

Asymptotics of unlabeled galled trees with a fixed number of galls

Lily Agranat-Tamir, Michael Fuchs, Bernhard Gittenberger and Noah Rosenberg
AofA 2024

Lecture Outline

Introduction – phylogenetic trees

Definition – galled trees

Previous work – the enumeration of galled trees
and galled trees with one gall

Novel work – the enumeration of galled trees with a
fixed number of galls

Summary

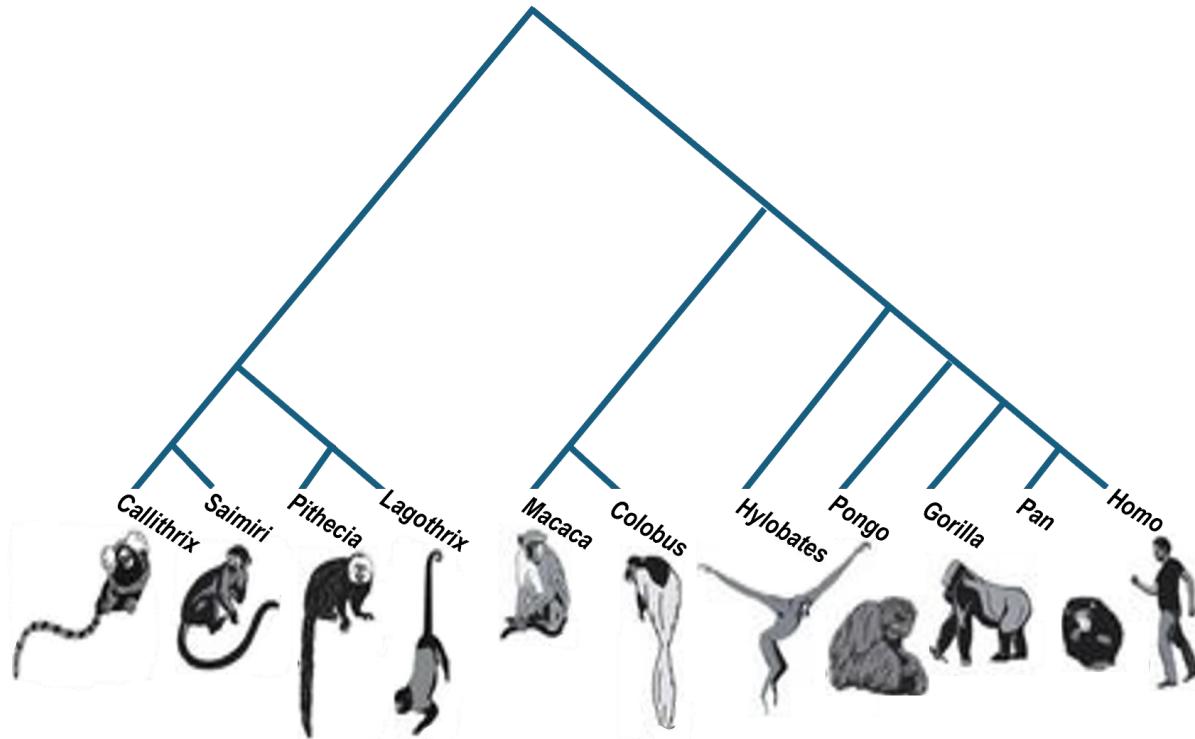
Phylogenetic Trees

Phylogenetic Trees

Species Trees

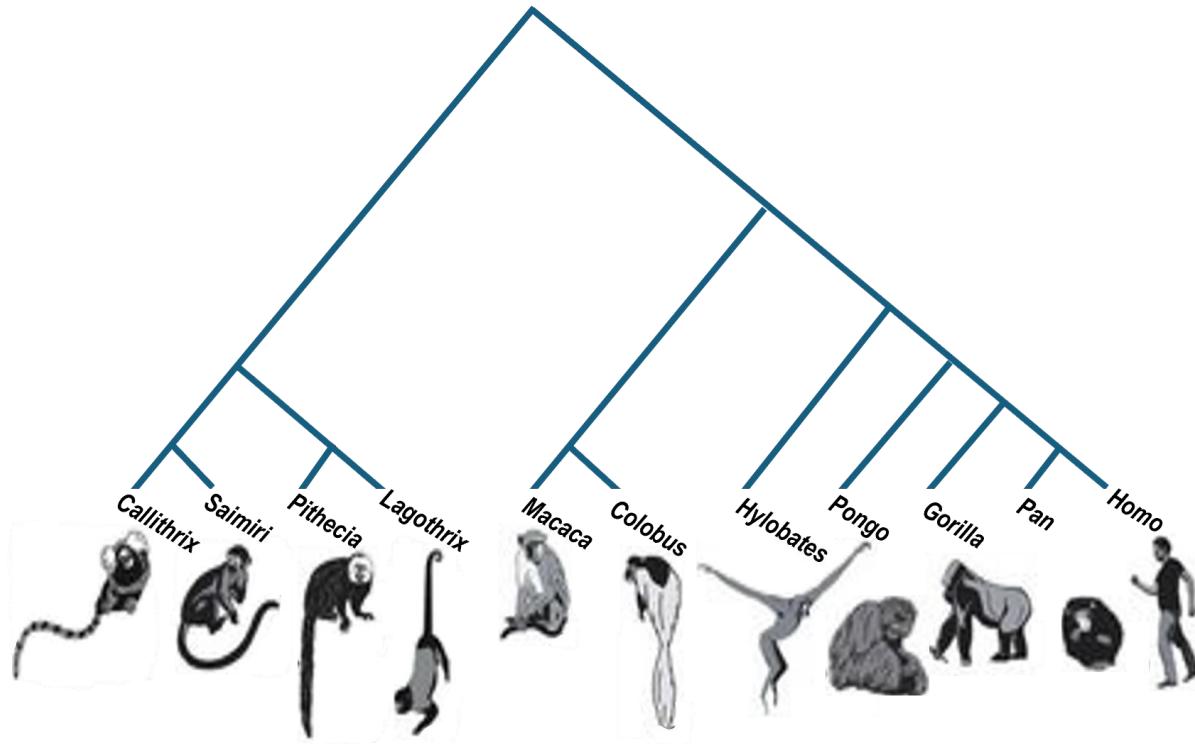
Phylogenetic Trees

Species Trees



Phylogenetic Trees

