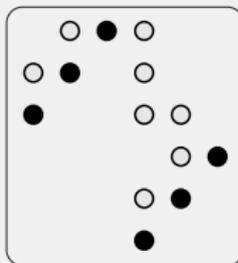
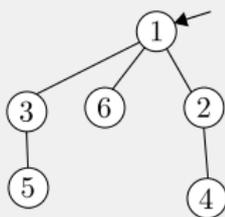


# Analysis of Algorithms via Extremal Combinatorics

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Freie Universität Berlin



Analysis of Algorithms, Bath, UK  
June 2024



Typical question (informally):

How large/dense/frequent can  $X$  be if it **avoids**  $Y$ ?



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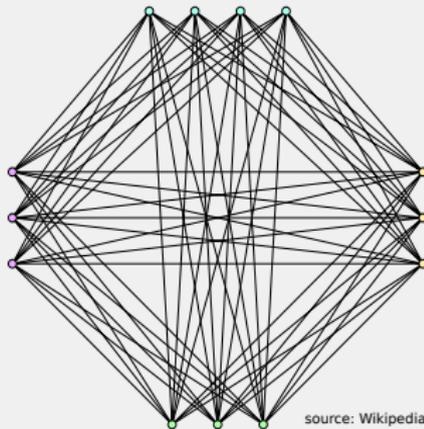
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source: Wikipedia

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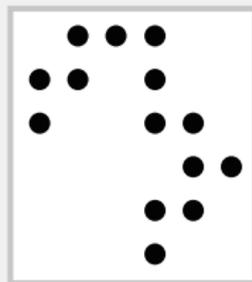
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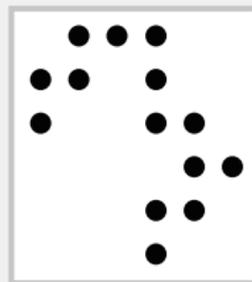
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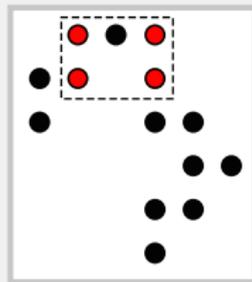
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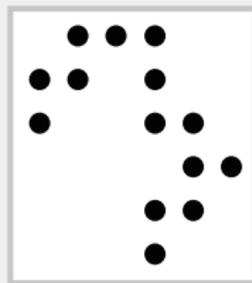
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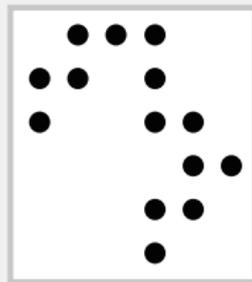
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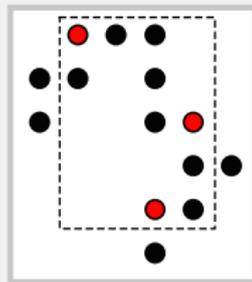
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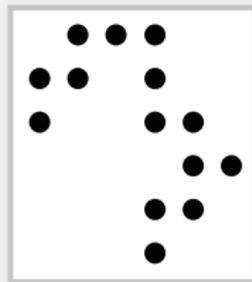
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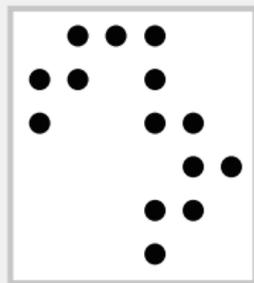
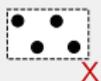
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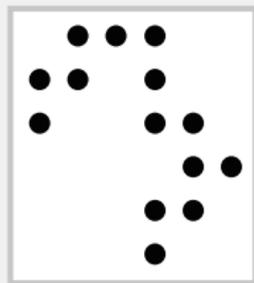
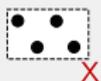
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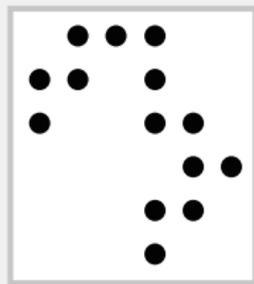
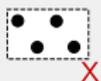


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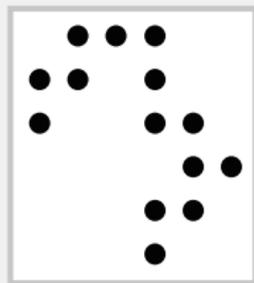
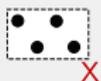
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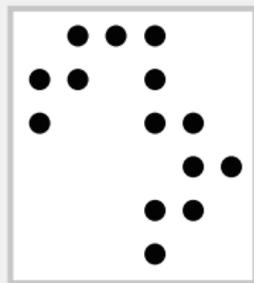
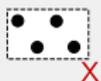
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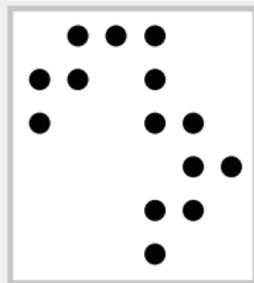
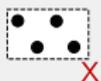
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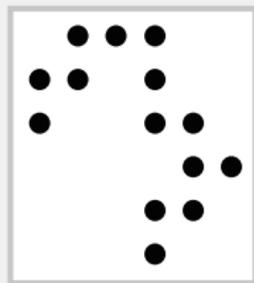
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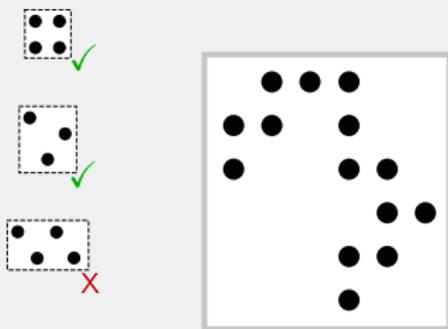
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$\text{ex}\left(\left(\begin{pmatrix} \bullet & \bullet & \\ \bullet & & \bullet \end{pmatrix}, n\right) = \Theta(n \cdot \alpha(n)) \rightarrow$  related to Davenport-Schinzel sequences

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$\text{ex}(P, n)$  also characterized for many other patterns:

$\rightarrow$  can be  $\begin{cases} n \\ n \cdot \text{polylog}(n) \\ n \cdot 2^{\alpha(n)} \\ n^{1+\varepsilon} \\ \dots \end{cases}$

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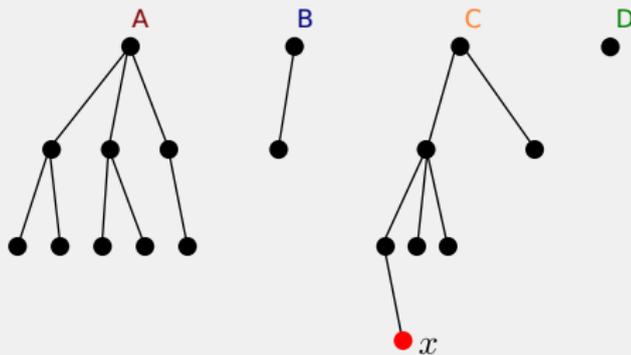
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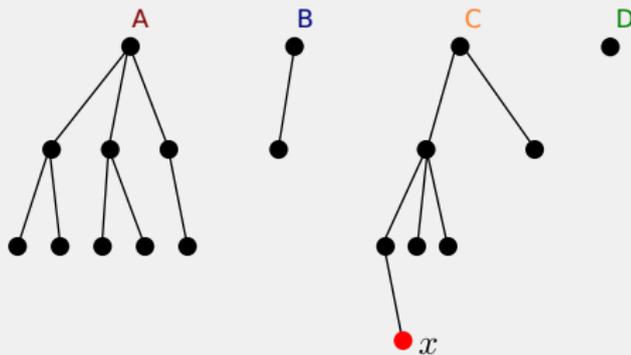
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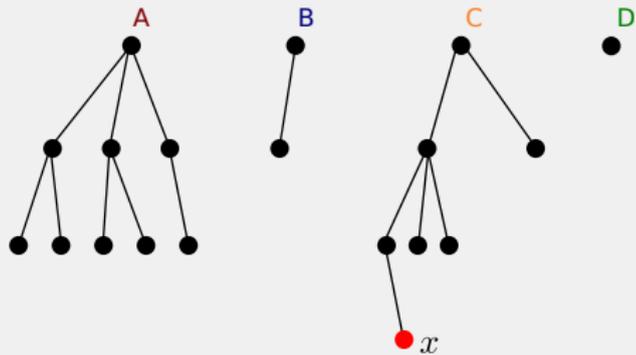
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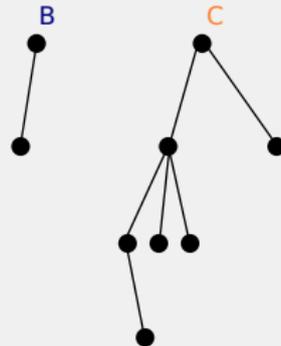
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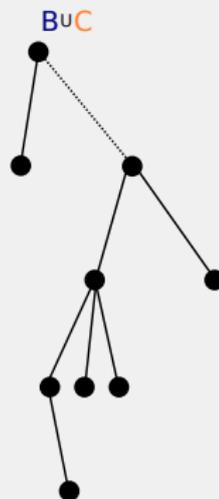
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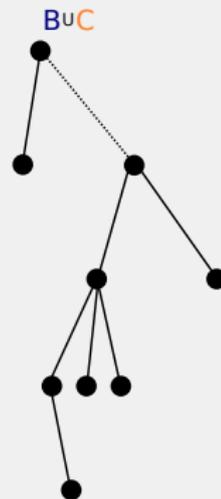
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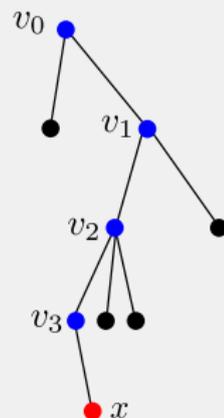
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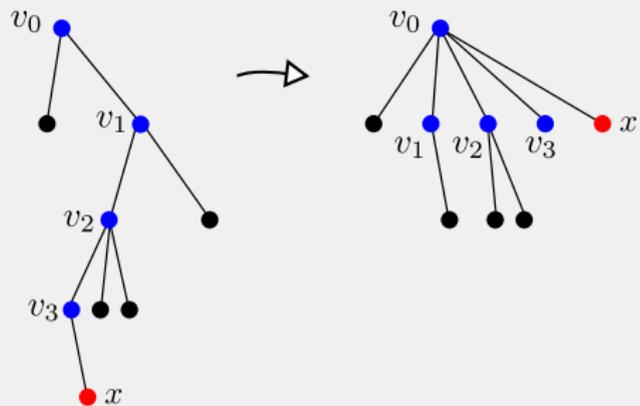
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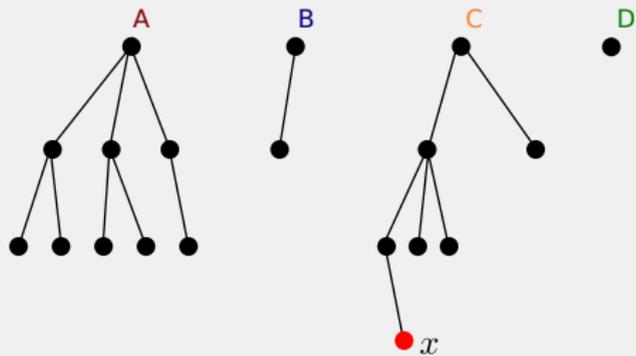
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What is the (amortized) cost of operations?

