d}{d}} \underbrace{\sum_{s \in \mathcal{N}_k} \ell_{T_k^*}(s)} \\
 &= \sum_{t \in \mathcal{T}} \sum_{s \in \mathcal{N}_k} \underbrace{[s \in \mathcal{C}_t] \ell_t(s)} \\
 &= \sum_{i=0}^d h_i(t)(s_i+1)
 \end{aligned}$$



Expected Partitioning Cost

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- generating function $P(z) = \sum_{n \geq 0} \mathbb{E}(P_n) z^n$ satisfies

$$\left(\frac{d}{dz}\right)^{d+1} ((1-z)P(z)) = d! \sum_{t \in \mathcal{T}} \sum_{i=0}^d h_i(t) \sum_{k \geq 0} \sum_{s \in \mathcal{N}_k} [s \in \mathcal{C}_t] (s_i + 1) z^k = R(z) \quad \text{👾}$$



Expected Partitioning Cost

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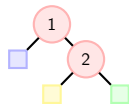
- $G_{t,i}(y,z) = y H_t(z, \dots, z, zy, z, \dots, z)$
with $H_t(y_0, \dots, y_d) = \sum_{s \in \mathcal{C}_t} y^s$ and polyhedron \mathcal{C}_t



How to Compute Generating Function of Polyhedron?

- $d = 2$, $\mathcal{C}_{t_1} = \{(s_0, s_1, s_2) \in \mathbb{N}_0^3 \mid s_0 \geq s_2\}$

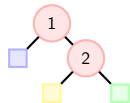
$$\begin{aligned}
 H_{t_1}(y_0, y_1, y_2) &= \sum_{s_0 \geq s_2 \geq 0, s_1 \geq 0} y_0^{s_0} y_1^{s_1} y_2^{s_2} = \sum_{s_1, s_2, u \geq 0} y_0^{s_2+u} y_1^{s_1} y_2^{s_2} \\
 &= \sum_{s_1, s_2, u \geq 0} y_0^u y_1^{s_1} (y_0 y_2)^{s_2} = \frac{1}{(1-y_0)(1-y_1)(1-y_0 y_2)}
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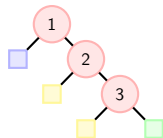
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 \end{aligned}$$



- $d = 3$, $\mathcal{C}_{t_1} = \{(s_0, s_1, s_2, s_3) \in \mathbb{N}_0^4 \mid s_1 \geq s_3, s_0 \geq s_2 + s_3 + 1\}$

$$\begin{aligned}
 H_{t_1}(y_0, y_1, y_2, y_3) &= \sum_{s_1 \geq s_3 \geq 0, s_0 \geq s_2 + s_3 + 1, s_2 \geq 0} y_0^{s_0} y_1^{s_1} y_2^{s_2} y_3^{s_3} \\
 &= \sum_{s_2, s_3, u, v \geq 0} y_0^{s_2+s_3+v+1} y_1^{s_3+u} y_2^{s_2} y_3^{s_3} \\
 &= \frac{y_0}{1-y_0} \frac{1}{1-y_1} \frac{1}{1-y_0 y_2} \frac{1}{1-y_0 y_1 y_3}
 \end{aligned}$$



MacMahon's Omega Calculus

Ω -Operator

$$\overset{\Omega}{\cong} \sum_{s \in \mathbb{N}_0^{d+1}} \sum_{r \in \mathbb{Z}^m} c_{sr} y^s \lambda^r = \sum_{s \in \mathbb{N}_0^{d+1}} \sum_{r \in \mathbb{N}_0^m} c_{sr} y^s$$

- remove summands corresponding to negative exponents of λ



MacMahon's Omega Calculus

Ω -Operator

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- remove summands corresponding to negative exponents of λ