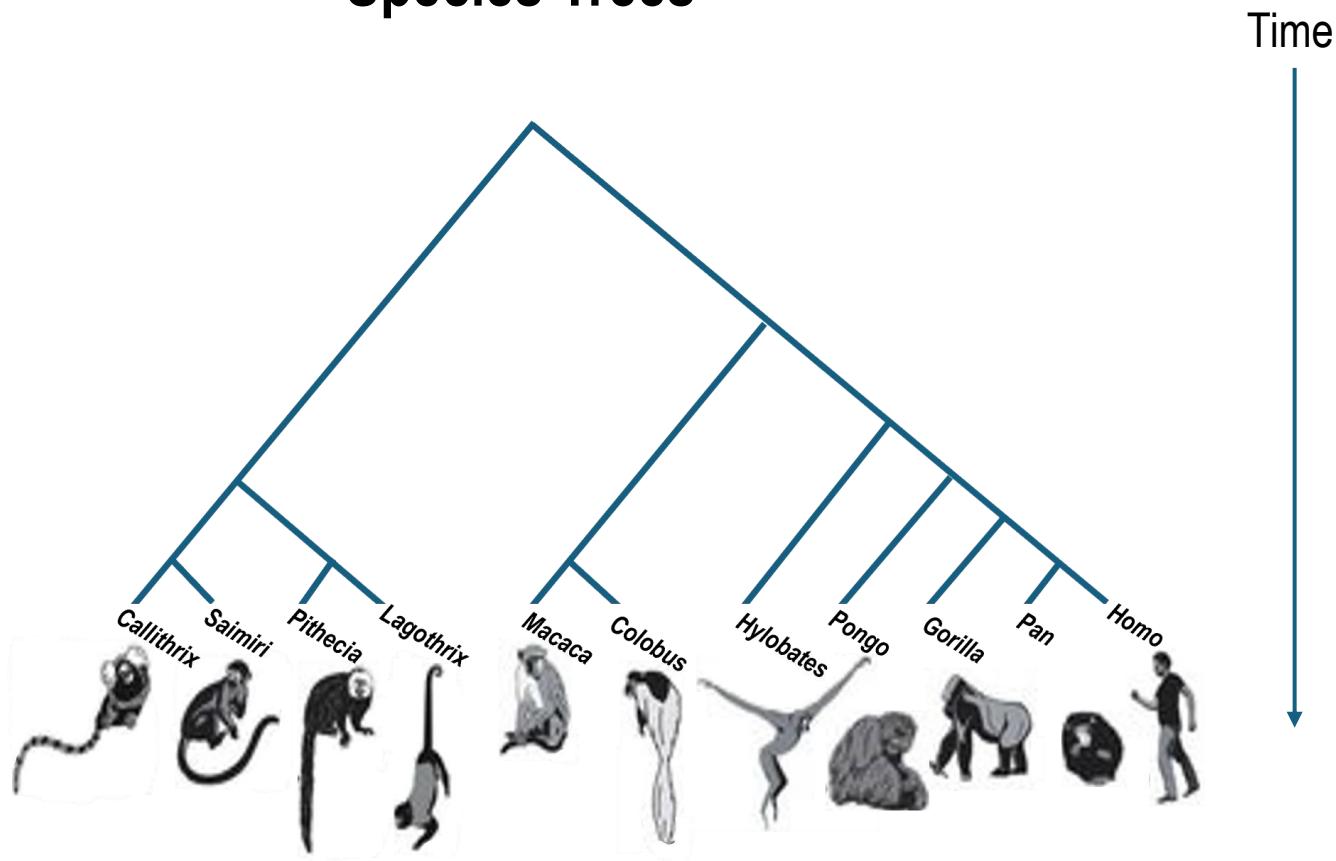
Species Trees



Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

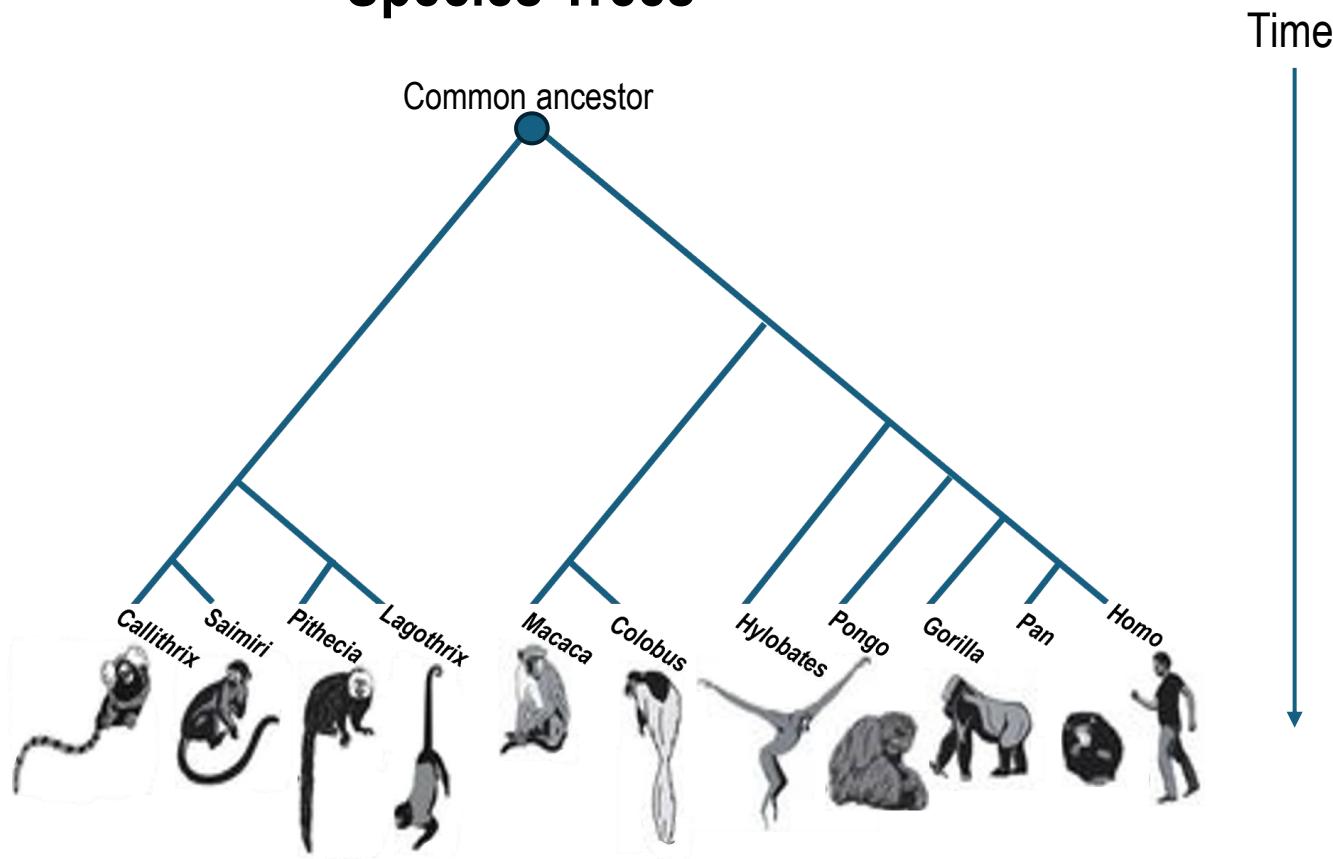
Species Trees



Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

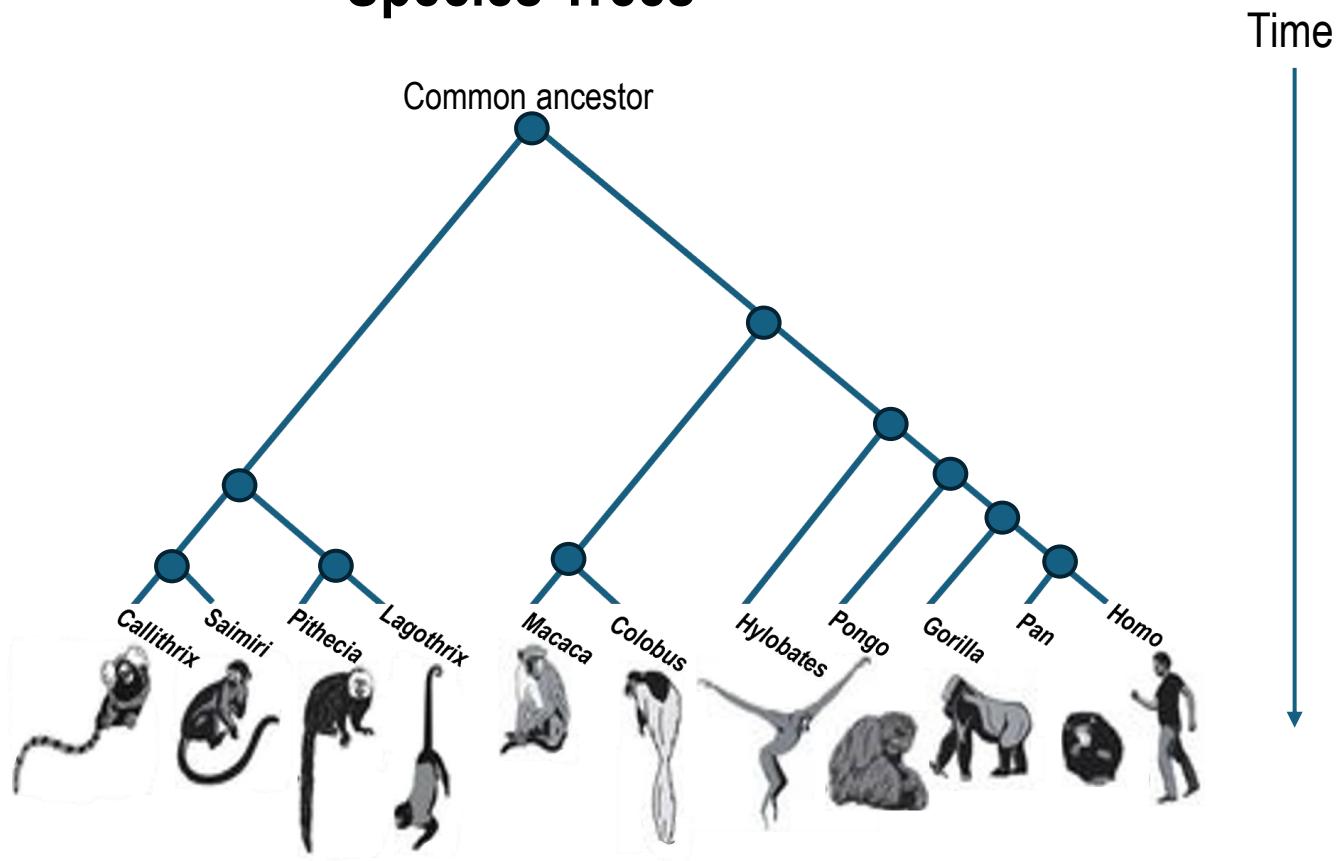
Species Trees



Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

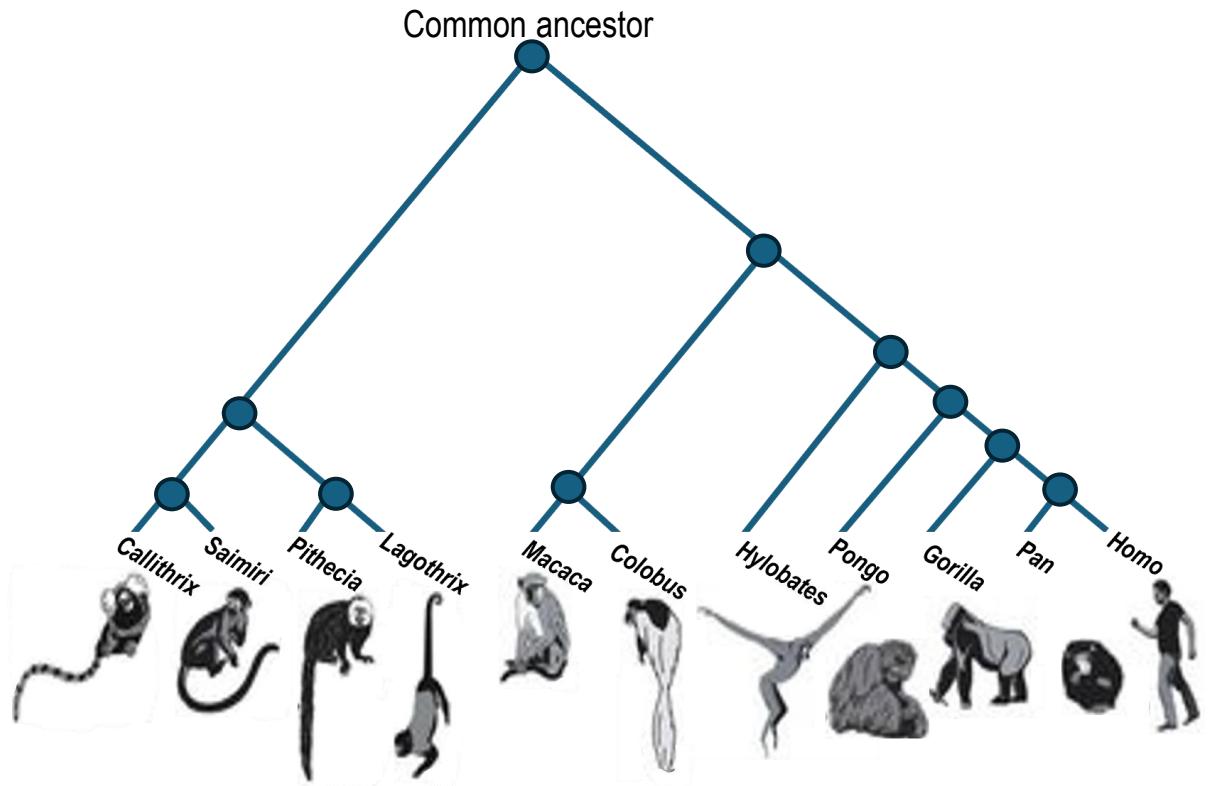
Species Trees



Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

Species Trees



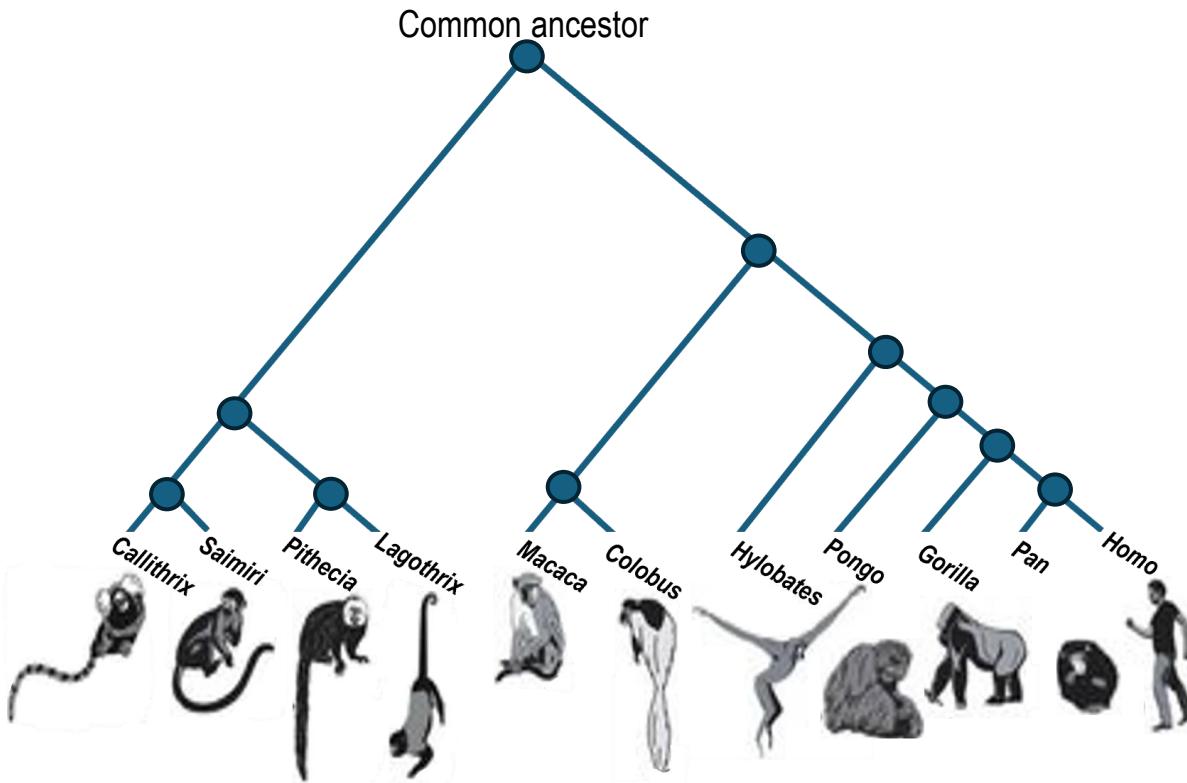
DNA based Trees

Time

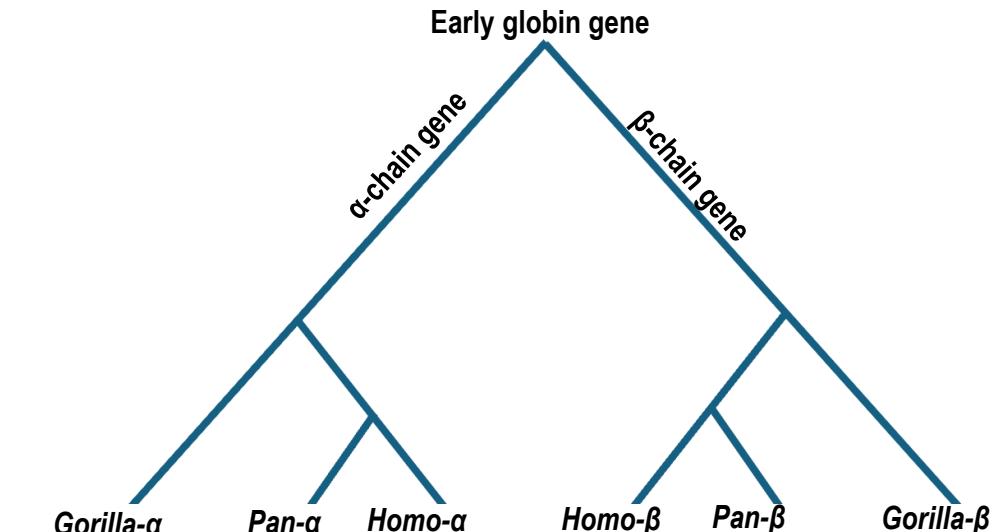
Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