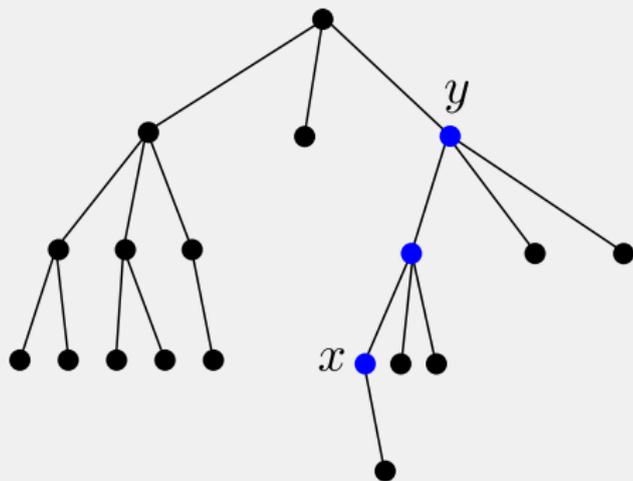


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→ View operations in single tree  $T$   
(suppose all **unions** done upfront)

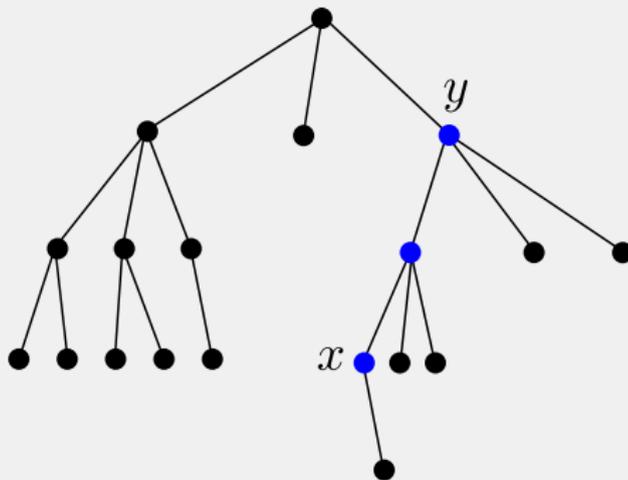
→ General path compression:  
 $x \rightarrow y$  where  $y$  is ancestor of  $x$

→ Analyze cost of  $n$  general path  
compressions in  $T$



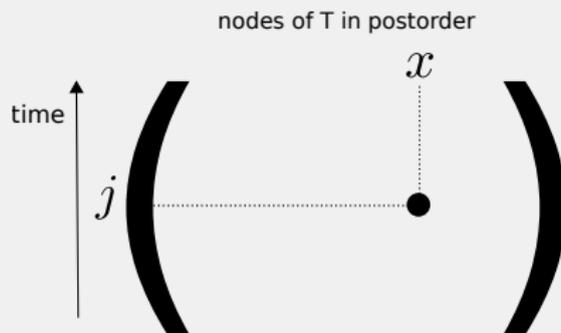
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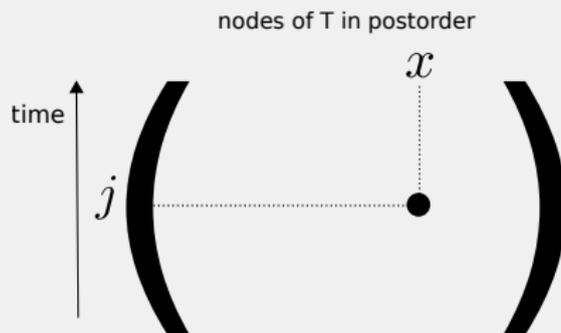


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$M_{xj} = \bullet \Leftrightarrow$  node  $x$  touched during  
 $j$ -th compression

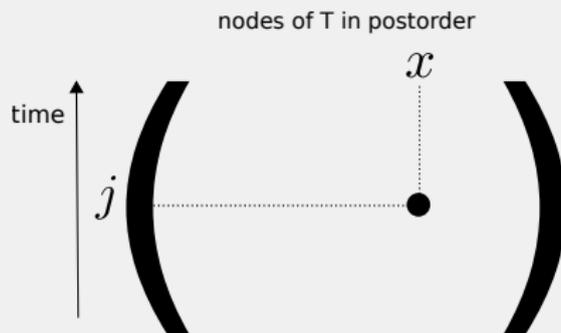
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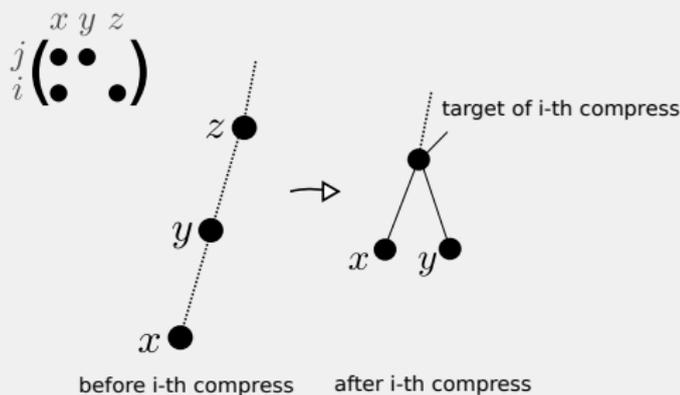


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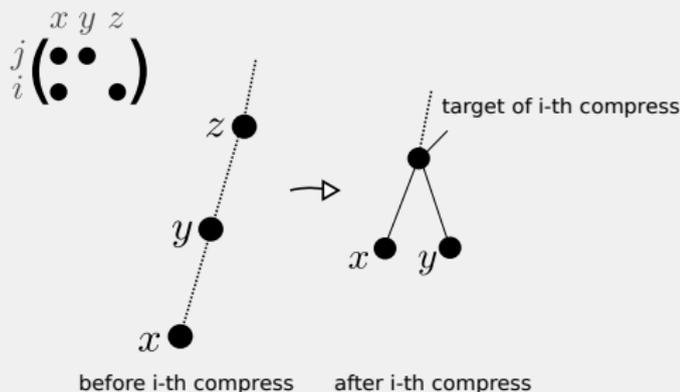
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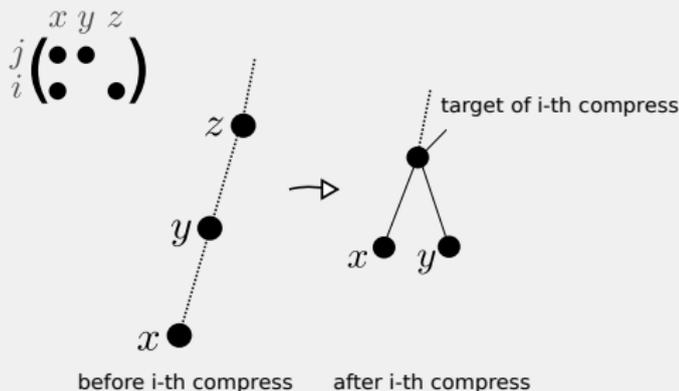
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Algorithm A

encode execution



0/1 Matrix M

show that avoids  
some pattern P



bound on density of M  
= bound on cost of A

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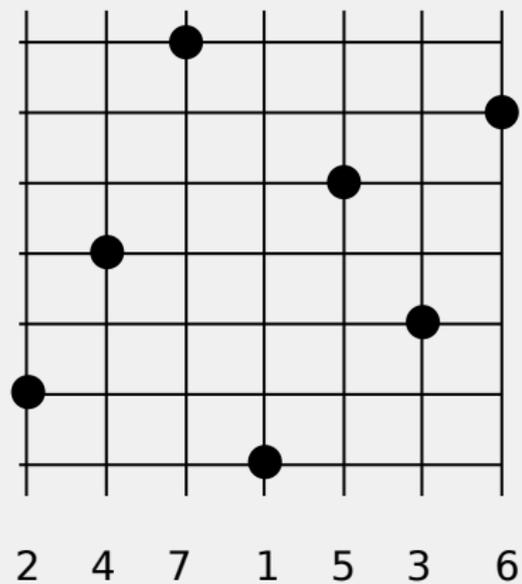
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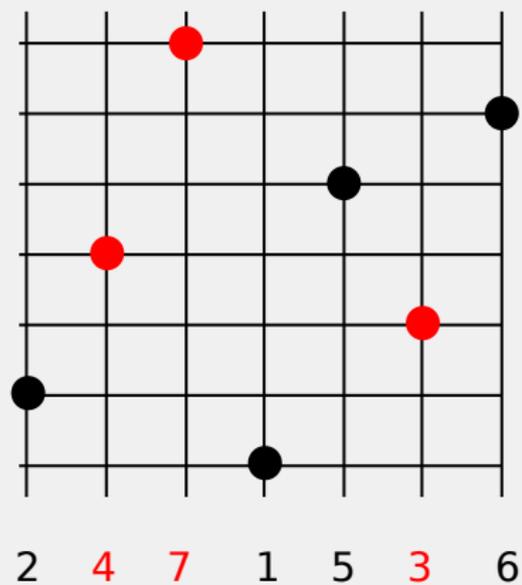
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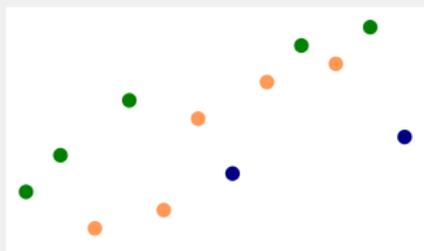
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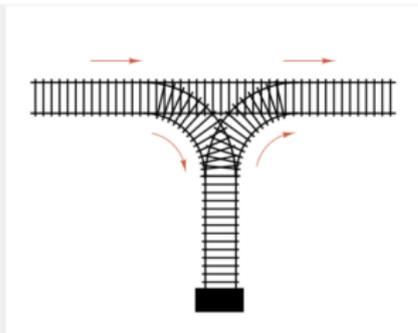
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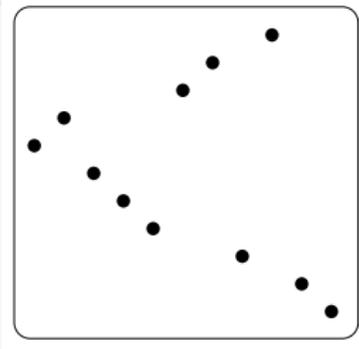
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**Both conjectures are true!** [Marcus, Tardos, 2004]

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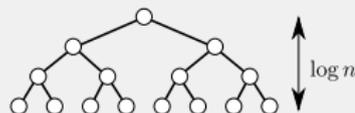


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→ To achieve  $O(n)$ , we need some **adaptive** BST, like **Splay** tree

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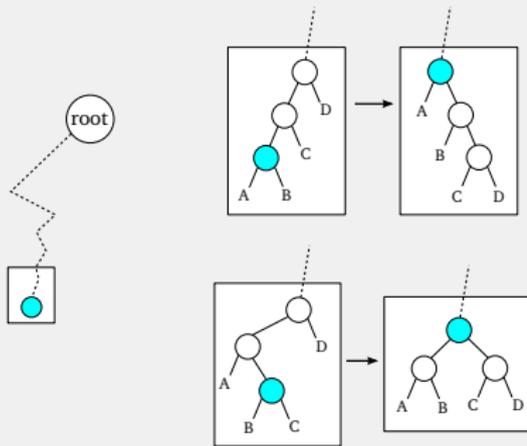
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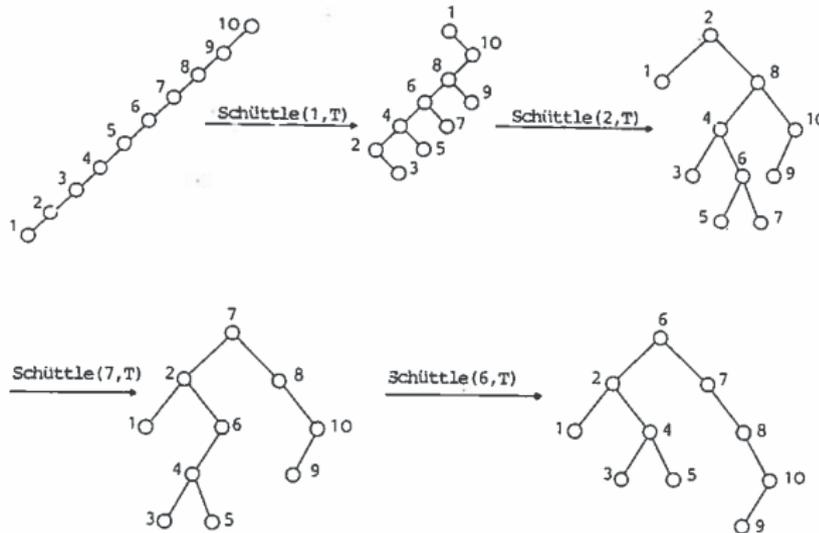
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**Abb. 95.** 4 Schüttele-Operationen.