Result for $d = 4$

$$\begin{aligned} (1-z)^4 R(z) &= \frac{19072}{75(1-z)^2} + \frac{1744}{75(1-z)} \\ &\quad - \frac{48(3z^2 - z + 3)}{5(1+z+z^2+z^3+z^4)^2} + \frac{48(51z^3 + 14z^2 + 14z + 51)}{25(1+z+z^2+z^3+z^4)} \\ &\quad + \frac{24}{(1+z+z^2)^3} + \frac{8(3z-2)}{(1+z+z^2)^2} - \frac{8(19z+16)}{3(1+z+z^2)} - \frac{24}{1+z} \end{aligned}$$

with $R(z) = \left(\frac{d}{dz}\right)^{d+1} ((1-z)P(z))$



Solving the Multi-Pivot Quicksort Recurrence

- expected values

$$C_n = P_n^* + \sum_{i=0}^d C_{S_i} \implies \mathbb{E}(C_n) = \mathbb{E}(P_n^*) + \sum_{i=0}^d \sum_{s_i=0}^{n-d} \mathbb{E}(C_{s_i}) \mathbb{P}(S_i = s_i)$$




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- differential equation


$$(1-z)^d \left(\frac{d}{dz}\right)^d C(z) - (d+1)! C(z) = (1-z)^d \left(\frac{d}{dz}\right)^d P(z)$$




Solving the Multi-Pivot Quicksort Recurrence

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- differential equation



$$(1-z)^d \left(\frac{d}{dz}\right)^d C(z) - (d+1)! C(z) = (1-z)^d \left(\frac{d}{dz}\right)^d P(z)$$

- solution

$$C(z) = Q(z) + (I_{\alpha_1} \circ I_{\alpha_2} \circ \dots \circ I_{\alpha_d}) \left((d+1)! Q(z) + (1-z)^d \left(\frac{d}{dz}\right)^d P(z) \right)$$

with

- $(I_{\alpha} f)(z) = (1-z)^{-\alpha} \int_0^z (1-t)^{\alpha-1} f(t) dt$
- polynomial $Q(z)$
- $\sum_{k=1}^d \binom{d}{k} X^k - (d+1)! = \prod_{i=1}^d (X - \alpha_i)$



Singularity Analysis & Transfers

- operator I_α for $z \rightarrow 1$

- $I_\alpha \frac{1}{(1-z)^\beta} = \frac{1}{\alpha-\beta} \left(\frac{1}{(1-z)^\alpha} - \frac{1}{(1-z)^\beta} \right)$


for $\alpha \neq \beta$

- $(I_{\alpha_1} \circ \dots \circ I_{\alpha_k})(1-z)^{-\beta} (-\log(1-z))^\ell = \dots$

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Transfer

- $f(z) = O((1-z)^{-\beta})$ for $z \rightarrow 1$
with $f^*(s) = \int_0^1 (1-t)^{s-1} f(t) dt$
- 


$$(I_\alpha f)(z) = \frac{f^*(\alpha)}{(1-z)^\alpha} + O((1-z)^{-\beta})$$

for $\operatorname{Re} \beta < \operatorname{Re} \alpha$

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- 
- $$(I_\alpha f)(z) = \frac{f^*(\alpha)}{(1-z)^\alpha} + O((1-z)^{-\beta})$$
- for $\operatorname{Re} \beta < \operatorname{Re} \alpha$

- operator I_α for $z \rightarrow \rho \neq 1$, e.g. $d = 4$, $\rho = \zeta_{60}^k$
 - $(I_{\alpha_1} \circ \dots \circ I_{\alpha_k})(1 - \frac{z}{\rho})^{-\beta} (-\log(1 - \frac{z}{\rho}))^\ell = \dots$

Optimal Multi-Pivot Quicksort

Theorem (Heuberger–K 2017–2024)



- *expected number of key comparisons*
in trial pivot quicksort
with the **optimal** partitioning strategy is

$$\frac{133}{78} n \log n + An + B \log n + O(1)$$

- $A = \frac{133}{78} \gamma - \frac{2}{117} \sqrt{3} \pi + \frac{4}{39} \log 3 + \frac{3}{26} \log 2 - \frac{6761}{2028} = -2.24995 \dots$
- $B = \frac{707}{468}$
- *expected number of key comparisons*

in quadral pivot quicksort
with the **optimal** partitioning strategy is

$$\frac{9536}{5775} n \log n + An + B \log n + O(1)$$

- $A = \text{skipped} = -2.20515 \dots$
- $B = \frac{48823}{34650}$