Species Trees



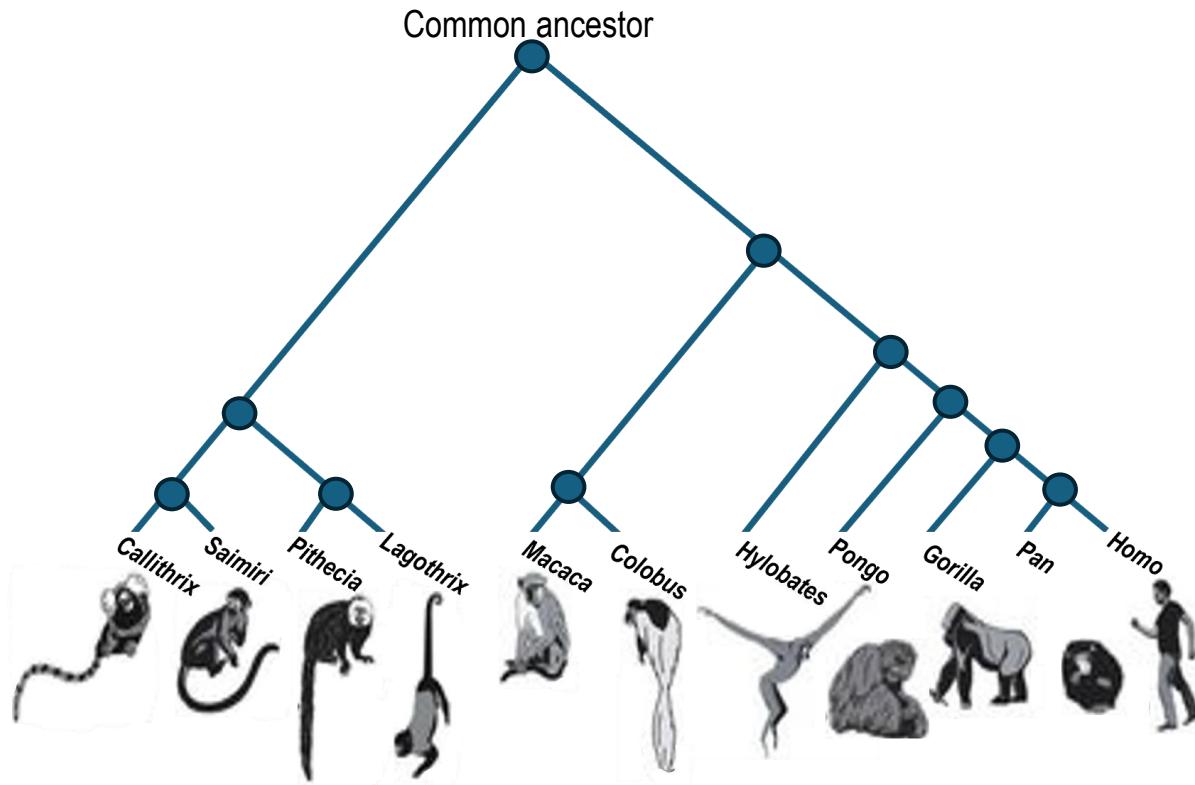
DNA based Trees



Adapted from <http://anthropologyiselemental.ua.edu/osteology.html>

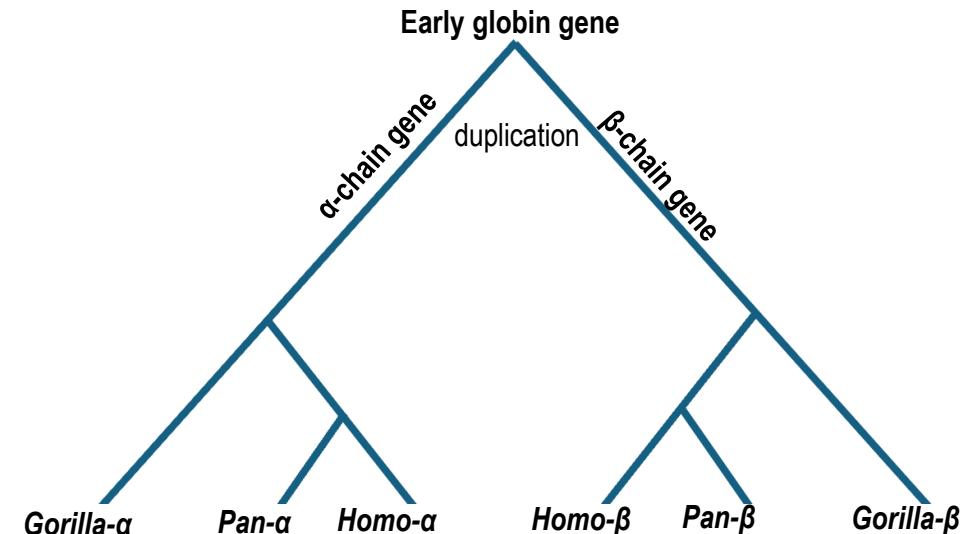
Phylogenetic Trees

Species Trees



Time

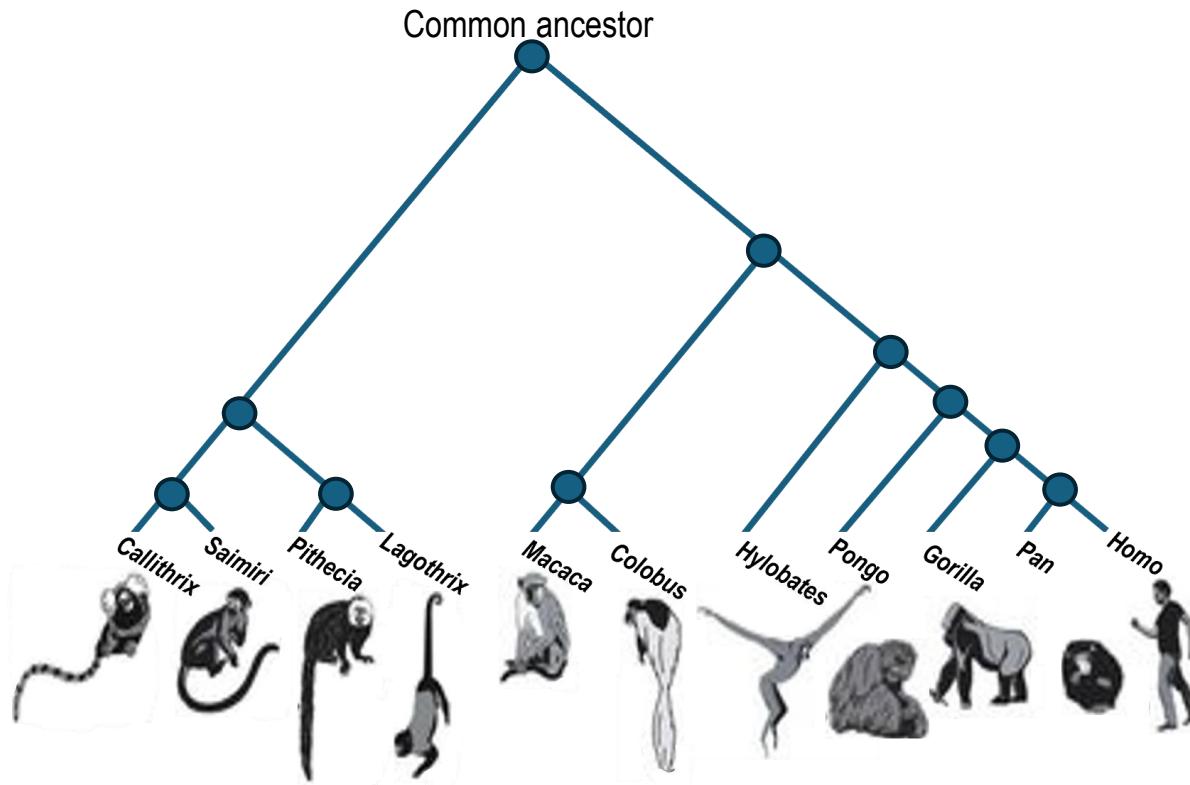
DNA based Trees



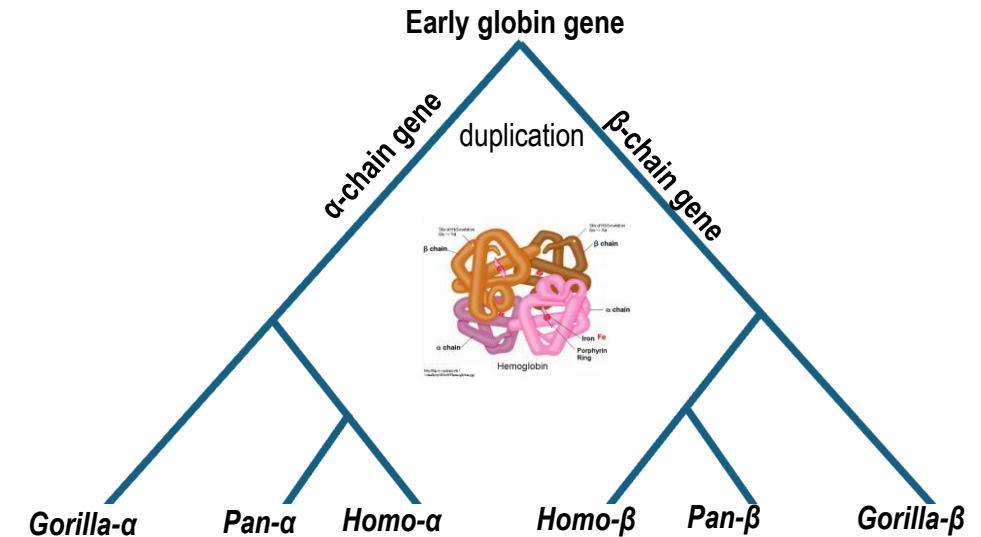
Adapted from <http://anthropologyiselemental.ua.edu/osteology.html>

Phylogenetic Trees

Species Trees



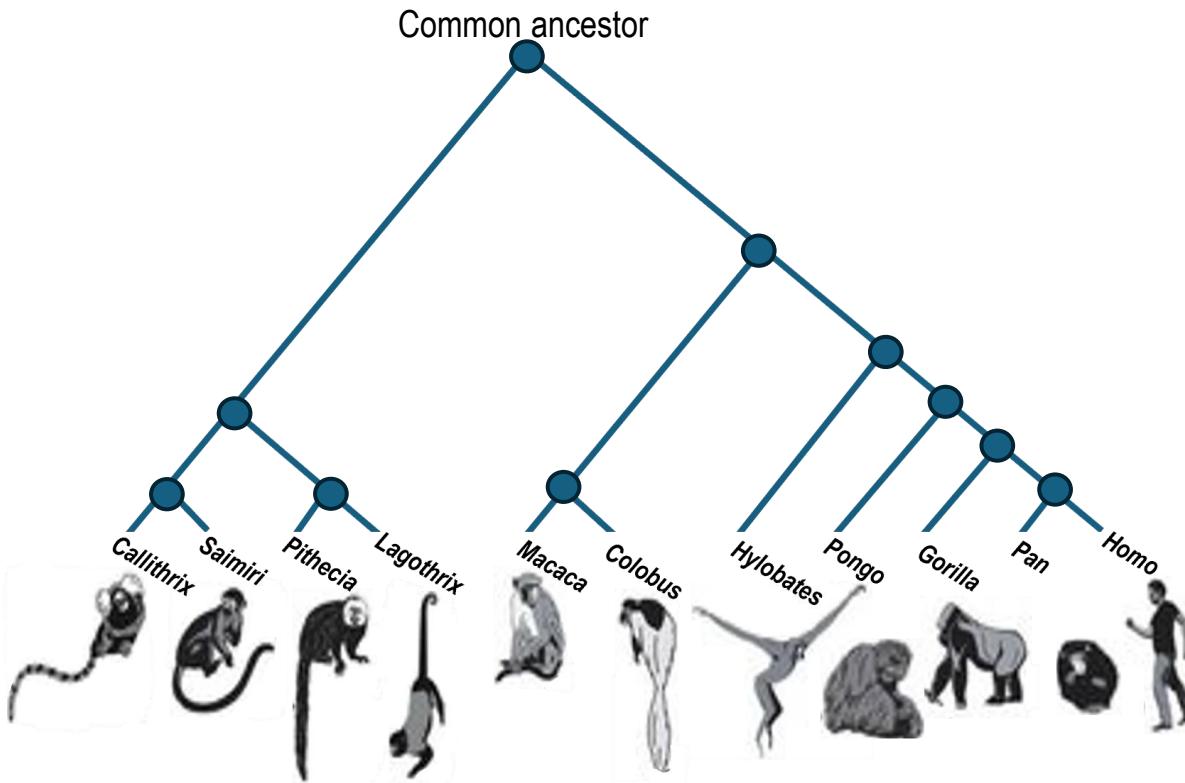
DNA based Trees



Adapted from <http://anthropologyiselemental.ua.edu/osteology.html>

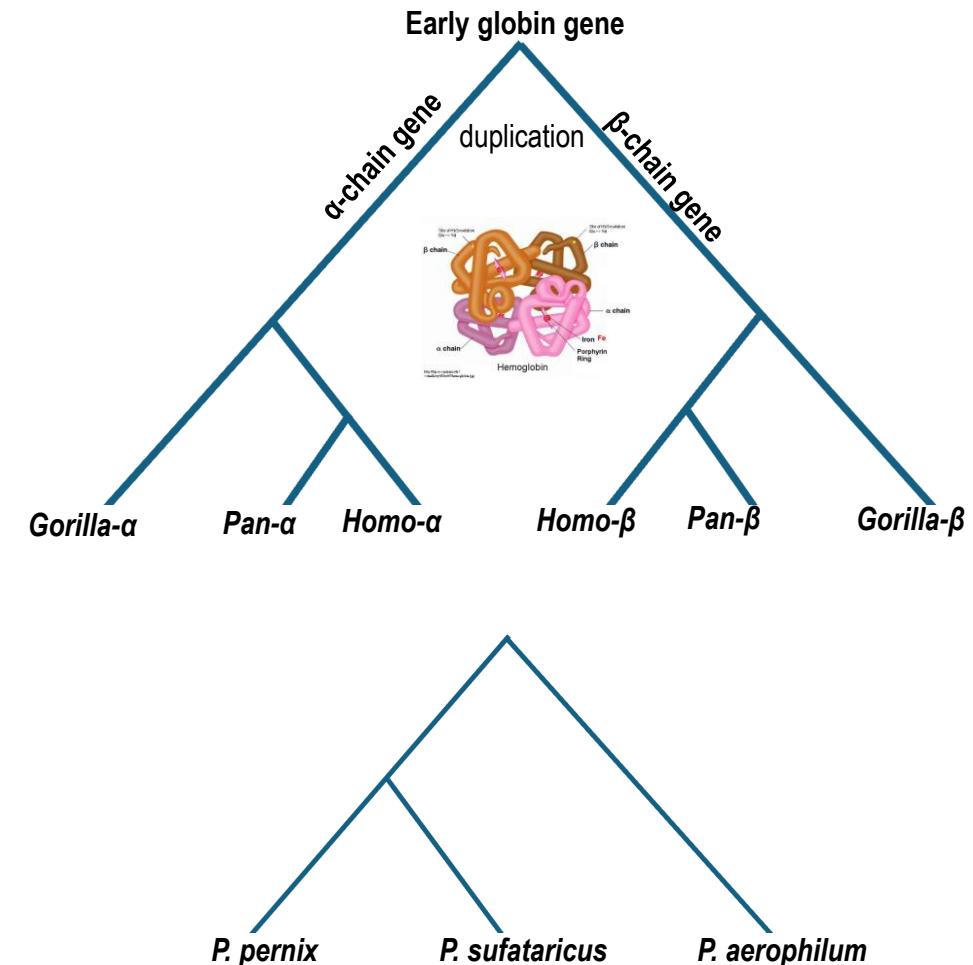
Phylogenetic Trees

Species Trees



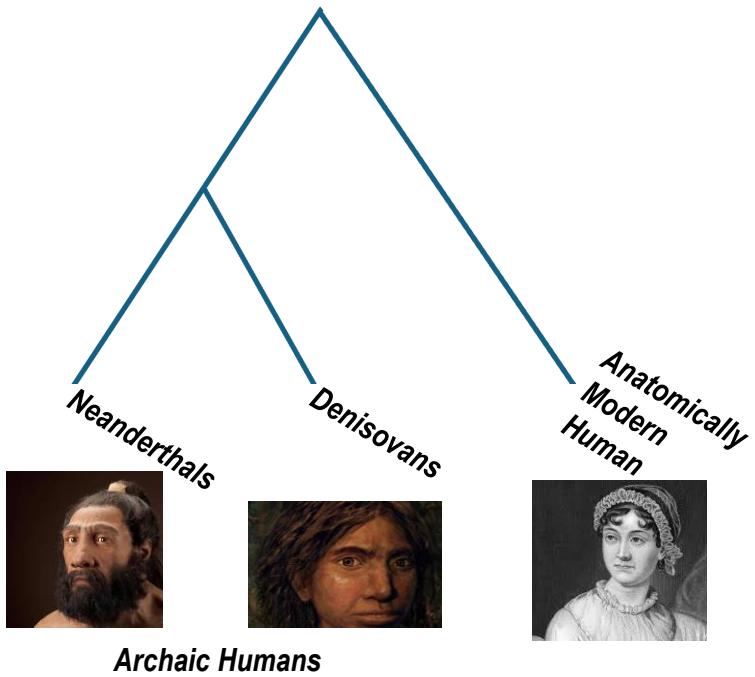
Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

DNA based Trees

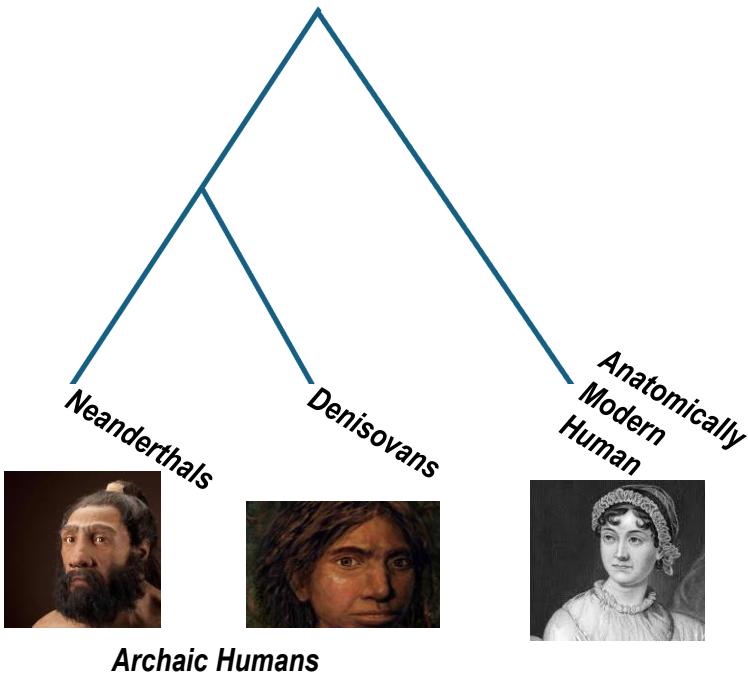


Phylogenetic Trees

Phylogenetic Trees



Phylogenetic Trees

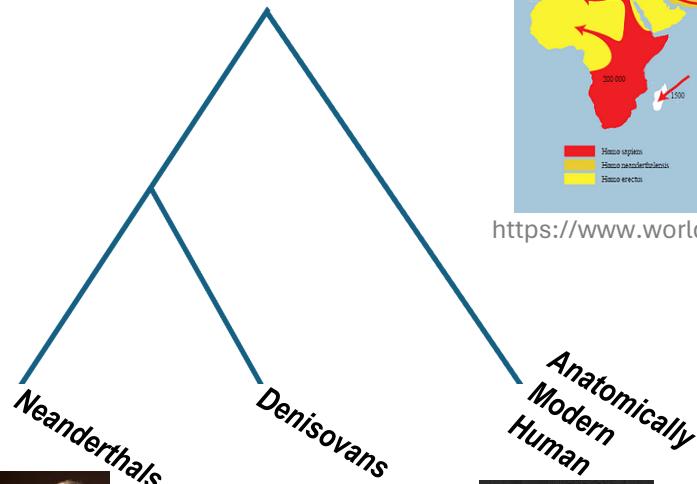


<https://humanorigins.si.edu/evidence/human-fossils/species/homo-neanderthalensis>

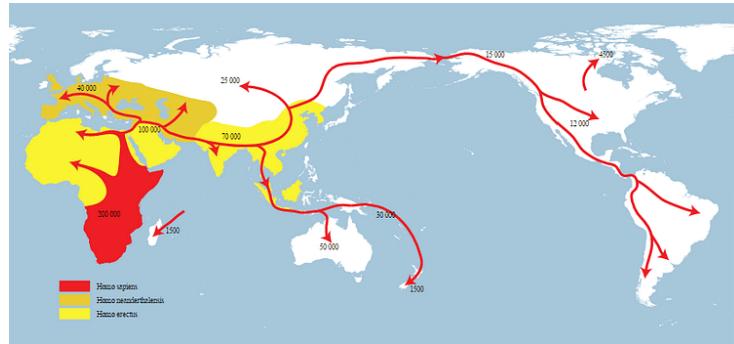
<https://www.sciencefocus.com/science/denisovans>

<https://www.britannica.com/biography/Jane-Austen>

Phylogenetic Trees



Archaic Humans



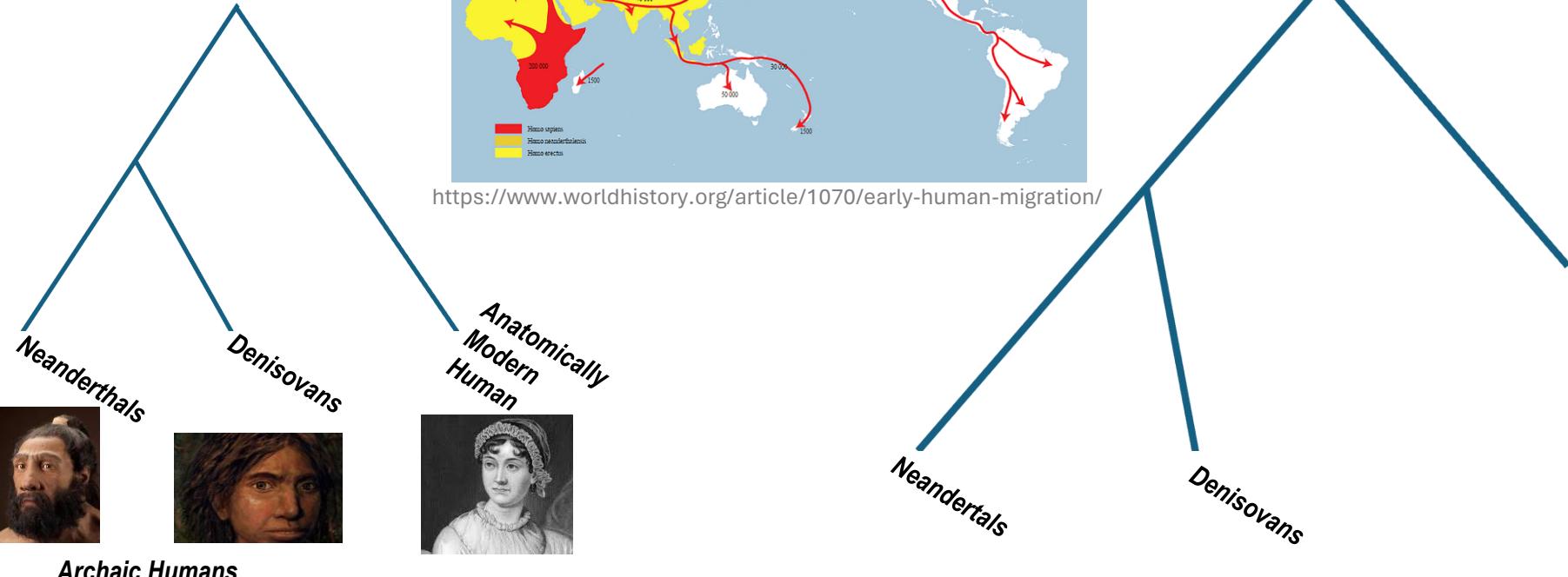
<https://www.worldhistory.org/article/1070/early-human-migration/>