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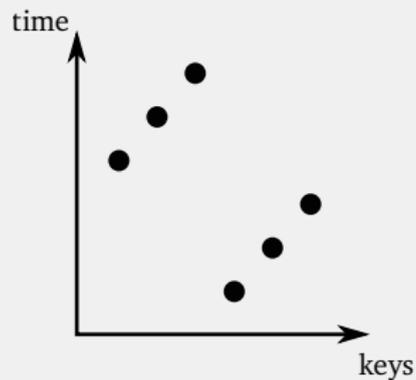
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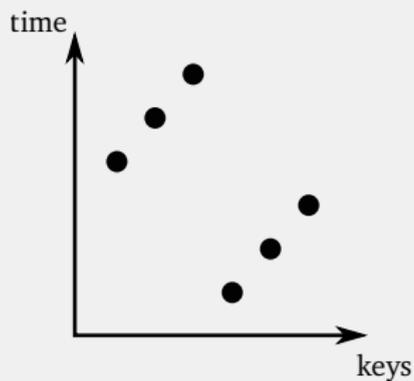


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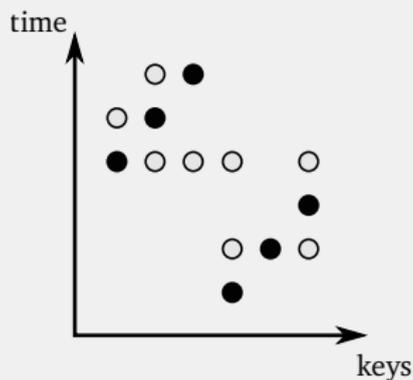
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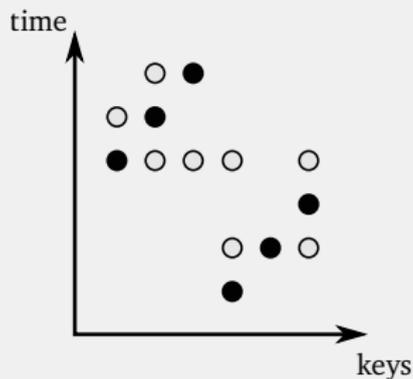
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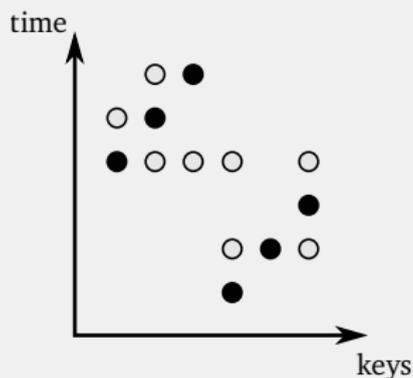
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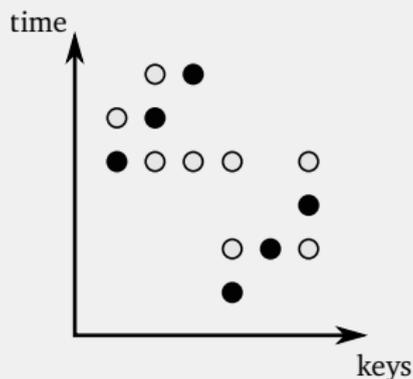
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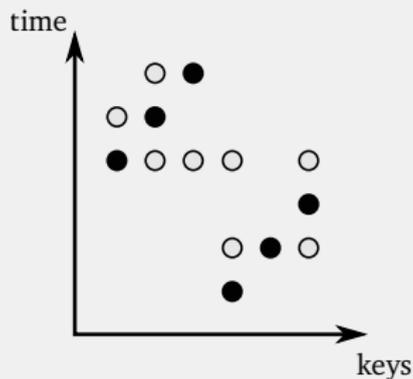
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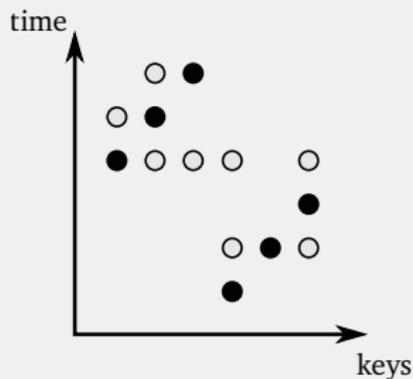
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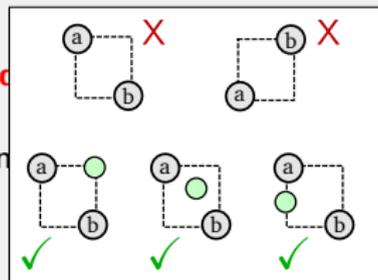
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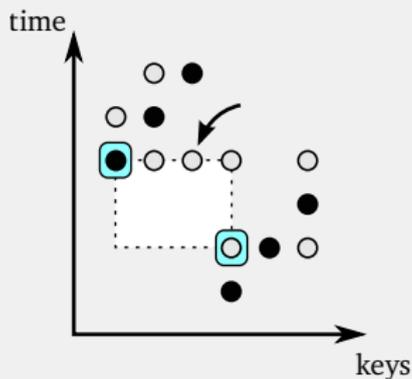
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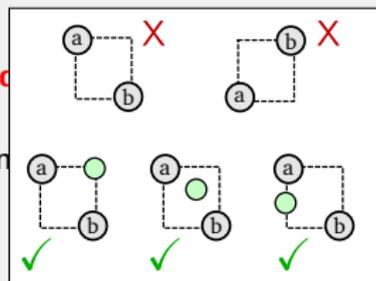
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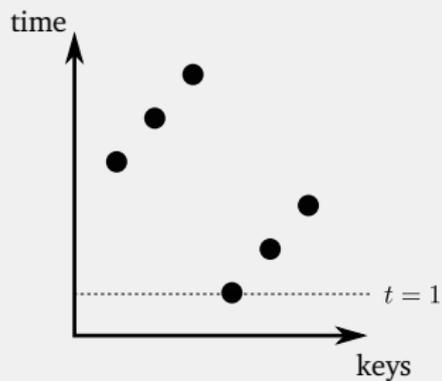
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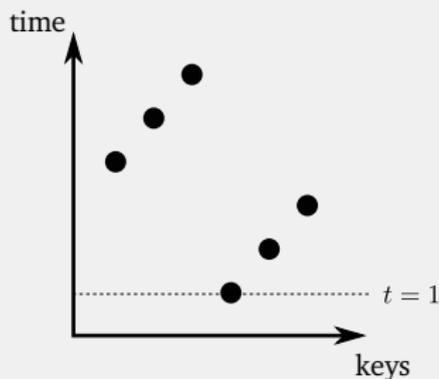


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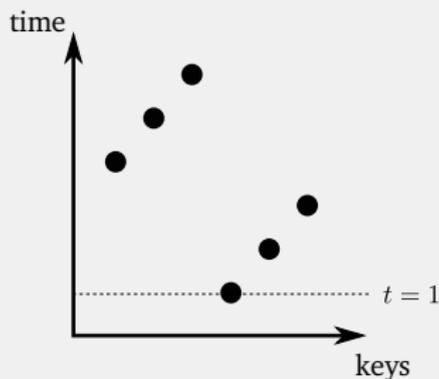


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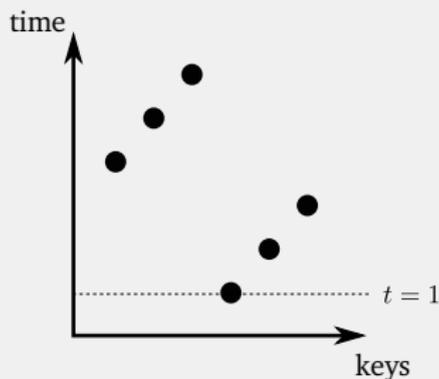


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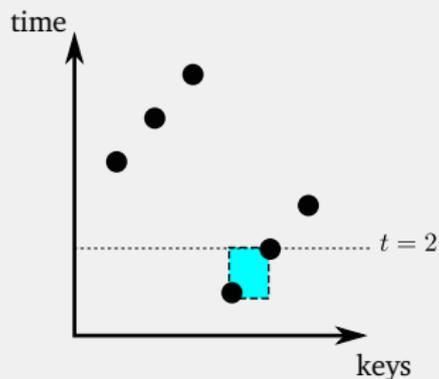


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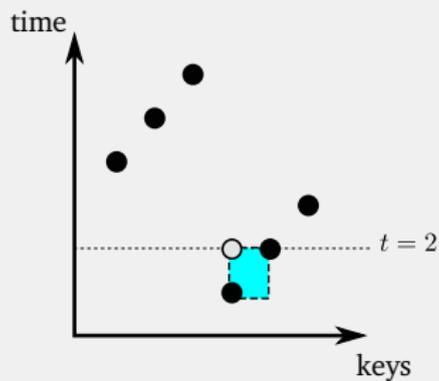


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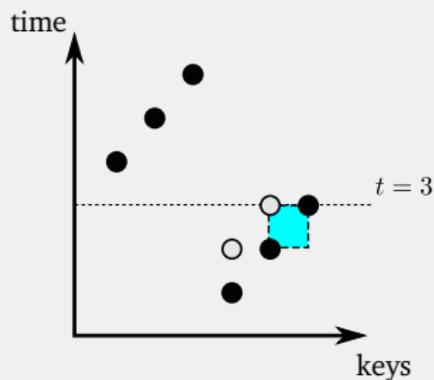


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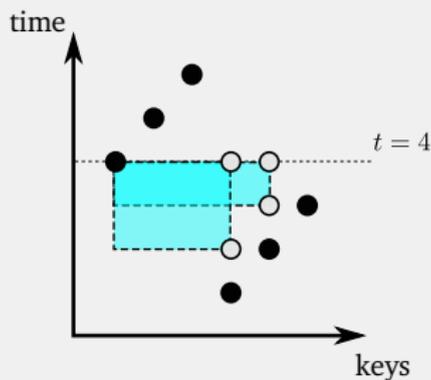


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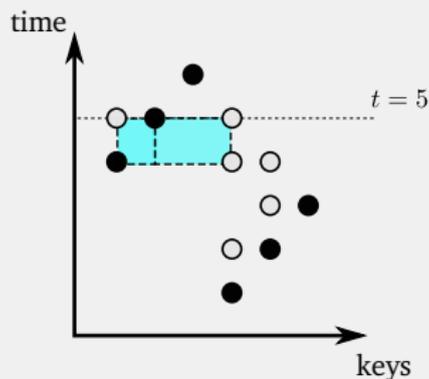


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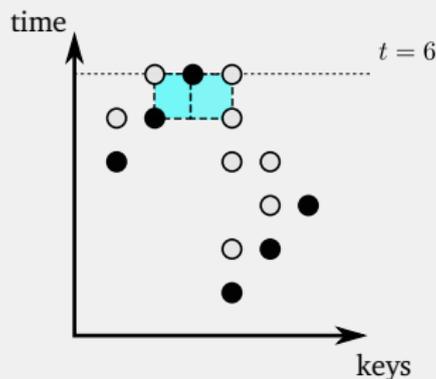


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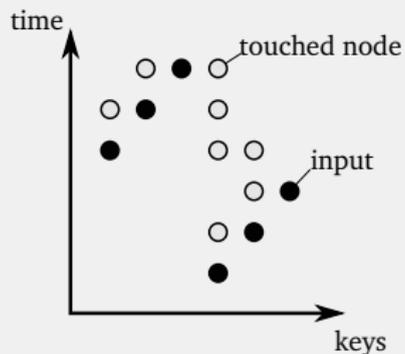


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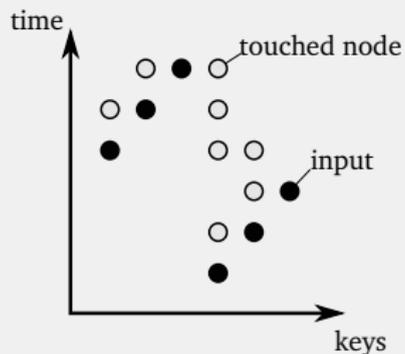
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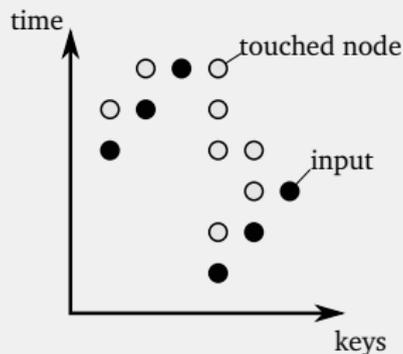
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This describes an **insertion-sort** execution.

**Task:** Bound the **cost**

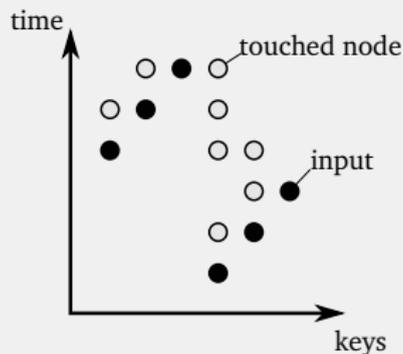
↓  
number of dots in matrix

# A matrix view of BSTs

Suggests a natural algorithm:

Geometric swepline  
bottom-up.

Can be implemented as a  
BST, similar to splay trees.



This describes an **insertion-sort** execution.

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number of dots in matrix

## Encode execution as a matrix

● = input sequence (avoids  $\pi$ )

● = data structure operations

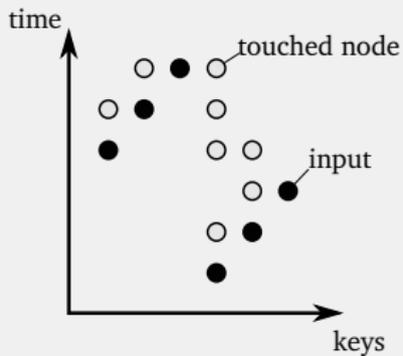
number of points (● + ●) = total cost

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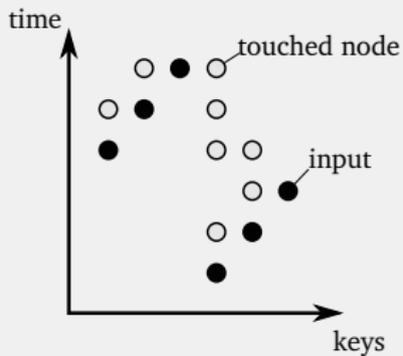


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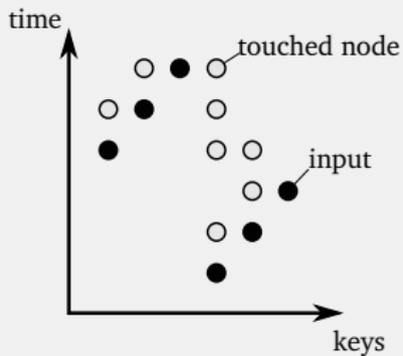


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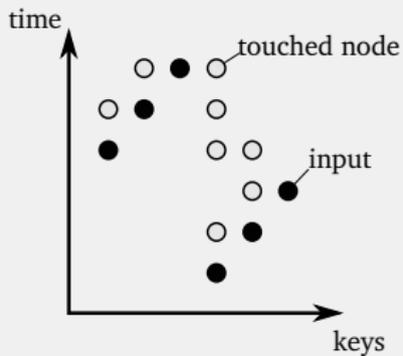


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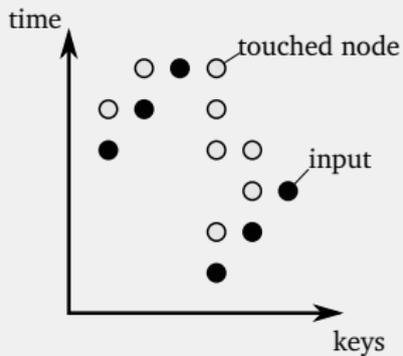


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If execution contains the pattern:



## Key Lemma

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there must be an input point inside



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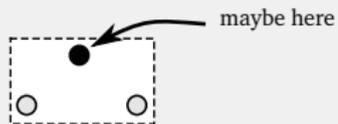


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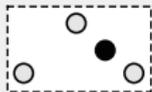
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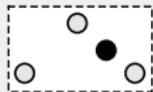
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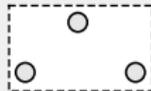
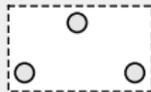
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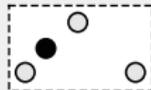
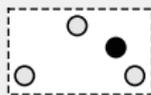
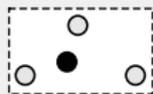
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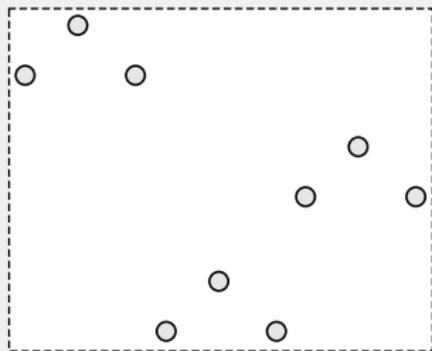
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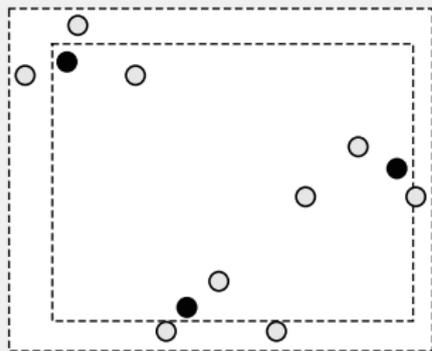
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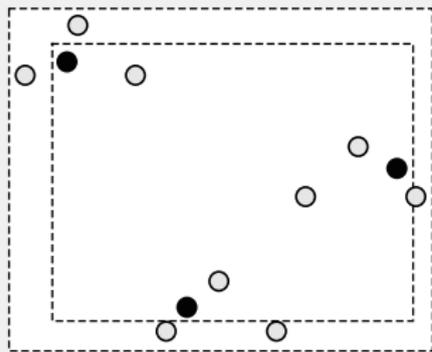
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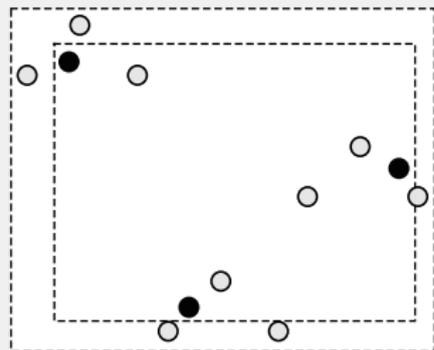
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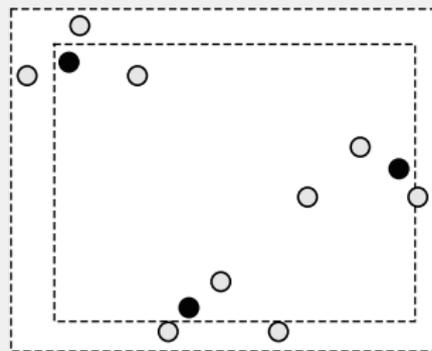


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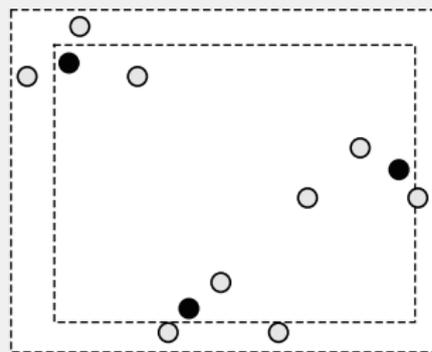
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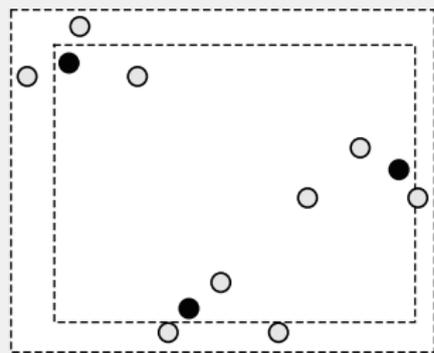
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$\rightarrow$  for various **special cases**  $O(n)$  can be shown, e.g., for  $\pi = k, \dots, 1$ .

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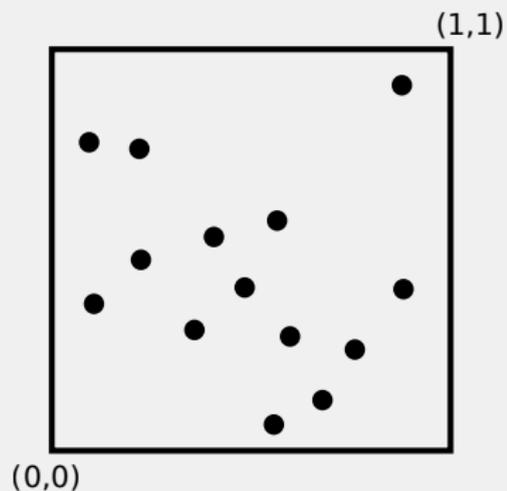
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**Can we get to  $O(n)$ ?** **yes:** [BKO'24] [Opler'24+]