<https://humanorigins.si.edu/evidence/human-fossils/species/homo-neanderthalensis>

<https://www.sciencefocus.com/science/denisovans>

<https://www.britannica.com/biography/Jane-Austen>

Phylogenetic Trees

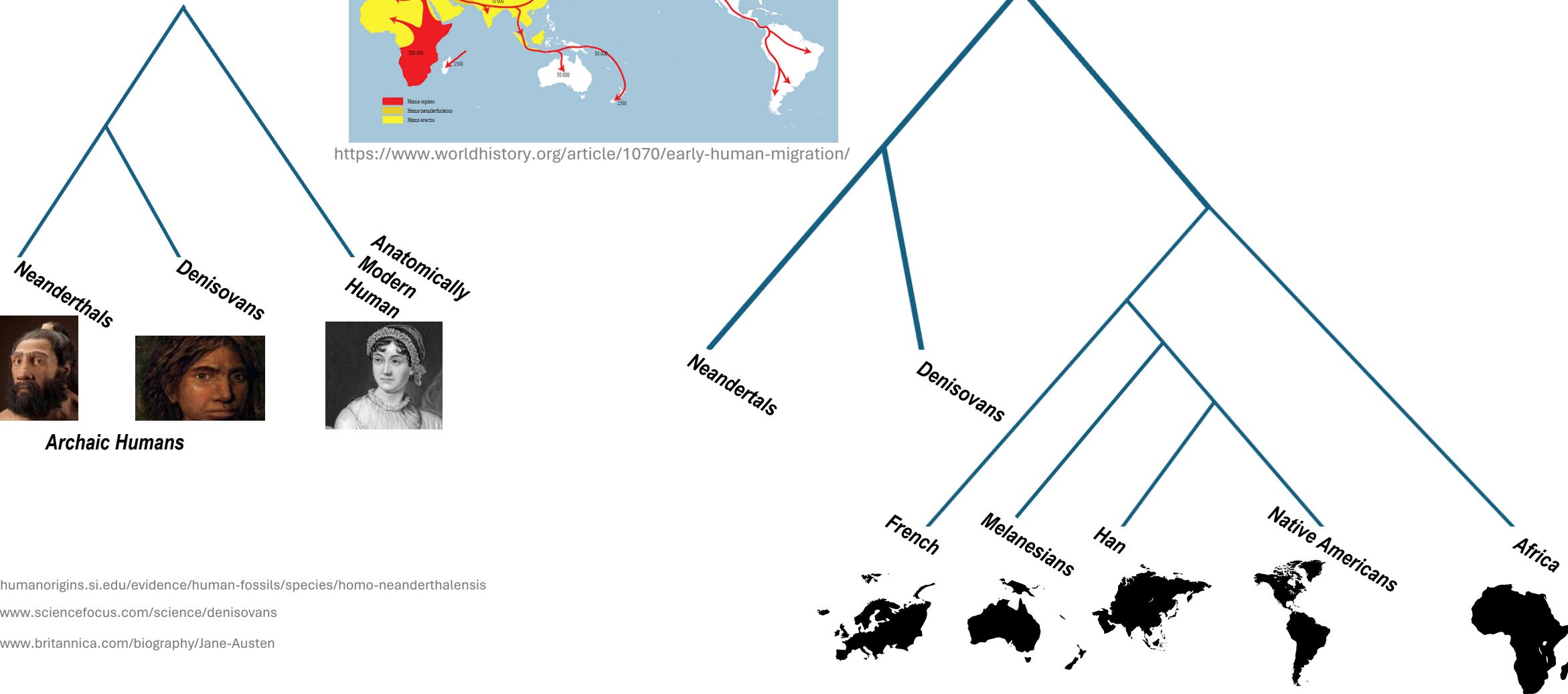


<https://humanorigins.si.edu/evidence/human-fossils/species/homo-neanderthalensis>

<https://www.sciencefocus.com/science/denisovans>

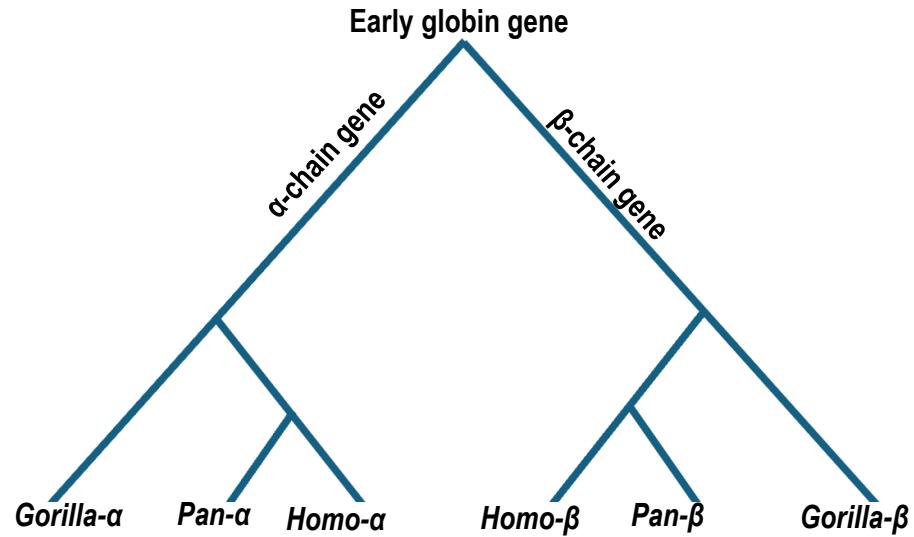
<https://www.britannica.com/biography/Jane-Austen>