Example: TSP

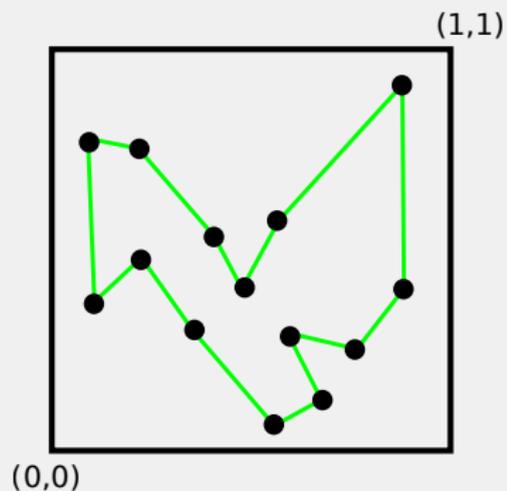
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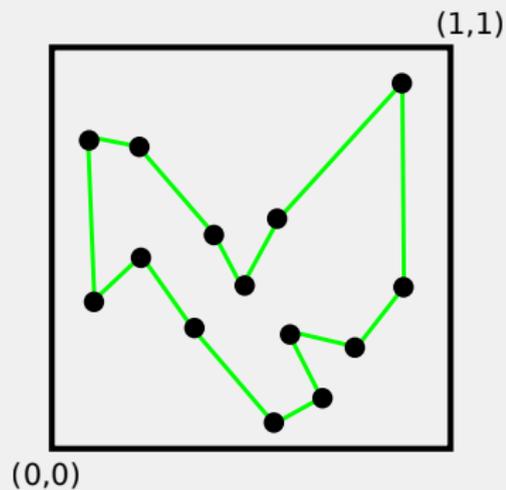
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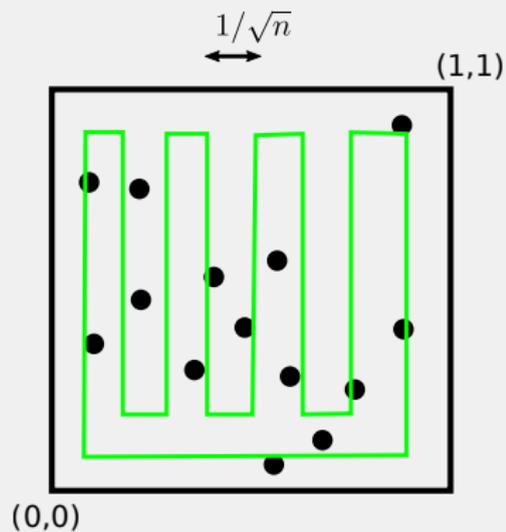




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Worst-case OPT length =  $O(\sqrt{n})$ .

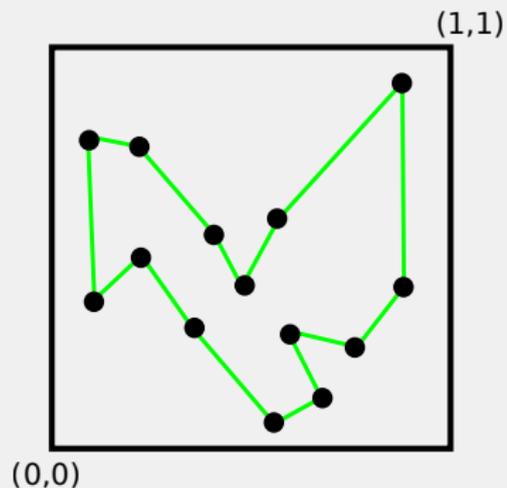






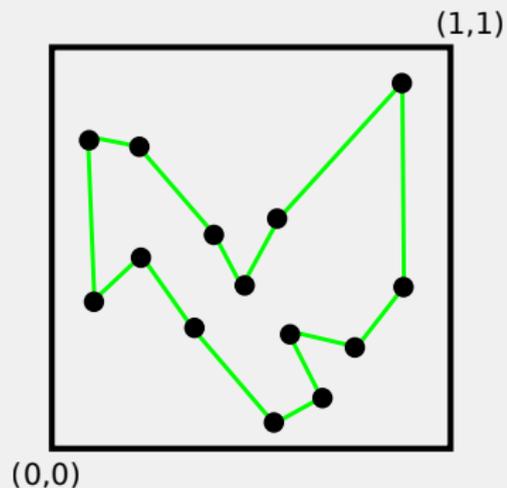
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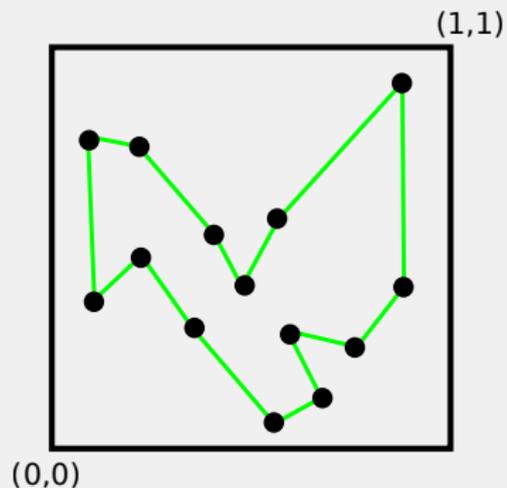
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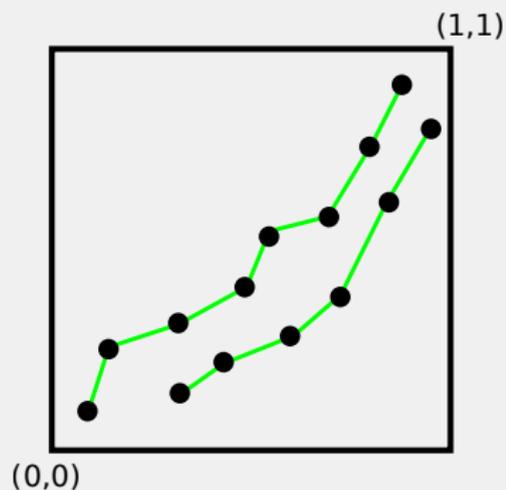
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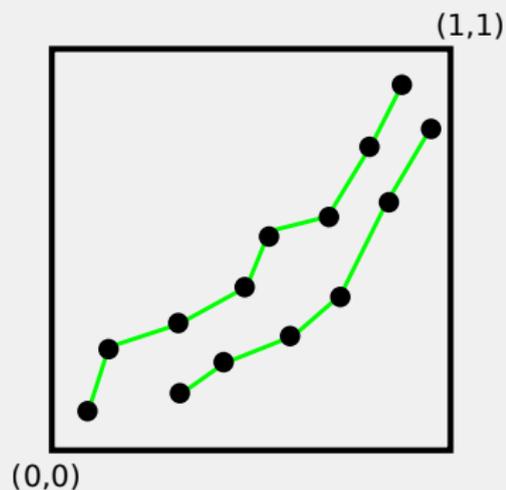
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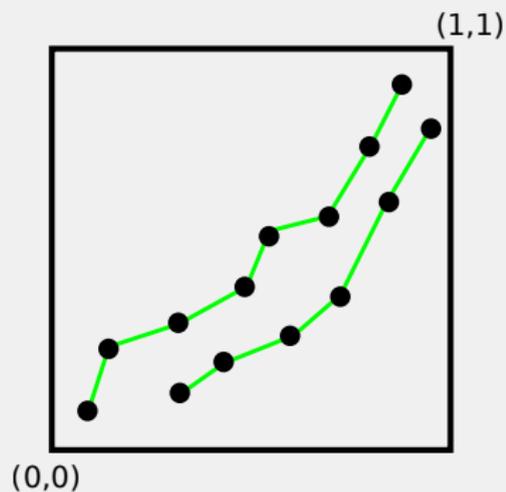
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For arbitrary  $\pi$ ?

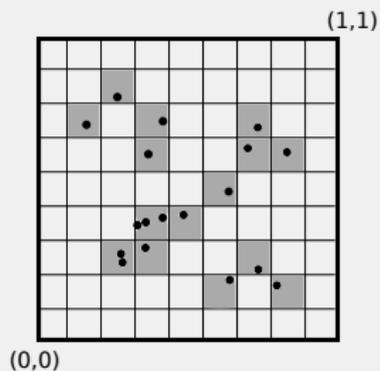


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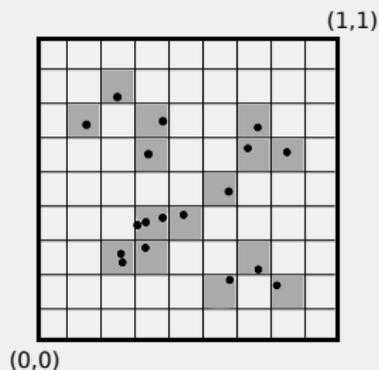
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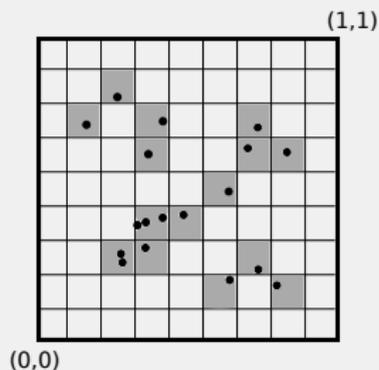


Consider  $\sqrt{n} \times \sqrt{n}$  grid. Only  $c_\pi \cdot \sqrt{n}$  cells touched.

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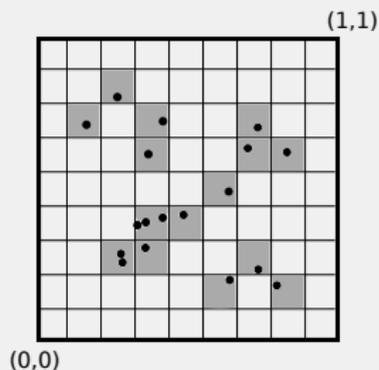
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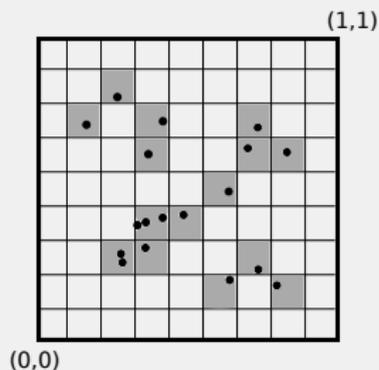
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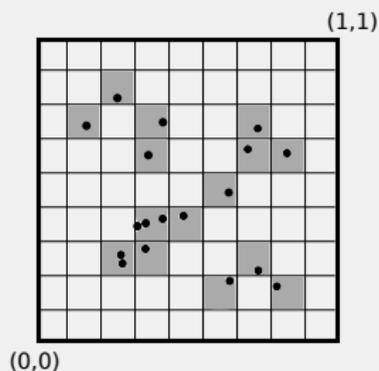
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$$\begin{aligned} f(n) &\leq f(c_\pi \cdot \sqrt{n}) + c_\pi \cdot f(\sqrt{n}/c_\pi) \\ &\leq (\log n)^{O(\log c_\pi)} \ll \sqrt{n}. \quad \text{[BKO'24]} \end{aligned}$$

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→ with more work, we can reduce to  $O(c_\pi \cdot \log n)$ .