Phylogenetic Trees

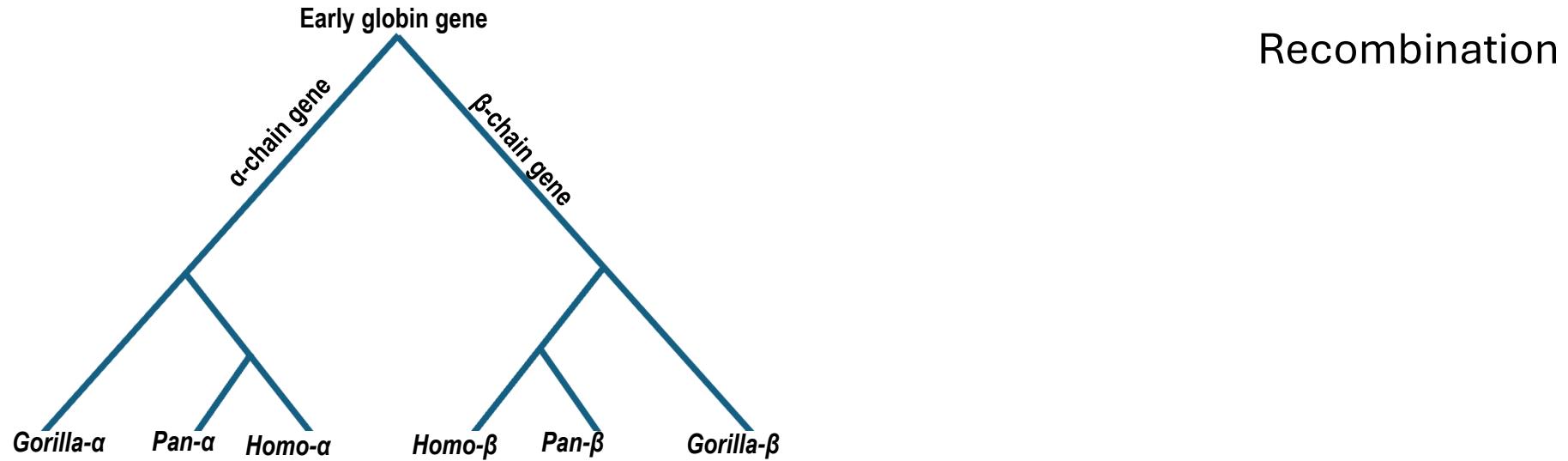


Phylogenetic Trees

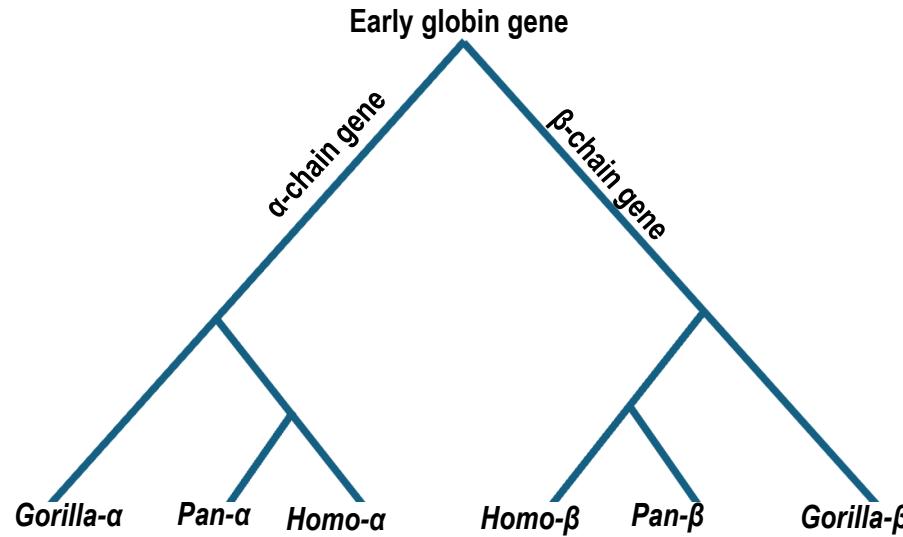
Phylogenetic Trees



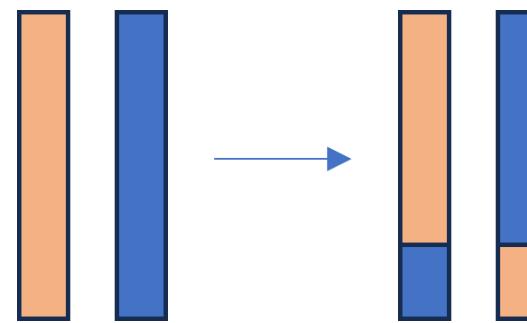
Phylogenetic Trees



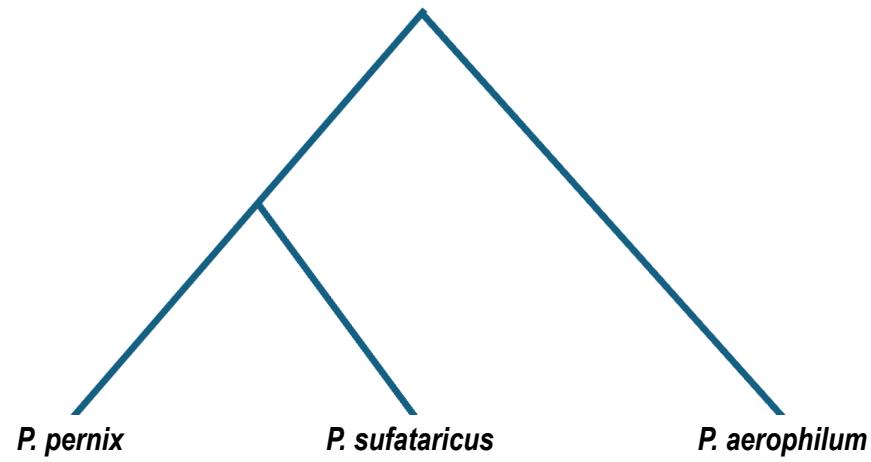
Phylogenetic Trees



Recombination

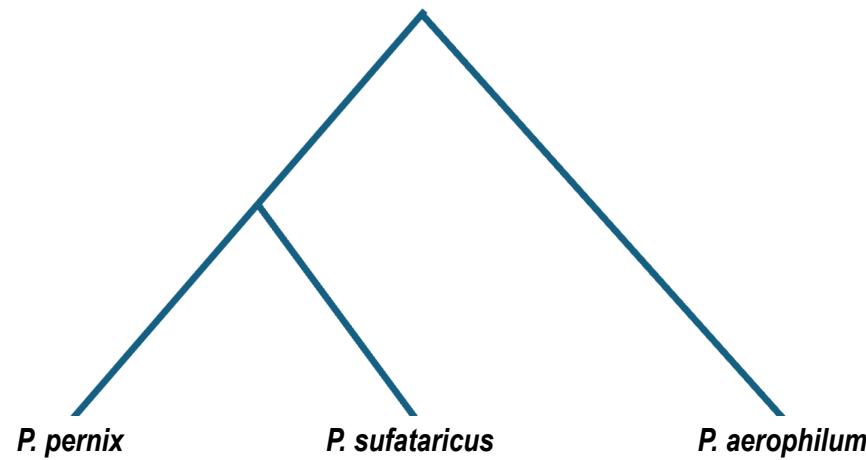


Phylogenetic Trees

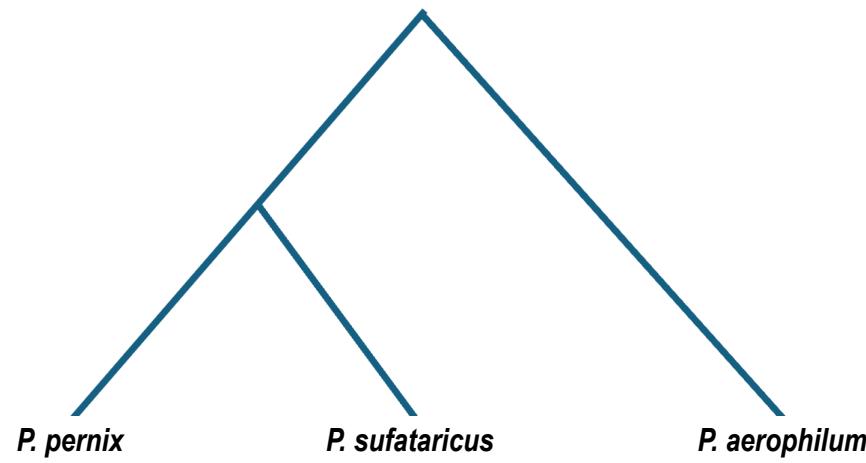


Phylogenetic Trees

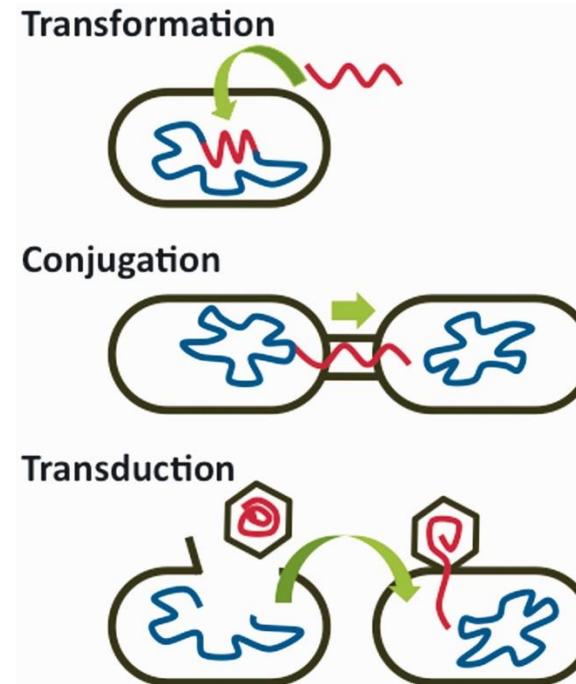
Horizontal Gene Transfer



Phylogenetic Trees



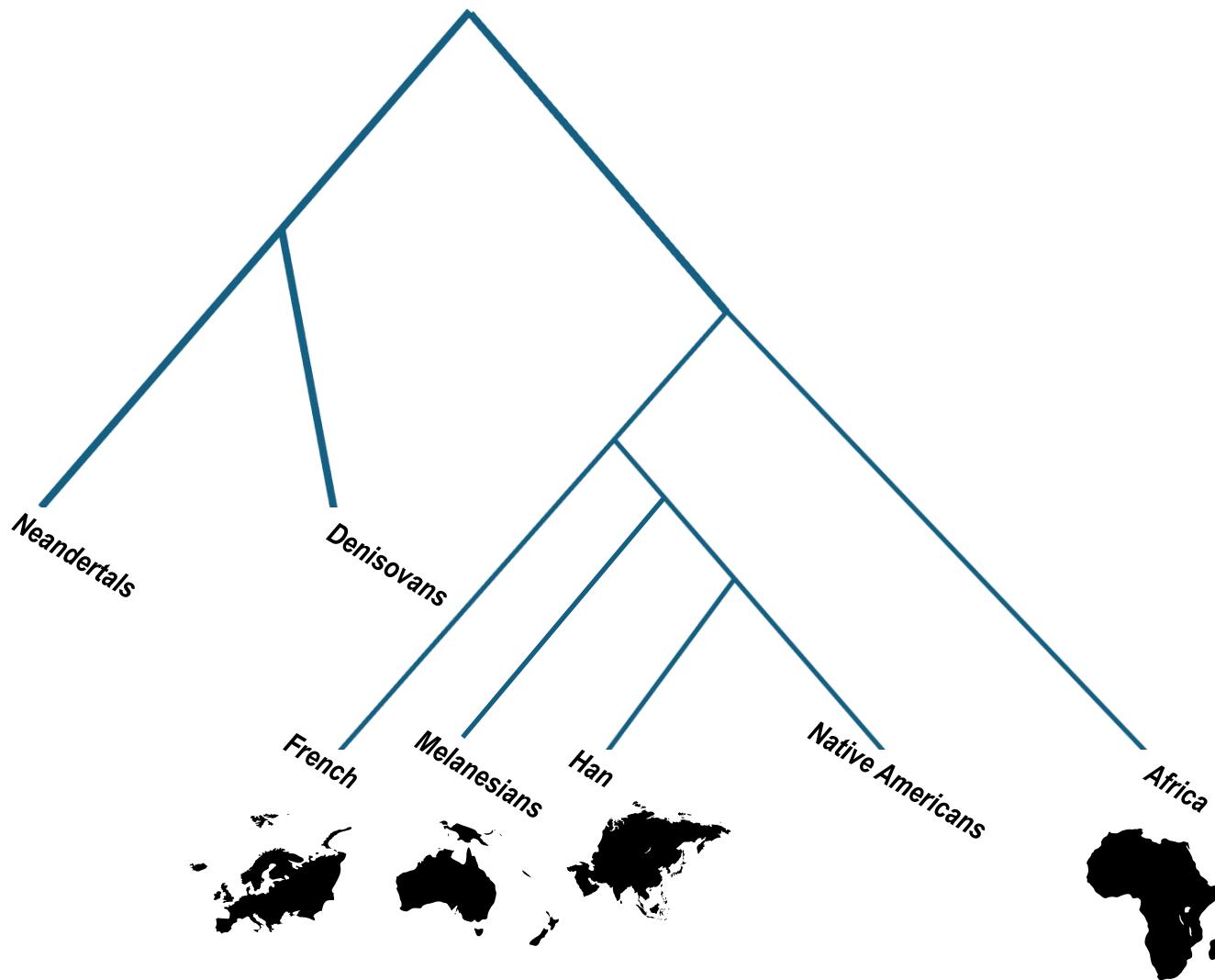
Horizontal Gene Transfer



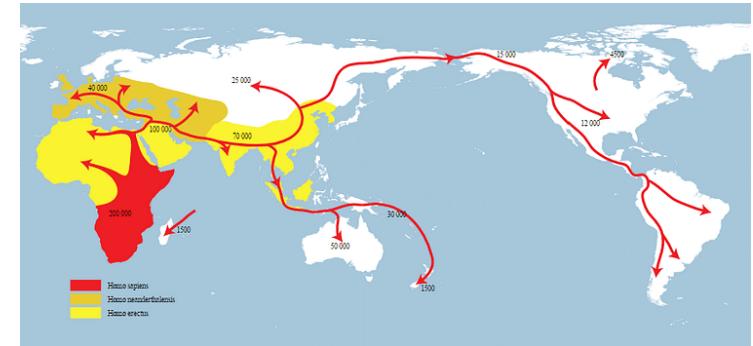
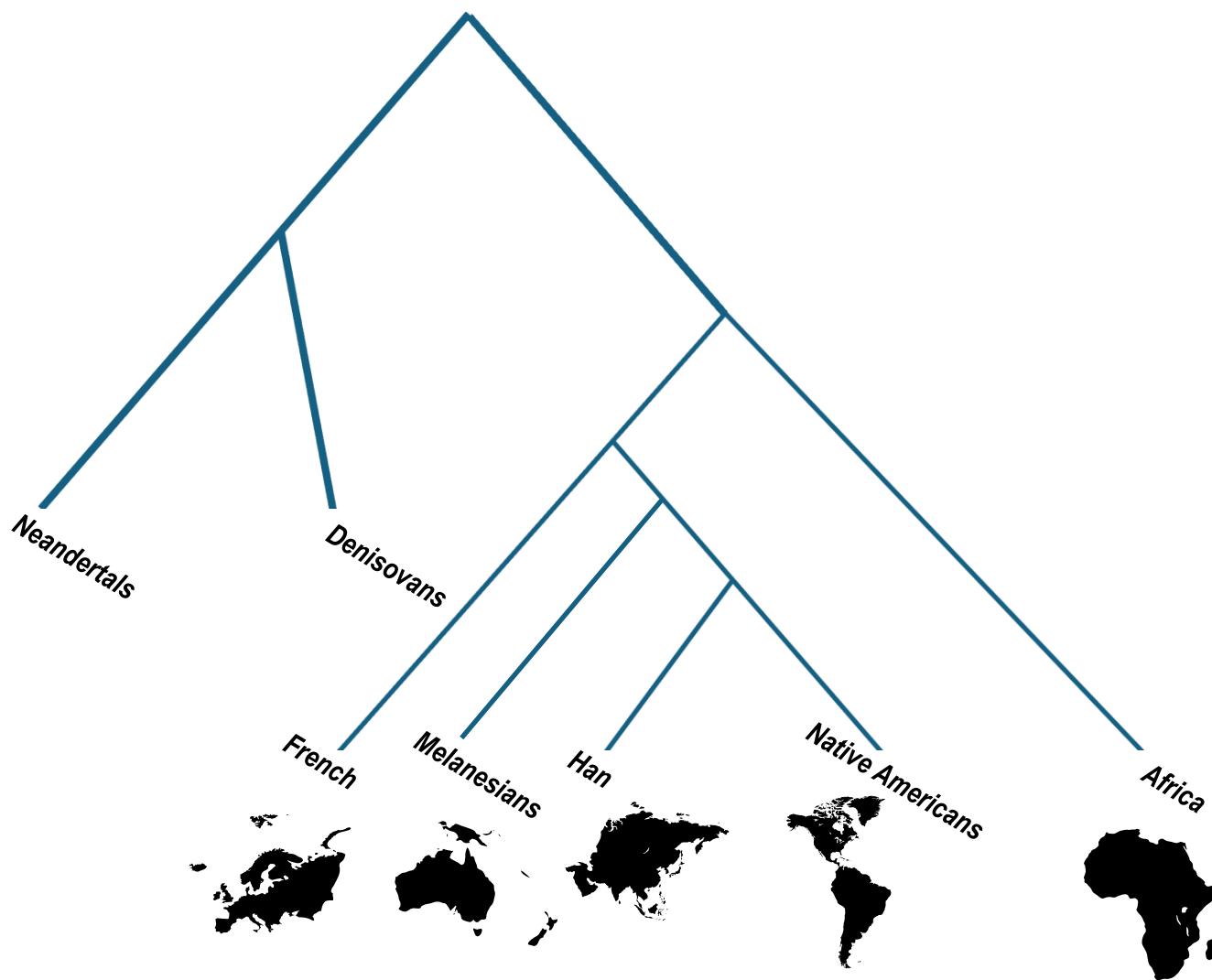
Burmeister, 2015, *Evol Med Public Health*

Phylogenetic Trees

Phylogenetic Trees

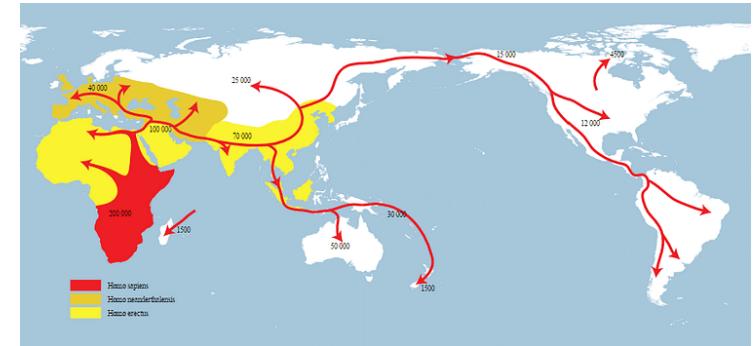
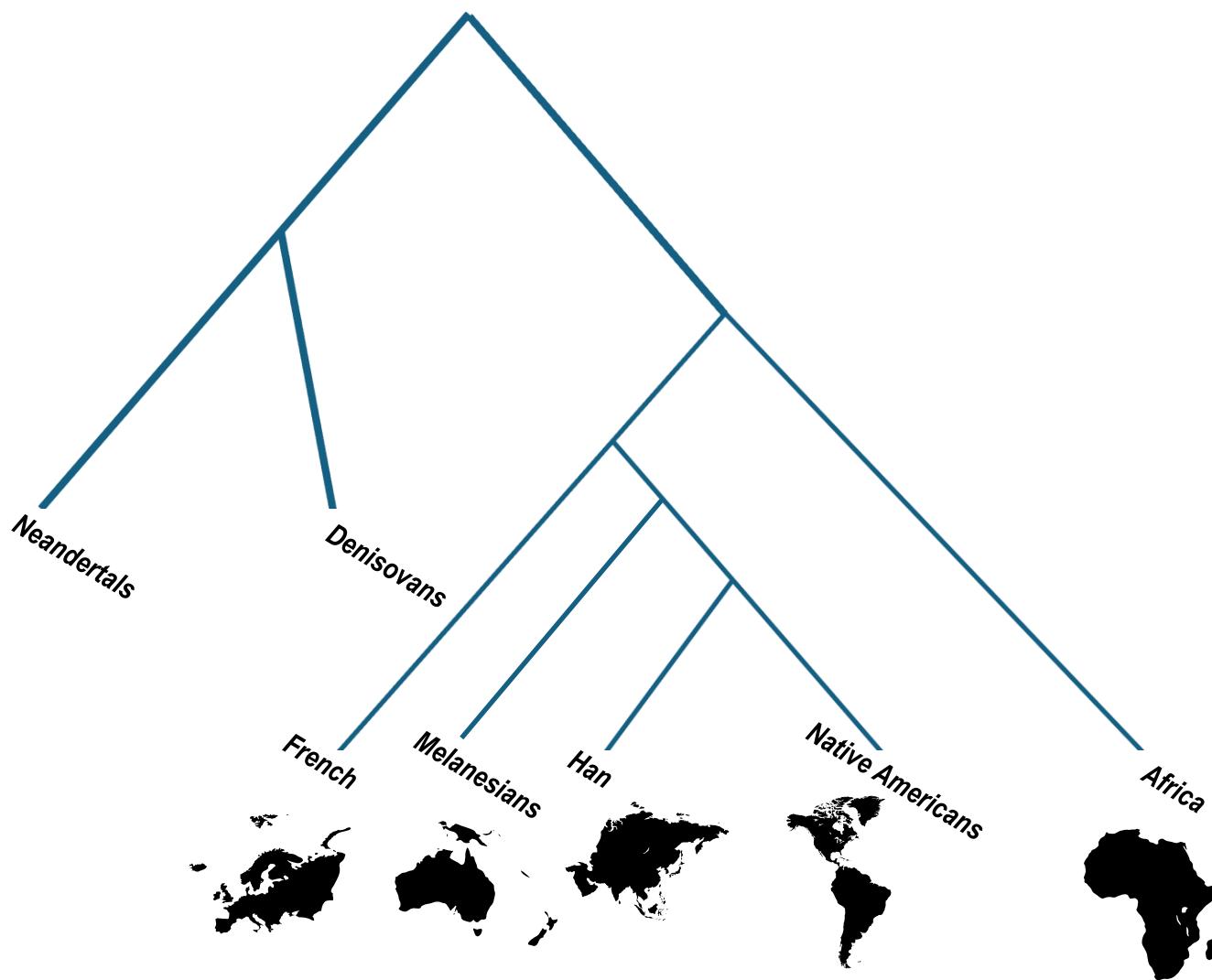