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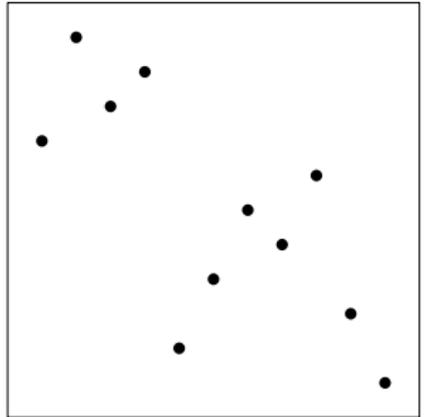
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**Merge:** Replace two points/rectangles by their bounding box

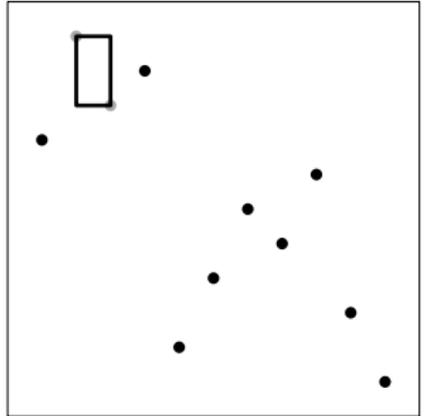
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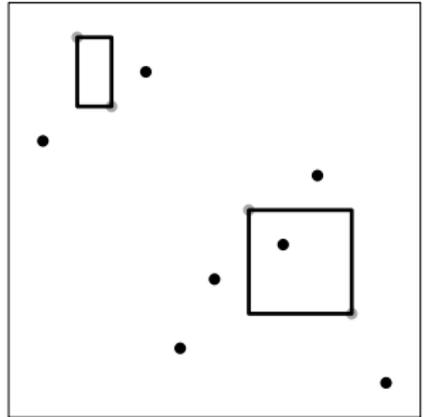
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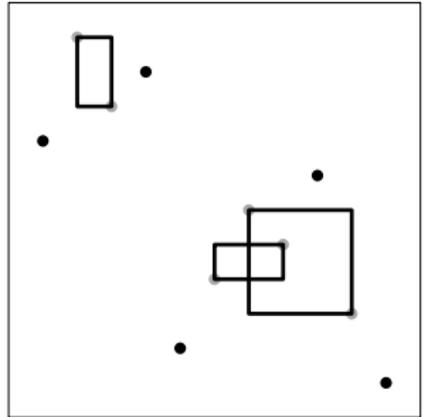
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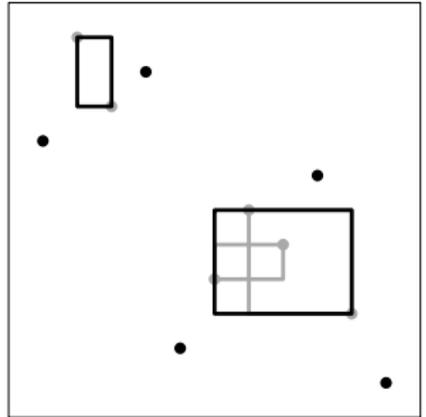
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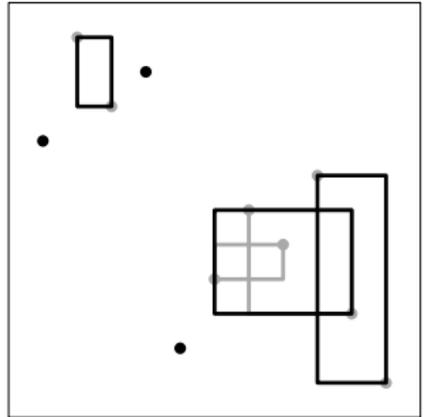
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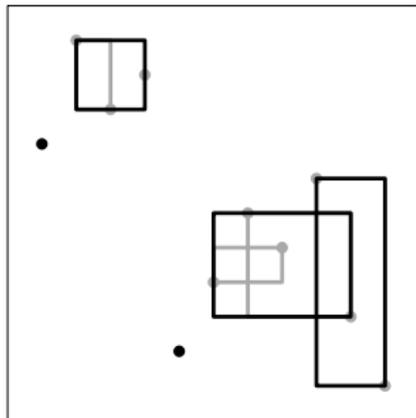
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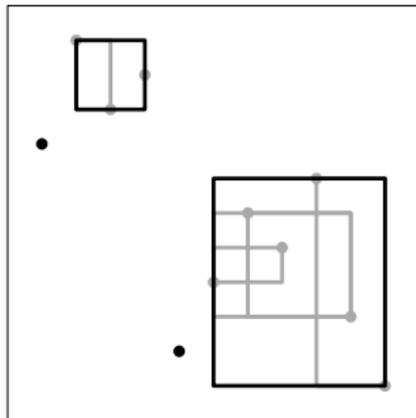
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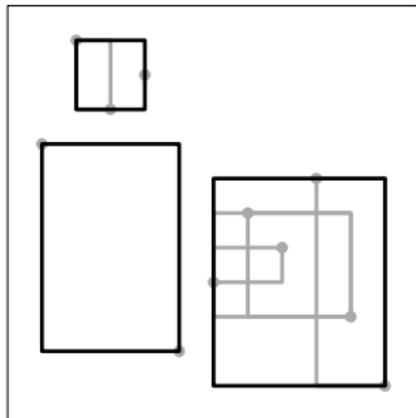
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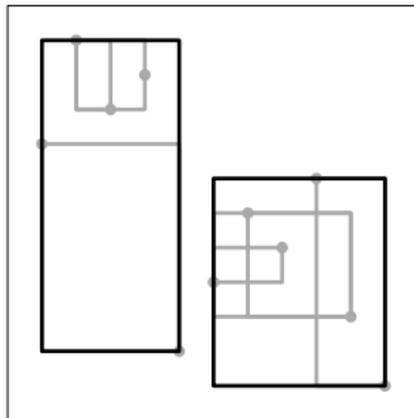
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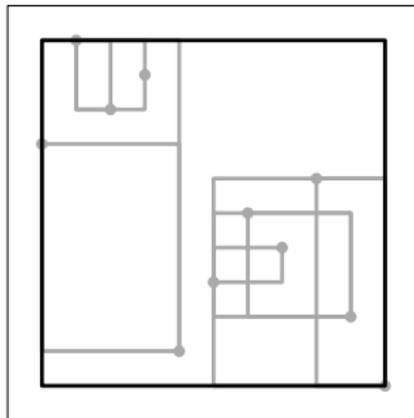
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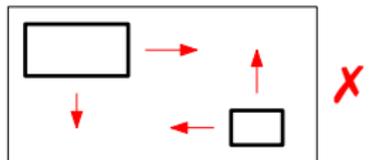
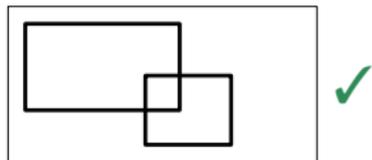
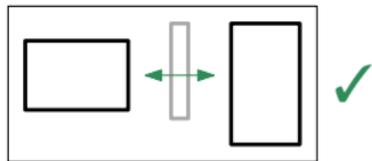


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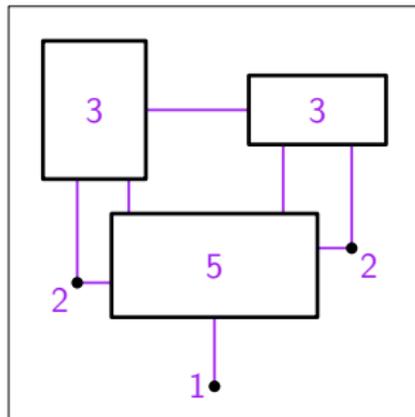
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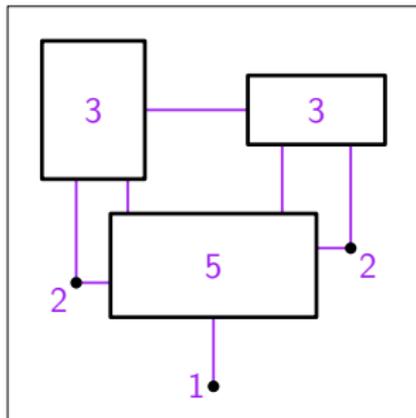
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A merge sequence is ***d*-wide** if each of its rectangle families is *d*-wide.



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$\implies$   $\text{twin-width}(X) \leq c_\pi \iff X$  has a  $c_\pi$ -wide **merge-sequence**

[Guillemot, Marx '14]

$\rightarrow$  Can use merge-sequence to construct  $O_\pi(\log n)$  cost TSP tour. [BKO '24]

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**Very recent:**  $O(n)$ -time sort of pattern-avoiding input via careful mergesort + forbidden submatrix analysis. [Opler '24+].

## Conclusions

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## **On an extremal problem in graph theory**

P. Turán. [Matematikai és Fizikai Lapok 1941]

## **Davenport-Schinzel theory of matrices**

Z. Füredi, P. Hajnal. [Discrete Mathematics 1992]

## **Excluded permutation matrices and the Stanley-Wilf conjecture**

A. Marcus, G. Tardos. [J. Combin. Theory Ser. A 2004]

## **On 0-1 matrices and small excluded submatrices**

G. Tardos. [J. Combin. Theory Ser. A 2005]

## **Applications of Forbidden 0-1 Matrices to Search Tree and Path Compression-Based Data Structures**

S. Pettie. [SODA 2010]

## **Finding small patterns in permutations in linear time**

S. Guillemot, D. Marx. [SODA 2014]

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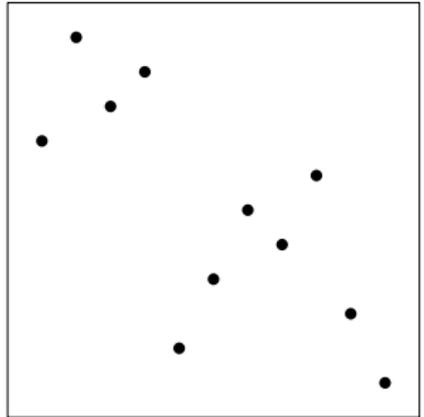
...thanks...



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Given:  $d$ -wide merge sequence of  $P$