Phylogenetic Trees



<https://www.worldhistory.org/article/1070/early-human-migration/>

Phylogenetic Trees



<https://www.worldhistory.org/article/1070/early-human-migration/>

Admixture



Lecture Outline

Introduction – phylogenetic trees

Definition – galled trees

Previous work – the enumeration of galled trees
and galled trees with one gall

Novel work – the enumeration of galled trees with a
fixed number of galls

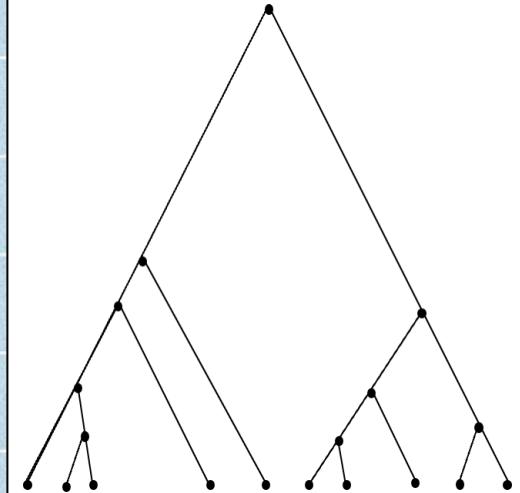
Summary

Galled Trees



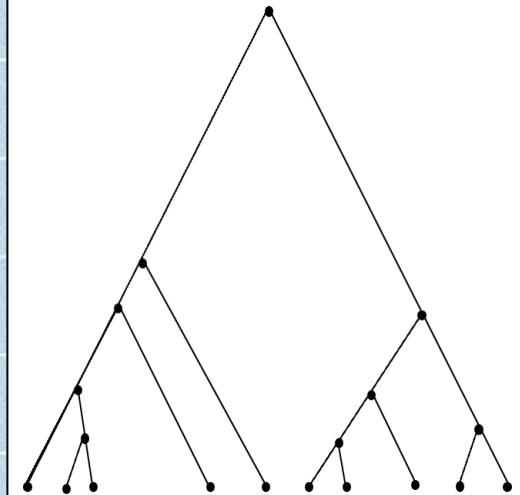
Galled Trees

A rooted binary tree

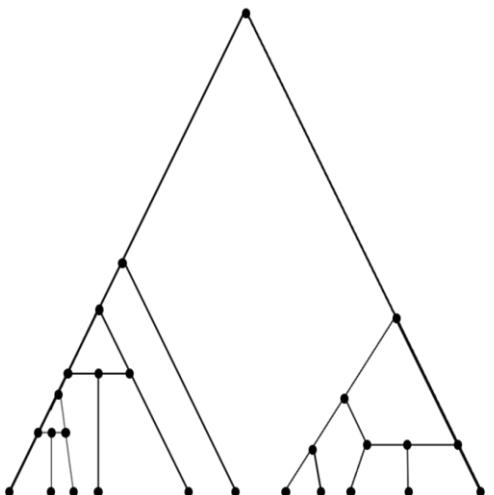


Galled Trees

A rooted binary tree

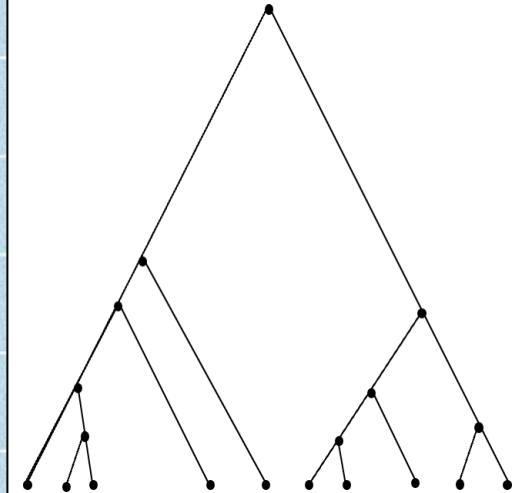


A galled tree

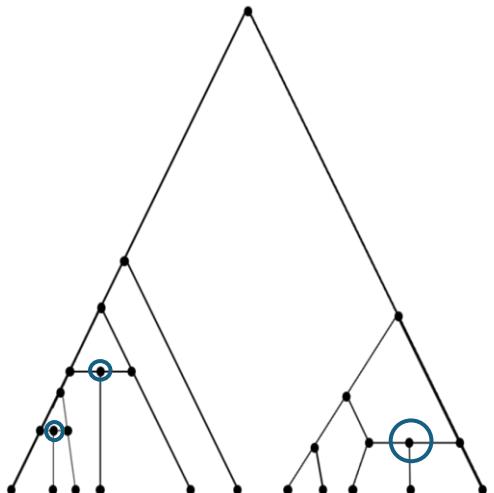


Galled Trees

A rooted binary tree

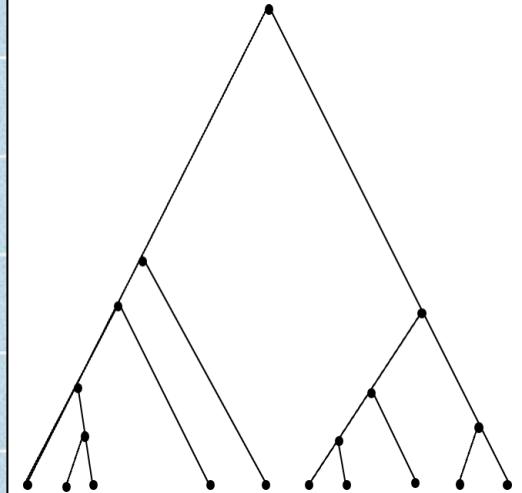


A galled tree

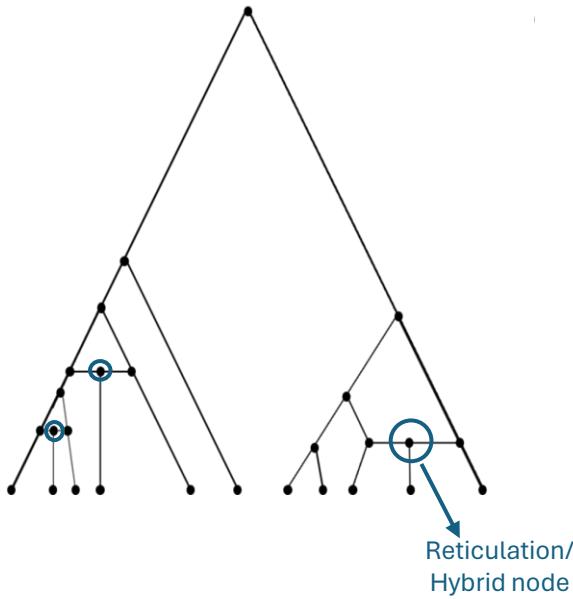


Galled Trees

A rooted binary tree

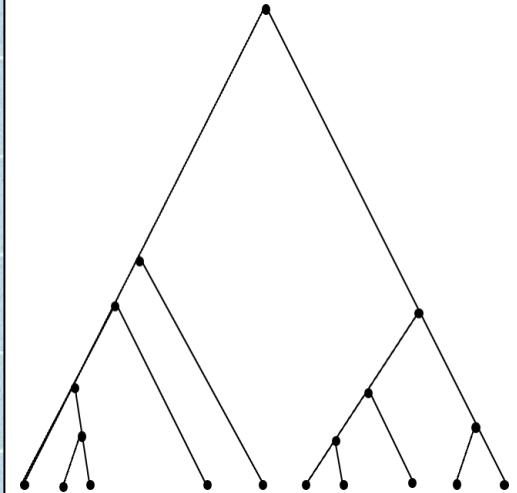


A galled tree

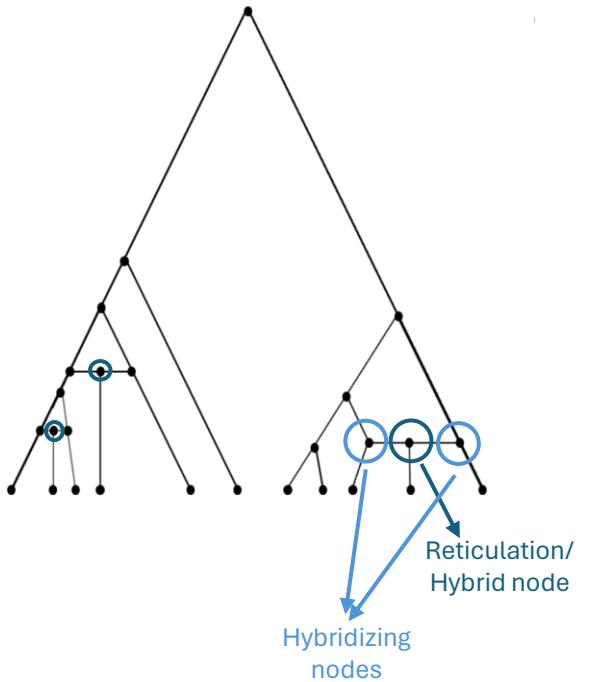


Galled Trees

A rooted binary tree

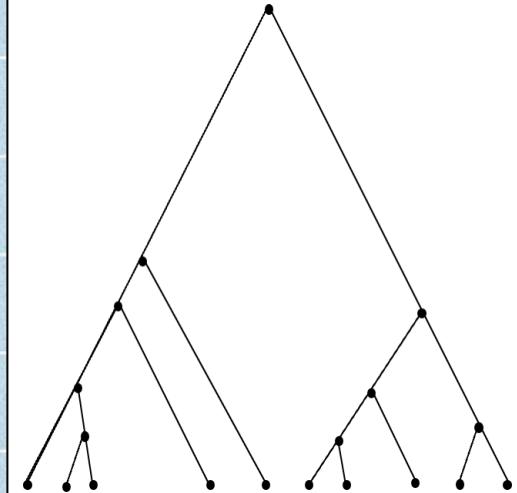


A galled tree

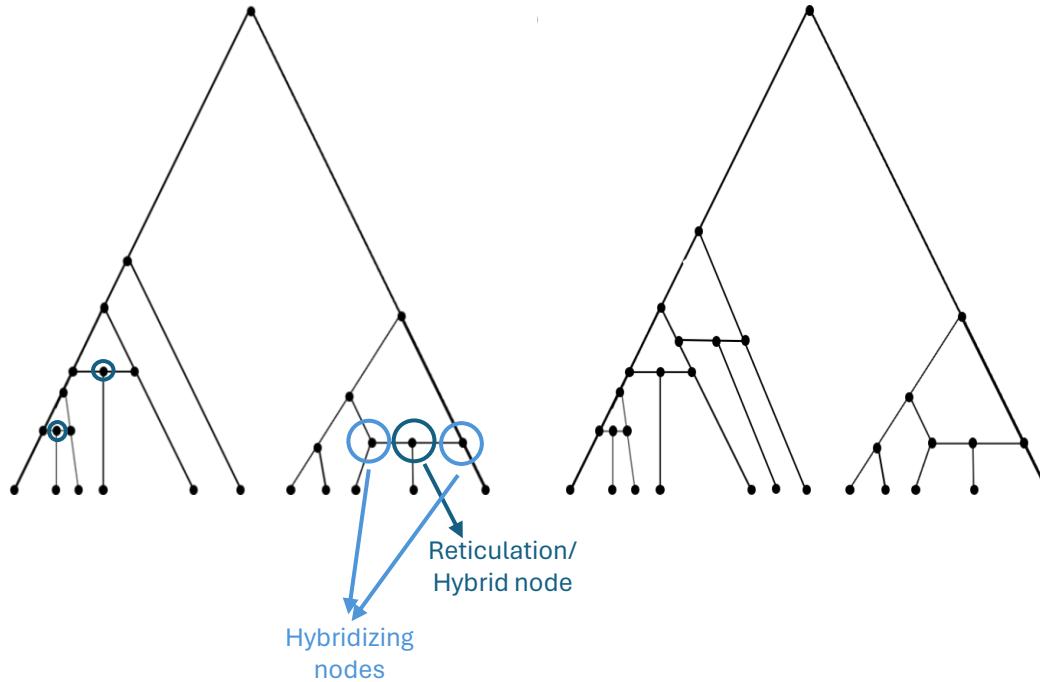


Galled Trees

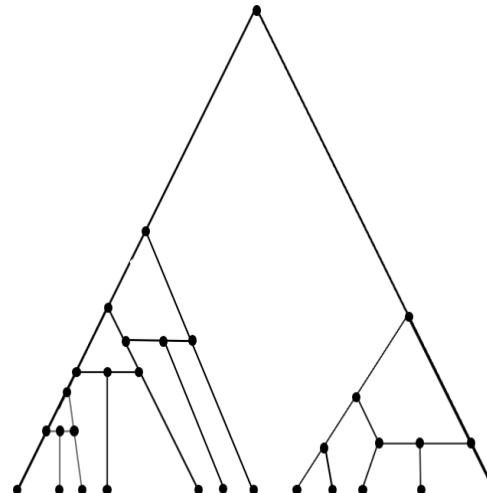
A rooted binary tree



A galled tree

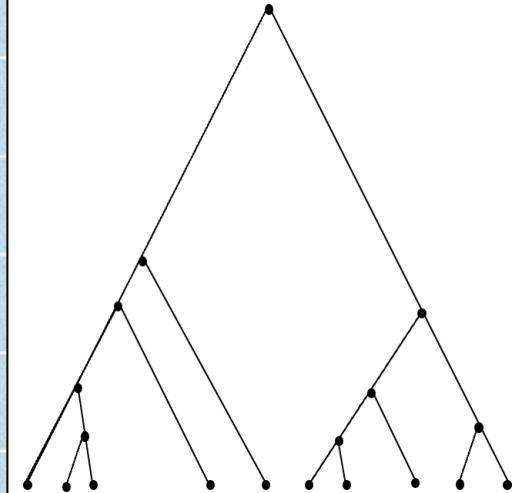


Not a galled tree

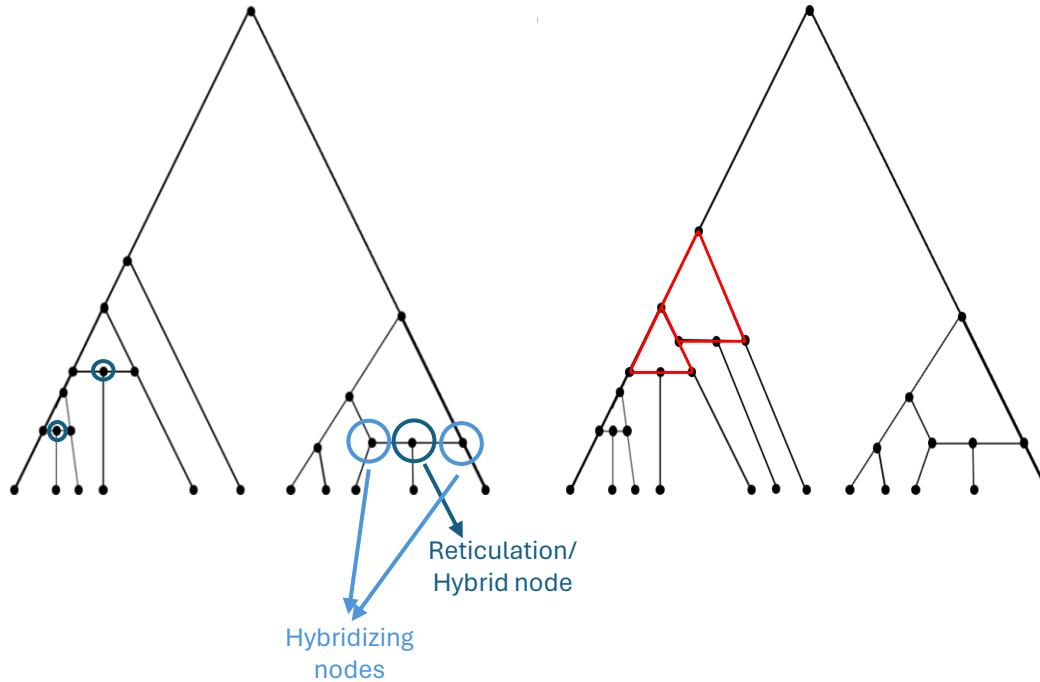


Galled Trees

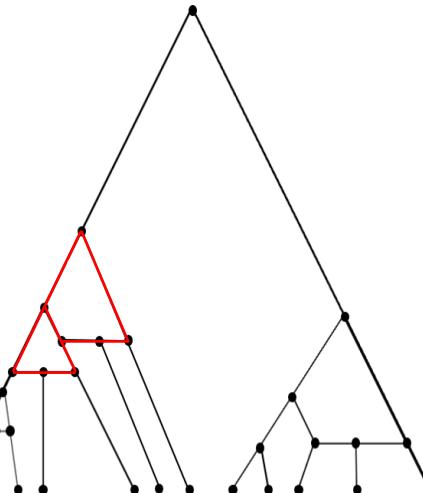
A rooted binary tree



A galled tree

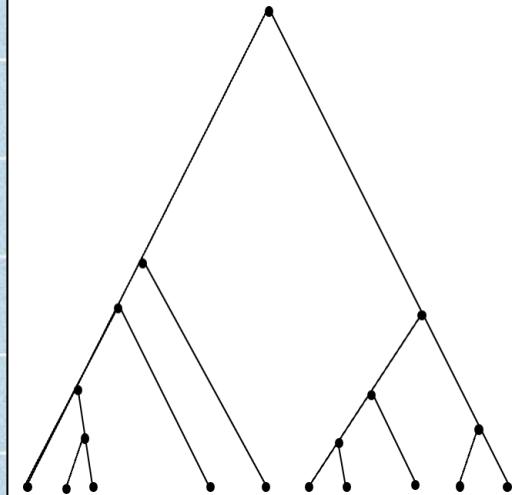


Not a galled tree

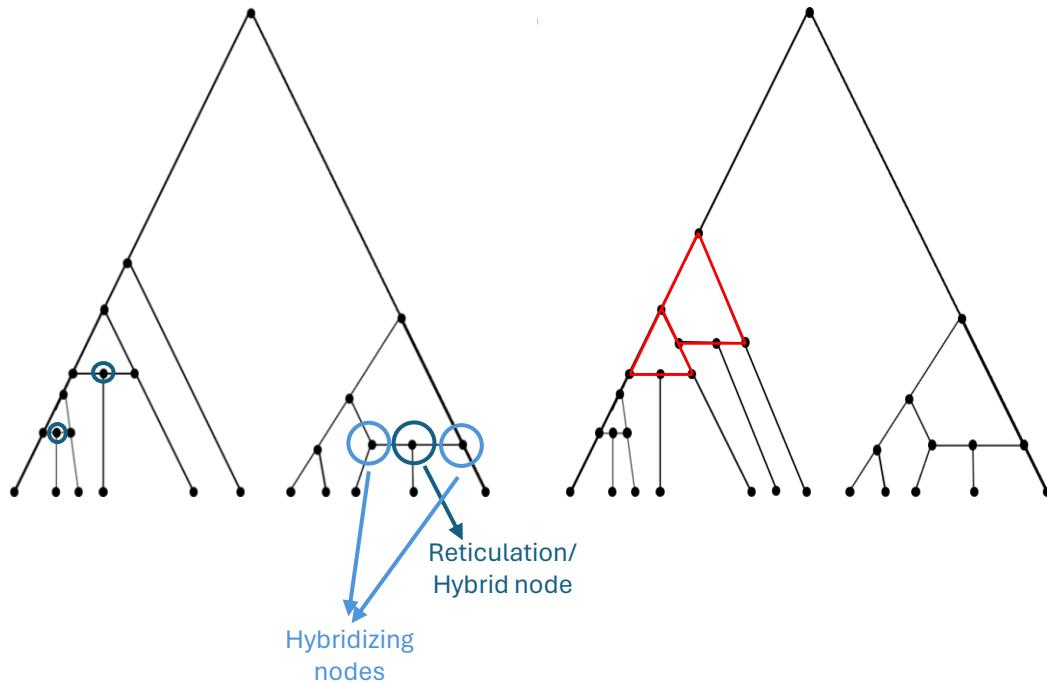


Galled Trees

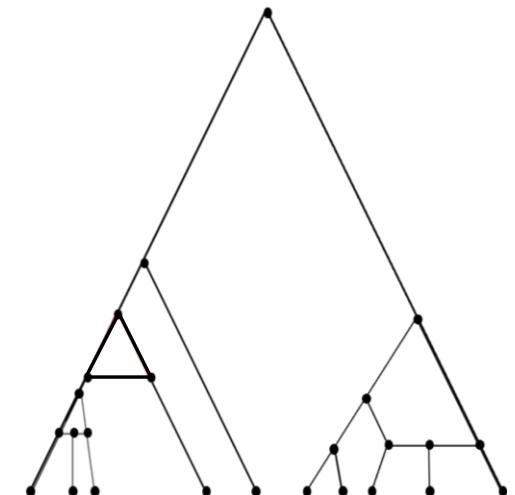
A rooted binary tree



A galled tree



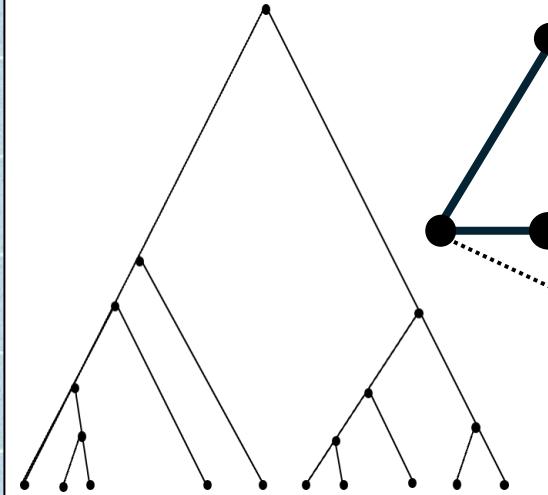
Not a galled tree



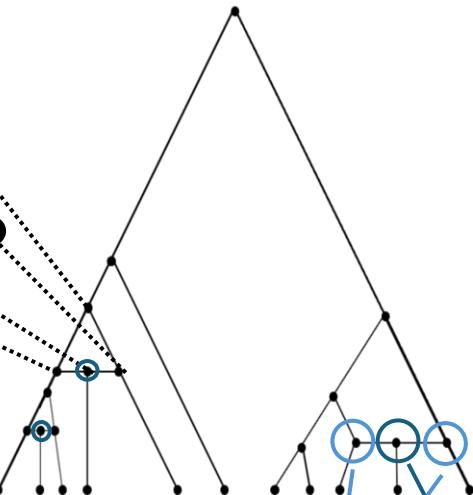
Not a galled tree

Galled Trees

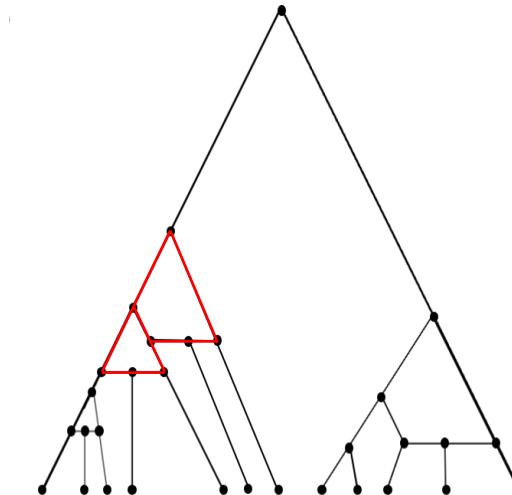
A rooted binary tree



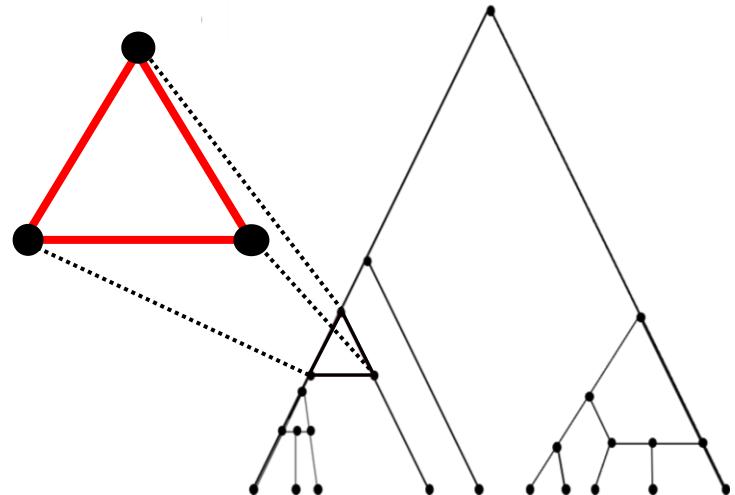
A galled tree



Not a galled tree



Not a galled tree

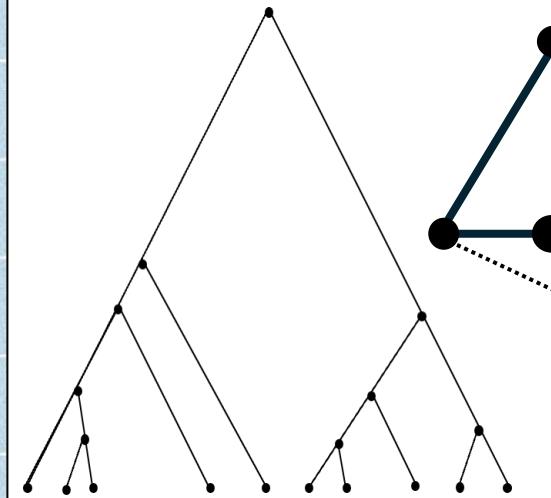


Hybridizing
nodes

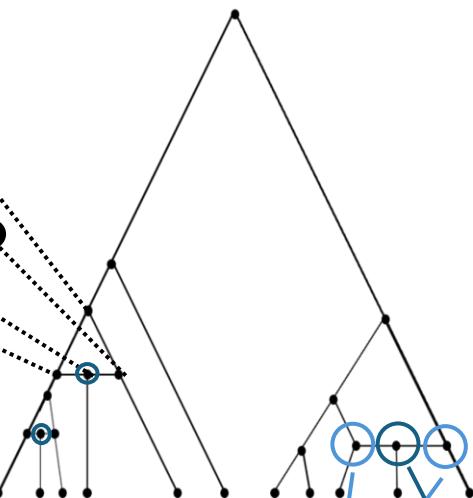
Reticulation/
Hybrid node

Galled Trees

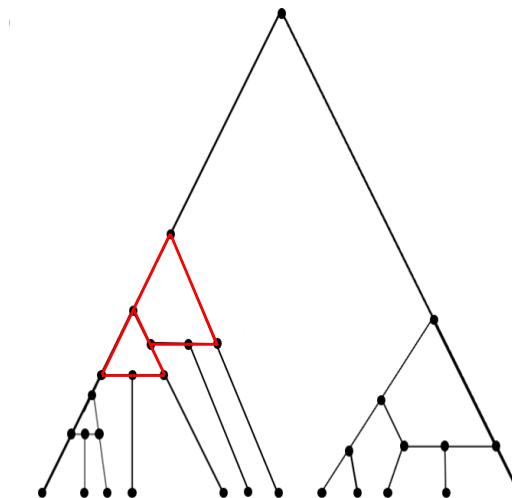
A rooted binary tree



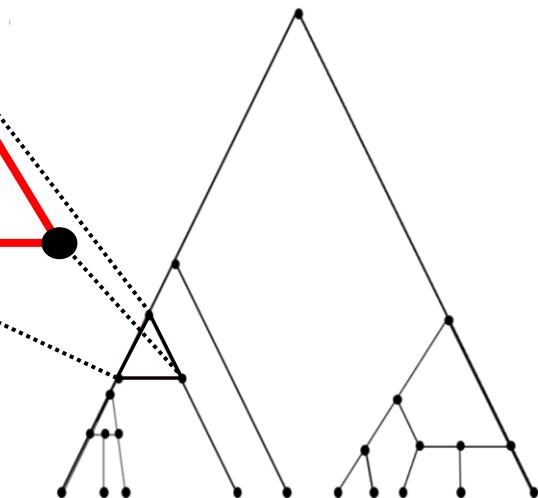
A galled tree



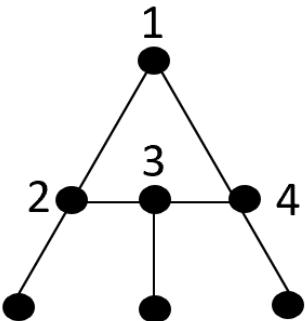
Not a galled tree



Not a galled tree



The smallest
galled tree



Hybridizing
nodes

Reticulation/
Hybrid node

Galled Trees

Galled Trees

Definitions

Galled Trees