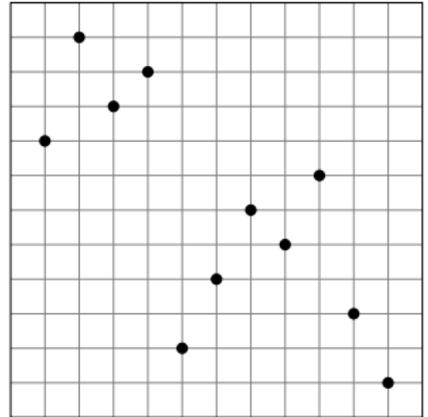
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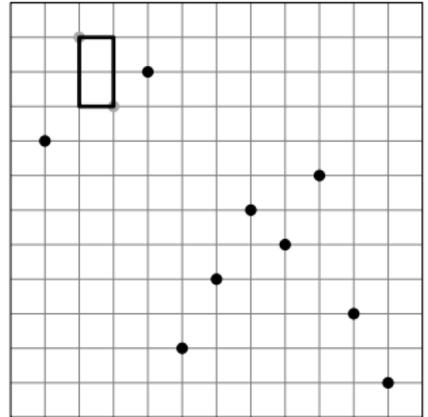
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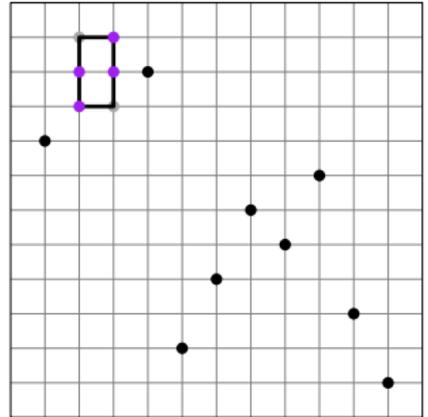
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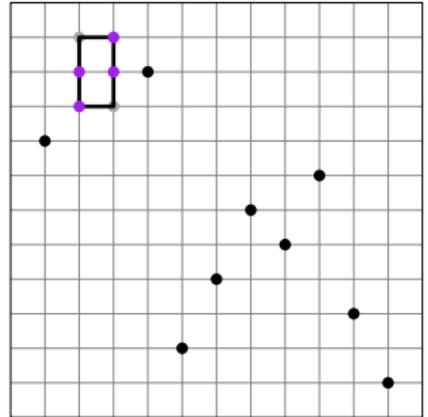
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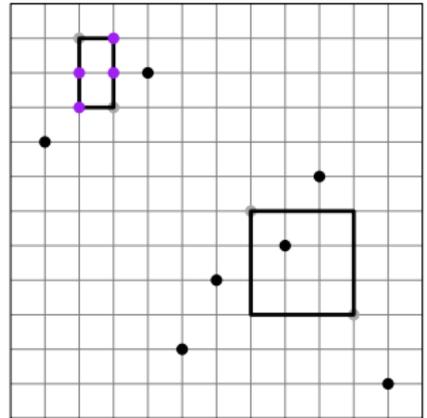
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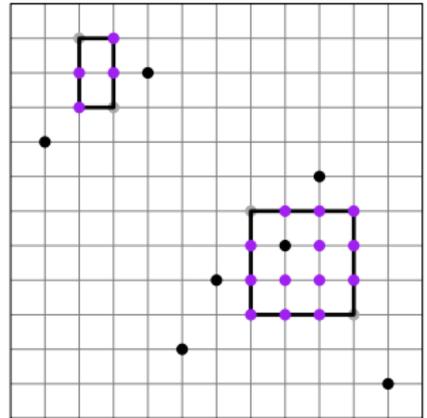
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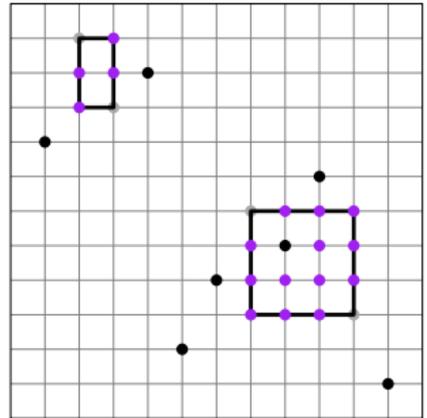
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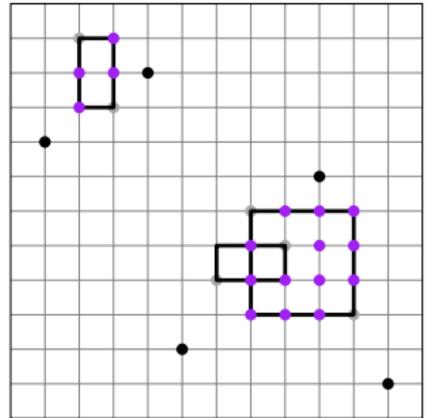
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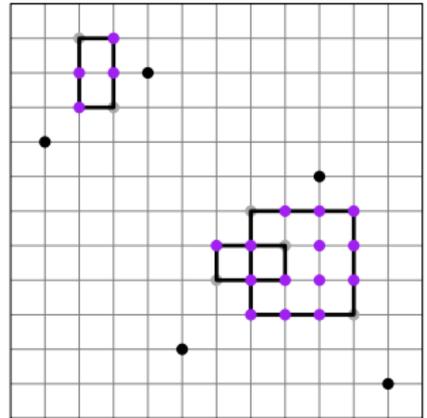
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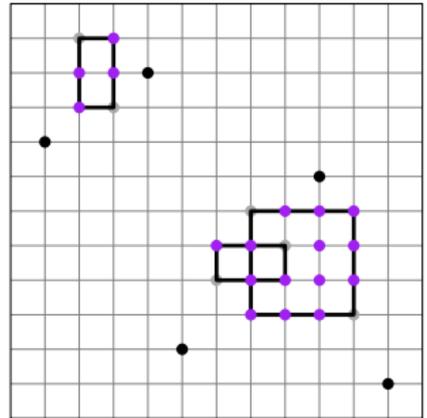
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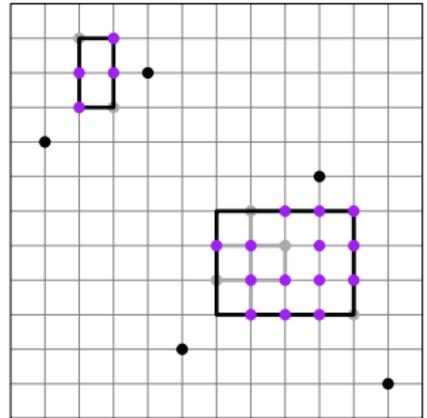
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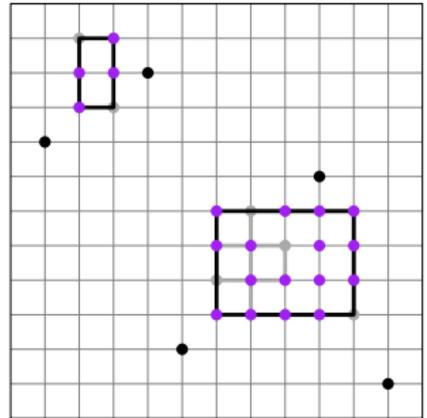
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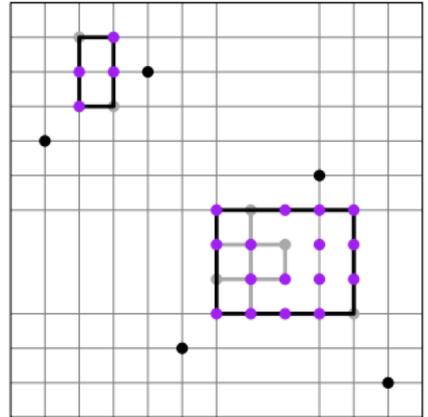
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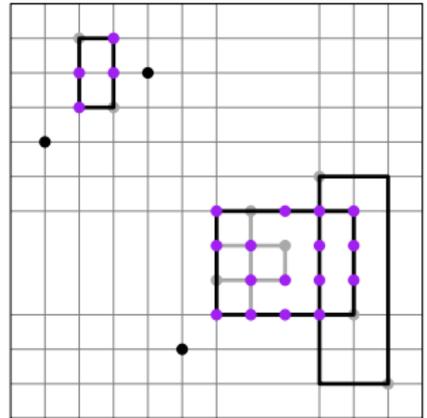
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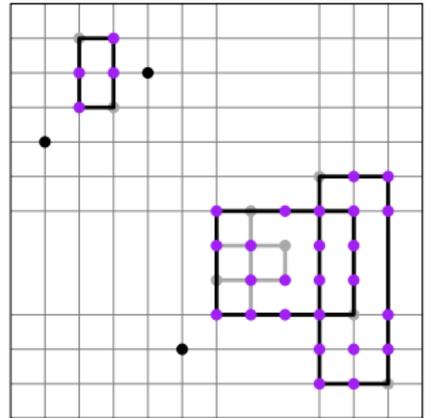
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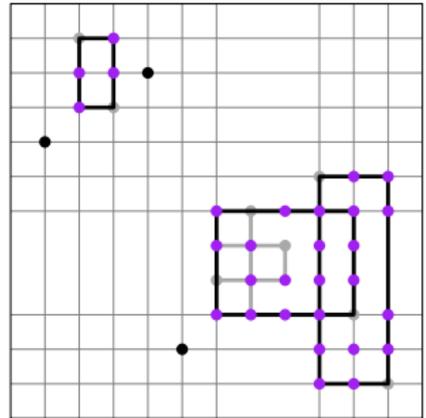
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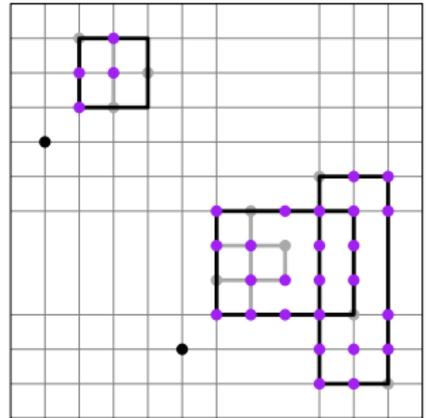
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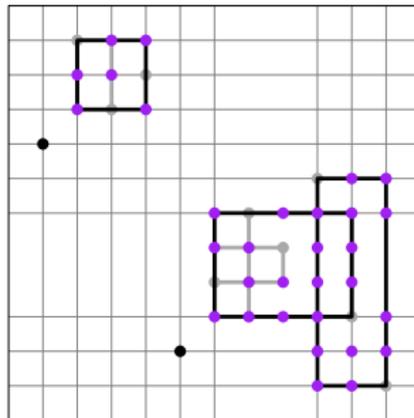
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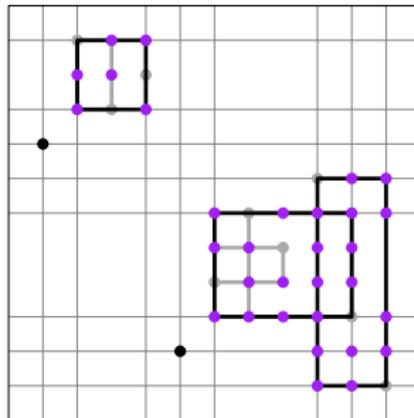
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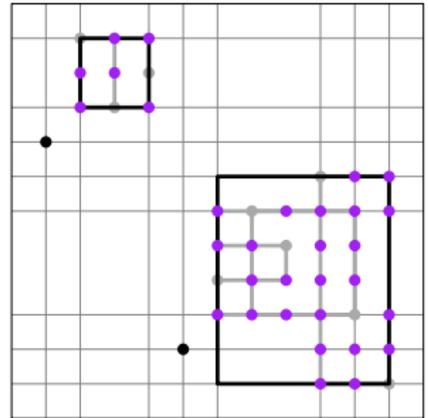
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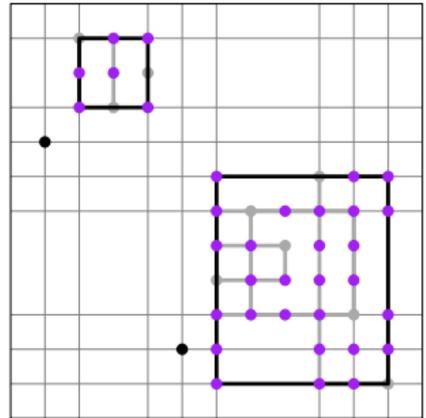
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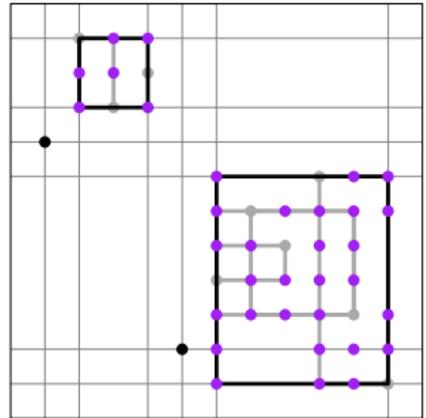
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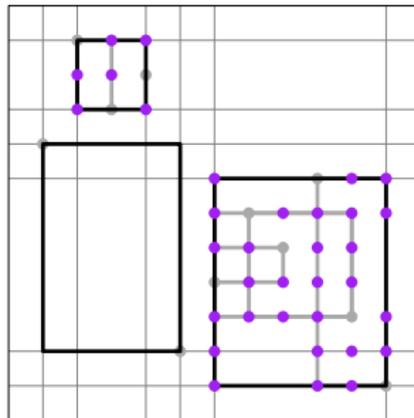
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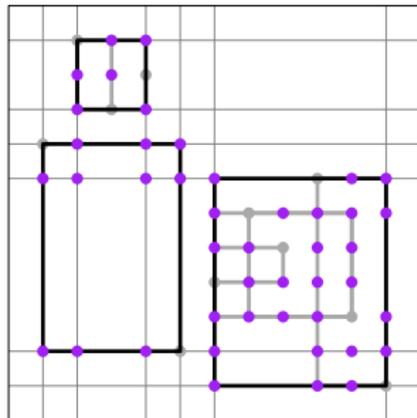
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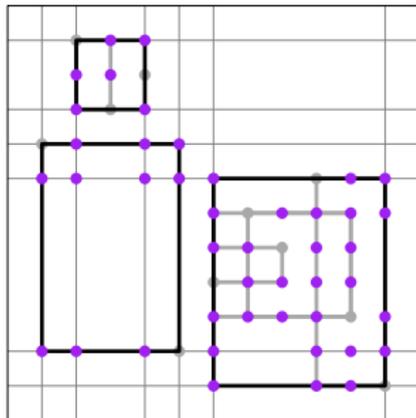
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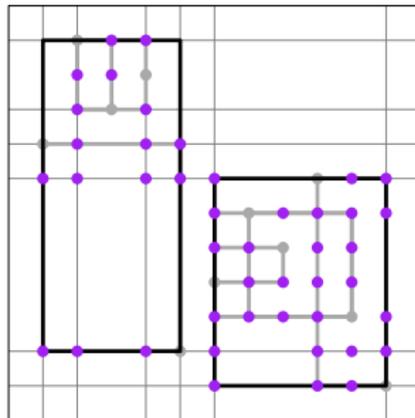
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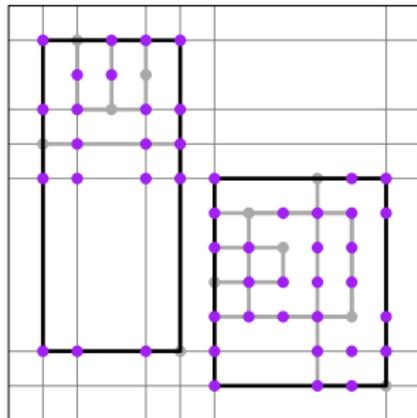
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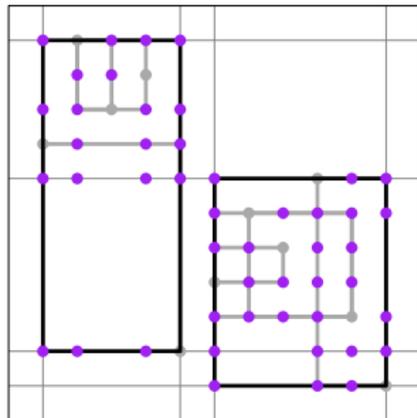
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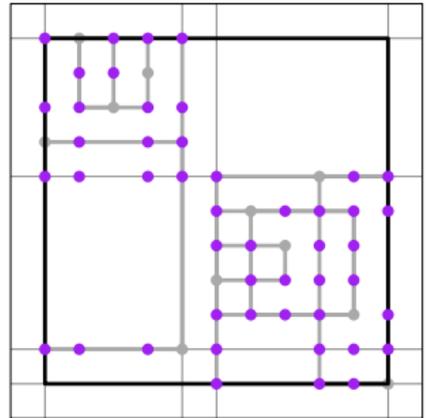
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(repeat)