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Ignoring the direction of edges, the two paths connecting r and a_r form a cycle C_r , known as a gall.

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Ignoring the direction of edges, the two paths connecting r and a_r form a cycle C_r , known as a gall.

Second, the set of nodes in the gall C_r , associated with reticulation node a_r , and the set of nodes in the gall C_s , associated with reticulation node a_s , are disjoint.

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Ignoring the direction of edges, the two paths connecting r and a_r form a cycle C_r , known as a gall.

Second, the set of nodes in the gall C_r , associated with reticulation node a_r , and the set of nodes in the gall C_s , associated with reticulation node a_s , are disjoint.

Third (time consistency, normality), the ancestor node r must be separated from a_r by at least two edges.

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Ignoring the direction of edges, the two paths connecting r and a_r form a cycle C_r , known as a gall.

Second, the set of nodes in the gall C_r , associated with reticulation node a_r , and the set of nodes in the gall C_s , associated with reticulation node a_s , are disjoint.

Third (time consistency, normality), the ancestor node r must be separated from a_r by at least two edges.



<https://discoverandshare.org/2021/06/24/all-about-galls/>

Lecture Outline

Introduction – phylogenetic trees

Definition – galled trees

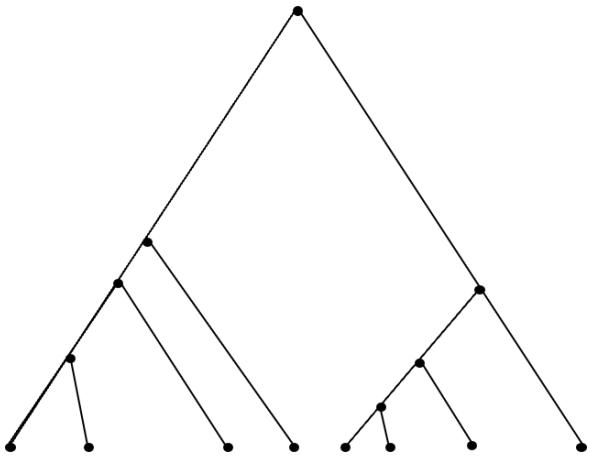
Previous work – the enumeration of galled trees and galled trees with one gall

Novel work – the enumeration of galled trees with a fixed number of galls

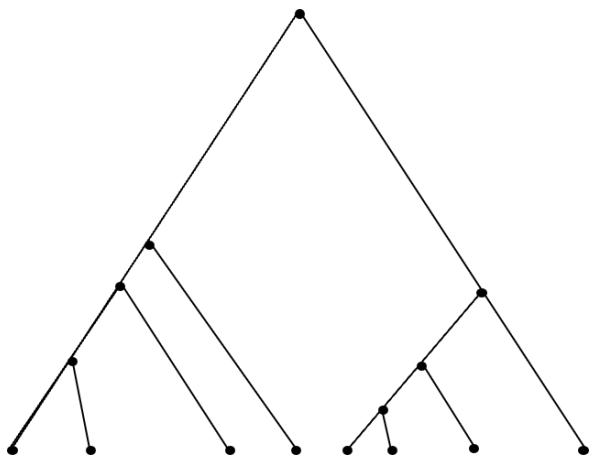
Summary

Rooted Unlabeled Binary Non-plane Trees

Rooted Unlabeled Binary Non-plane Trees



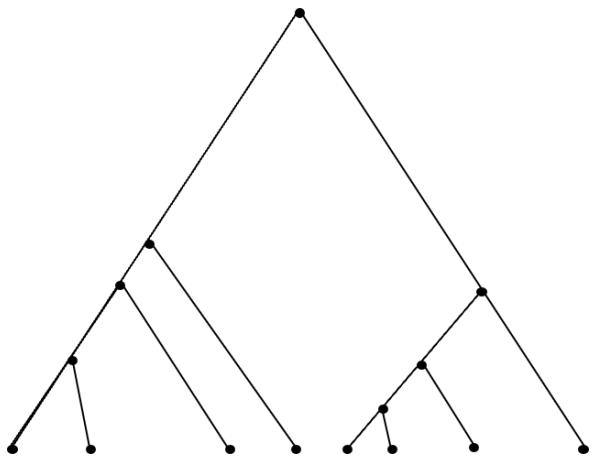
Rooted Unlabeled Binary Non-plane Trees



$$u(t) = t + \frac{1}{2}u^2(t) + \frac{1}{2}u(t^2)$$

Otter 1948, Comtet, 1974

Rooted Unlabeled Binary Non-plane Trees



$$\mathcal{U}(t) = t + \frac{1}{2}\mathcal{U}^2(t) + \frac{1}{2}\mathcal{U}(t^2)$$

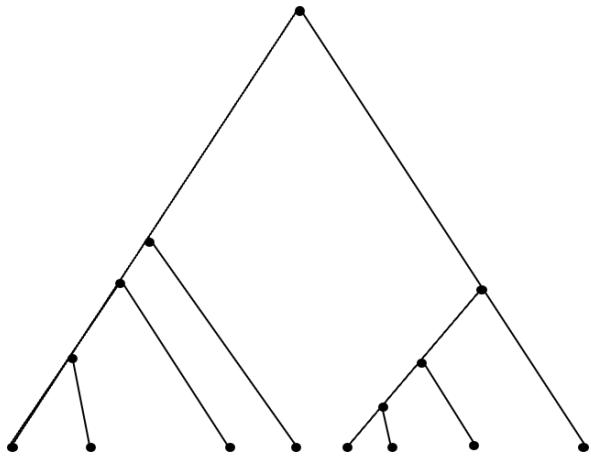
Otter 1948, Comtet, 1974

$$\mathcal{U}(t) \sim 1 - \gamma \sqrt{1 - \frac{t}{\rho}}$$

$$\rho \approx 0.4027$$
$$\gamma \approx 1.1301$$

Landau, 1977; Flajolet and Sedgewick, 2009

Rooted Unlabeled Binary Non-plane Trees



$$\mathcal{U}(t) = t + \frac{1}{2}\mathcal{U}^2(t) + \frac{1}{2}\mathcal{U}(t^2)$$