## Arborially satisfied superset with merge sequences

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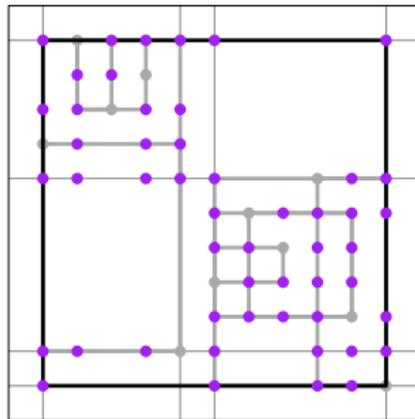
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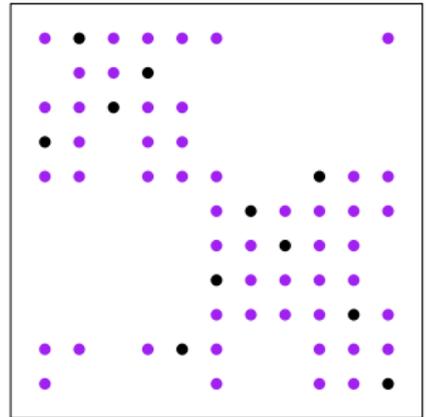
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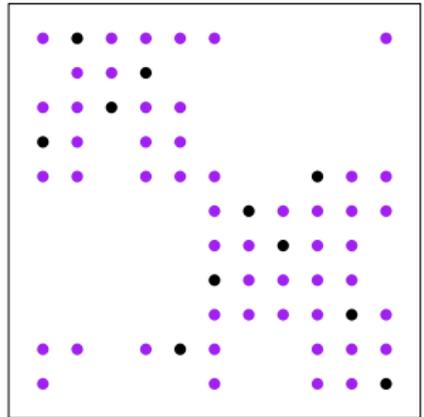
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**Claim:**

- (a) Result is arborally satisfied
- (b) # of added points is  $\mathcal{O}(d^2 \cdot n)$  (**proof now**)



# of added points

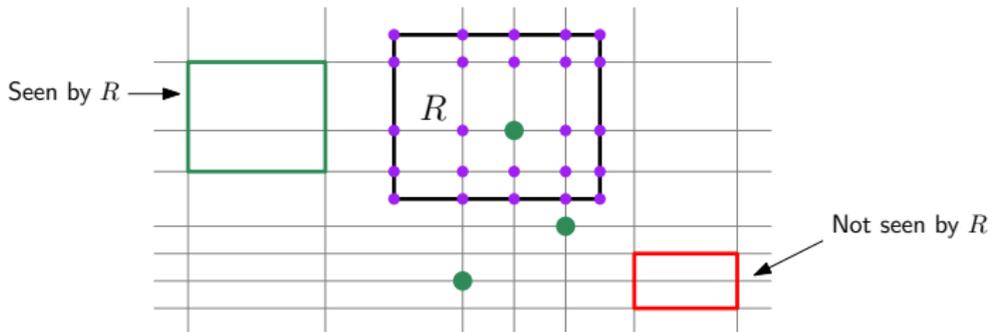
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**Proof idea:** Rectangle sees  $\leq d$  other rectangles/points

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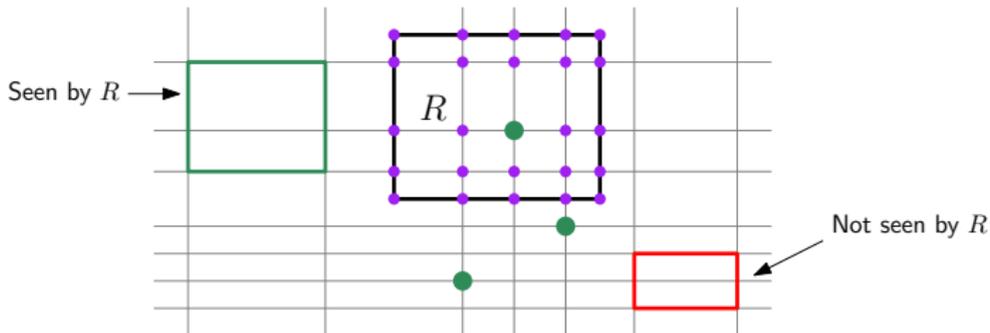


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**Conclusion:** The optimum for every input sequence of twin-width  $d$  is  $\mathcal{O}(d^2 \cdot n)$ .

$\implies$  The optimum for every  $\pi$ -avoiding input sequence is  $\mathcal{O}(c_\pi^2 \cdot n)$ .

## Distance-balanced merge sequences for MST

In a **distance-balanced** merge sequence the width and height of the  $i$ -th rectangle is at most  $\mathcal{O}(1/(n-i))$ . **[NEW]**

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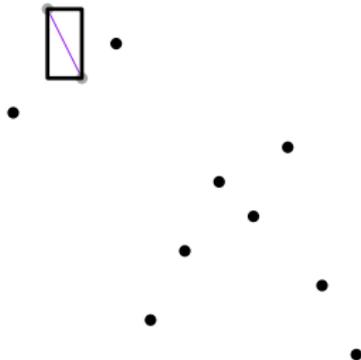


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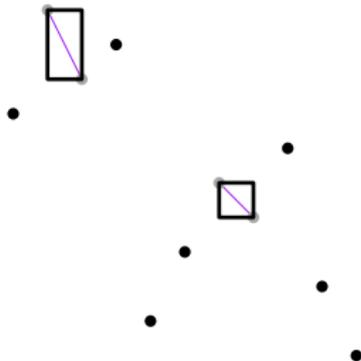


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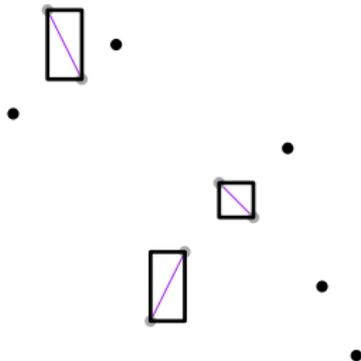


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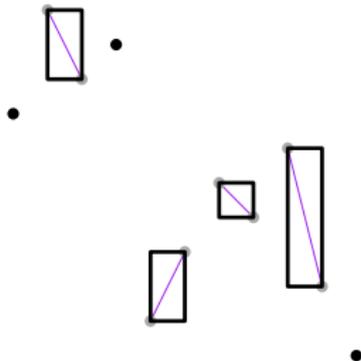


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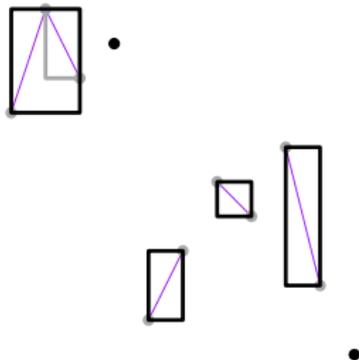


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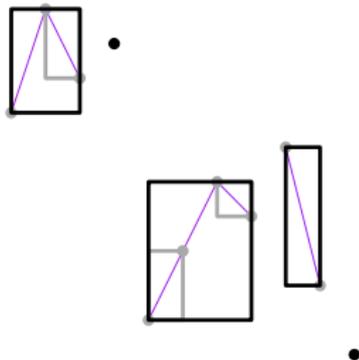


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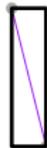
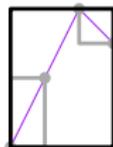
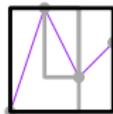


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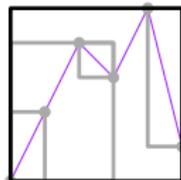
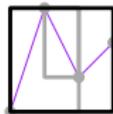


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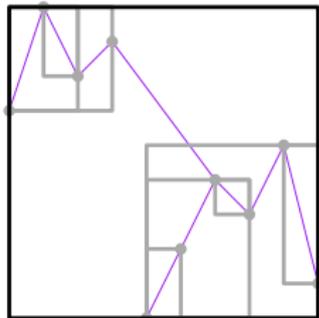


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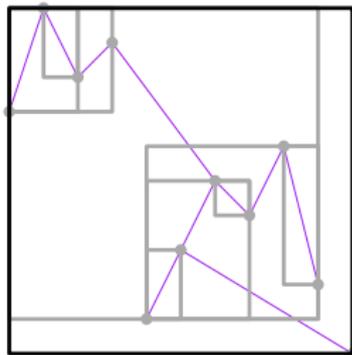


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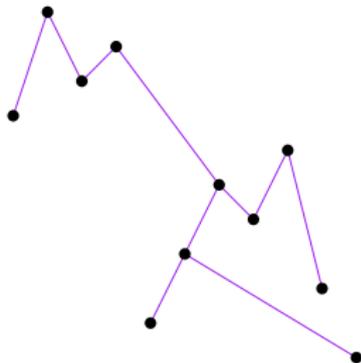


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Length:

$$\sum_{i=1}^{n-1} \frac{1}{n-i} \approx \log n$$