Otter 1948, Comtet, 1974

$$\mathcal{U}(t) \sim 1 - \gamma \sqrt{1 - \frac{t}{\rho}}$$

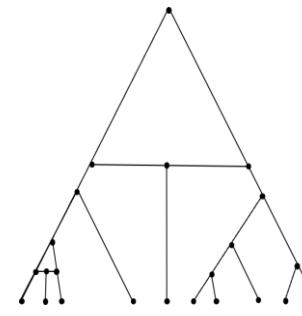
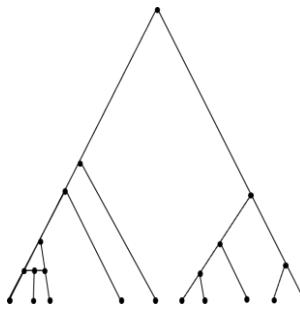
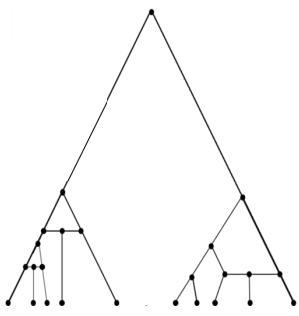
$$\rho \approx 0.4027$$
$$\gamma \approx 1.1301$$

$$[t^n]\mathcal{U}(t) \sim \frac{\gamma}{2\sqrt{\pi}} n^{-\frac{3}{2}} \rho^{-n}$$

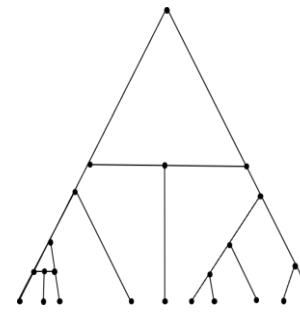
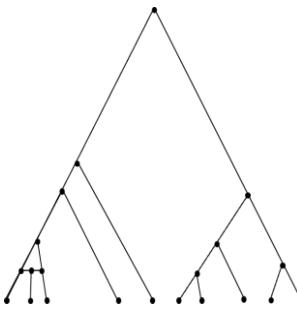
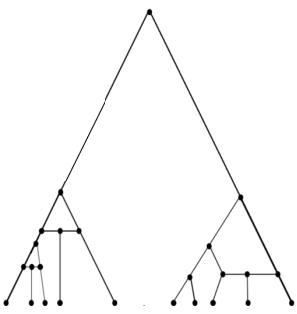
Landau, 1977; Flajolet and Sedgewick, 2009

Rooted Unlabeled Binary Non-plane Galled Trees

Rooted Unlabeled Binary Non-plane Galled Trees

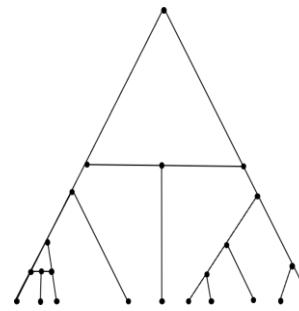
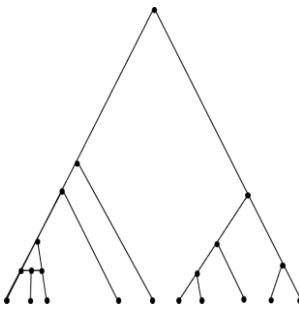
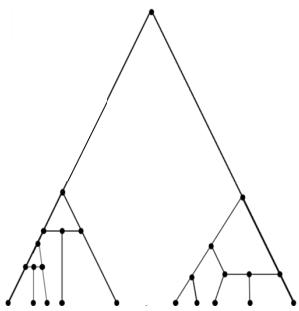


Rooted Unlabeled Binary Non-plane Galled Trees



$$\mathcal{A}(t) = 1 + t + \frac{1}{2}\mathcal{A}^2(t) + \frac{1}{2}\mathcal{A}(t^2) - \frac{1}{1-\mathcal{A}(t)} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t)]^2} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t^2)]}$$

Rooted Unlabeled Binary Non-plane Galled Trees

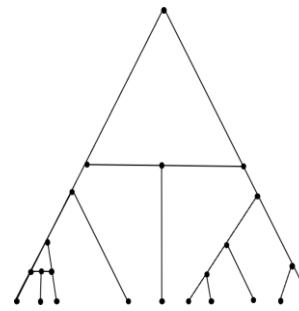
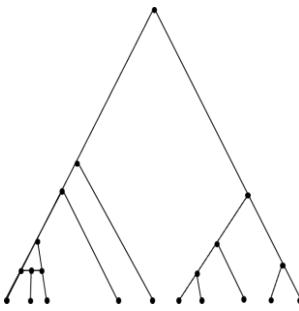
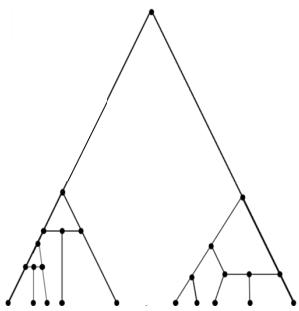


$$\mathcal{A}(t) = 1 + t + \frac{1}{2}\mathcal{A}^2(t) + \frac{1}{2}\mathcal{A}(t^2) - \frac{1}{1-\mathcal{A}(t)} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t)]^2} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t^2)]}$$

$$[t^n]\mathcal{A}(t) \sim \frac{\delta}{2\sqrt{\pi}} n^{-\frac{3}{2}} r^{-n}$$

$$r \approx 0.2073; \delta \approx 0.2793$$

Rooted Unlabeled Binary Non-plane Galled Trees



$$\mathcal{A}(t) = 1 + t + \frac{1}{2}\mathcal{A}^2(t) + \frac{1}{2}\mathcal{A}(t^2) - \frac{1}{1-\mathcal{A}(t)} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t)]^2} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t^2)]}$$

$$[t^n]\mathcal{A}(t) \sim \frac{\delta}{2\sqrt{\pi}} n^{-\frac{3}{2}} r^{-n}$$

$$r \approx 0.2073; \delta \approx 0.2793$$

$$\frac{1}{r} \approx 4.82; \quad \frac{1}{\rho} \approx 2.48$$

Galled Trees with Exactly One Gall

Galled Trees with Exactly One Gall

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

No trees

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

No trees

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

No trees

No trees

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

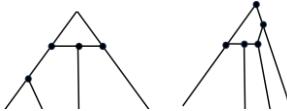
$n = 3$

$n = 4$

No trees

$g = 1$

g is the number of galls; n is the number of leaves



Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

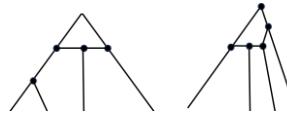
$n = 4$

$n = 5$

No trees

$g = 1$

g is the number of galls; n is the number of leaves



+14 more

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

$n = 4$

$n = 5$

$n = 6$

No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves



+14 more

+47 more

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

$n = 4$

$n = 5$

$n = 6$

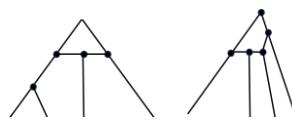
No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves



+14 more

+47 more

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

$n = 4$

$n = 5$

$n = 6$

No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves

+14 more

+47 more

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_1(t) \sim \frac{1}{2\gamma^3(1 - \frac{t}{\rho})^{\frac{3}{2}}}$$

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

$n = 4$

$n = 5$

$n = 6$

No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves

+14 more

+47 more

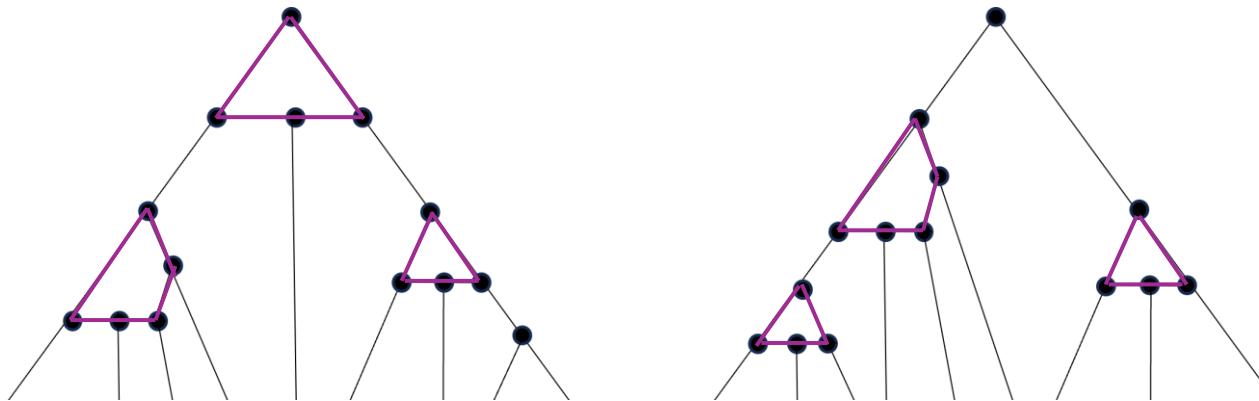
$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_1(t) \sim \frac{1}{2\gamma^3(1 - \frac{t}{\rho})^{\frac{3}{2}}}$$

$$[t^n] \mathcal{E}_1(t) \sim \frac{1}{\gamma^3 \sqrt{\pi}} n^{\frac{1}{2}} \rho^{-n}$$

Goal

Asymptotic enumeration of unlabeled galled trees
with a fixed number of galls



Lecture Outline

Introduction – phylogenetic trees

Definition – galled trees

Previous work – the enumeration of galled trees
and galled trees with one gall

Novel work – the enumeration of galled trees with a
fixed number of galls

Summary

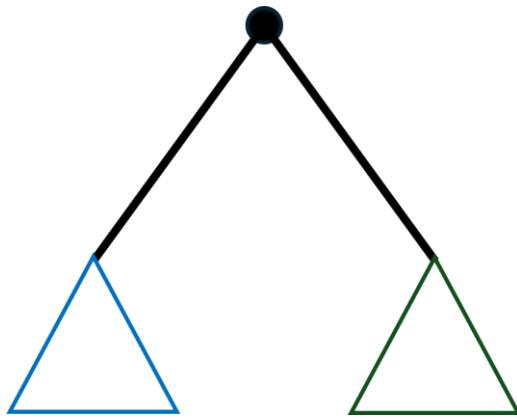
Recursion

No root gall

With a root gall

Recursion

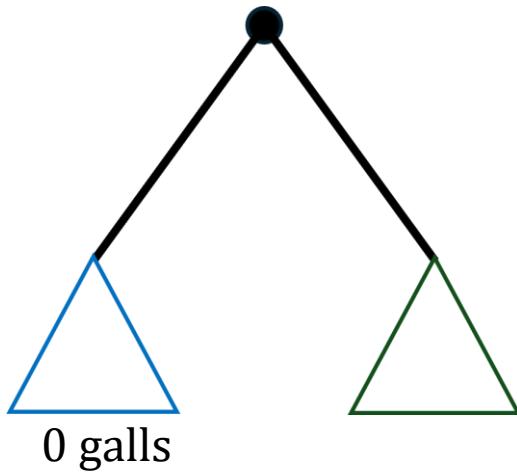
No root gall



With a root gall

Recursion

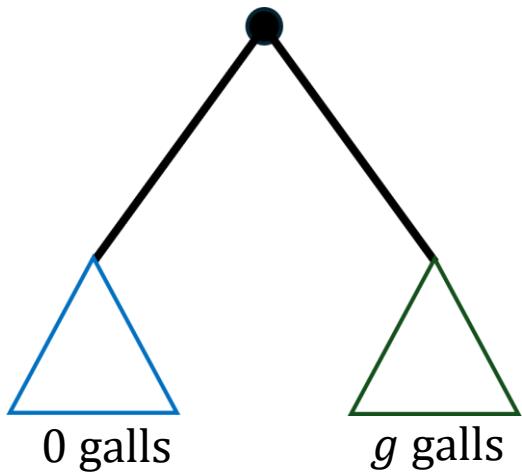
No root gall



With a root gall

Recursion

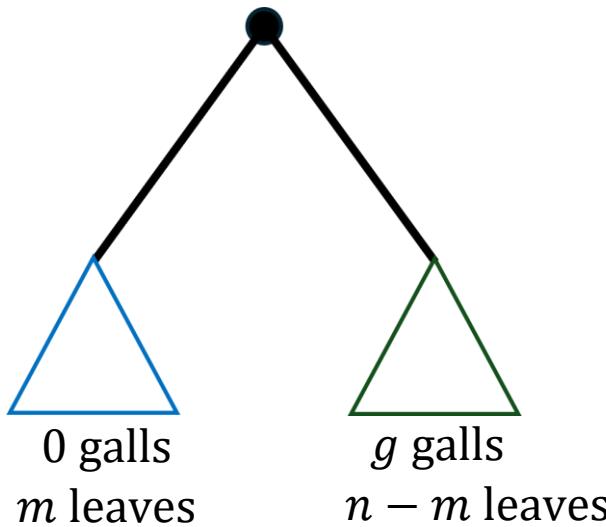
No root gall



With a root gall

Recursion

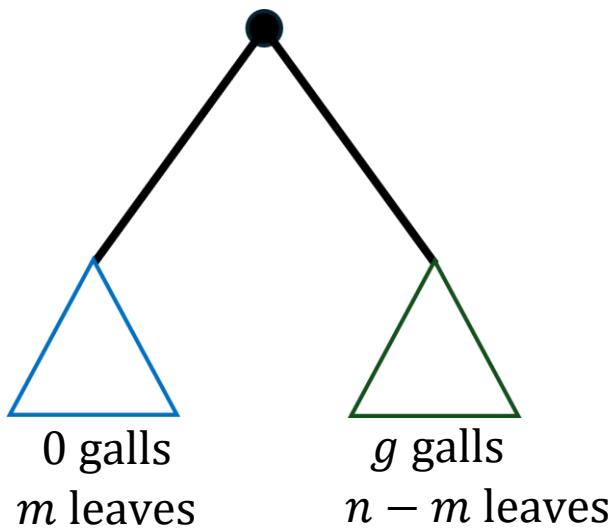
No root gall



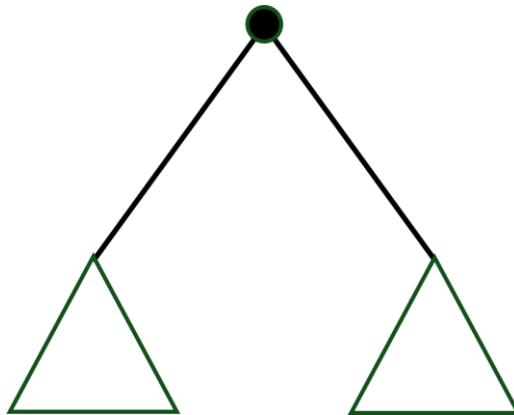
With a root gall

Recursion

No root gall

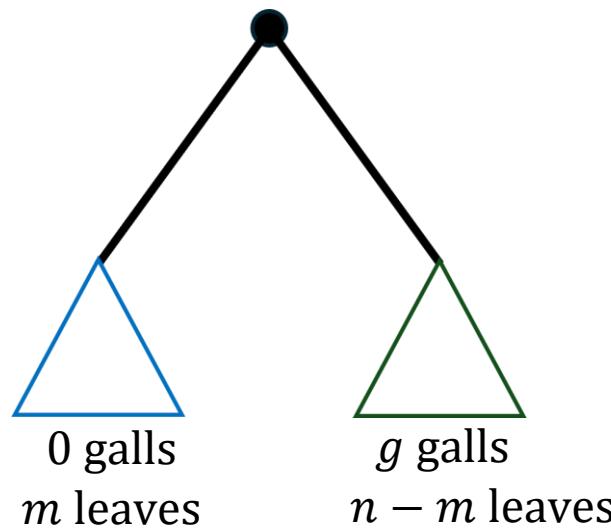


With a root gall

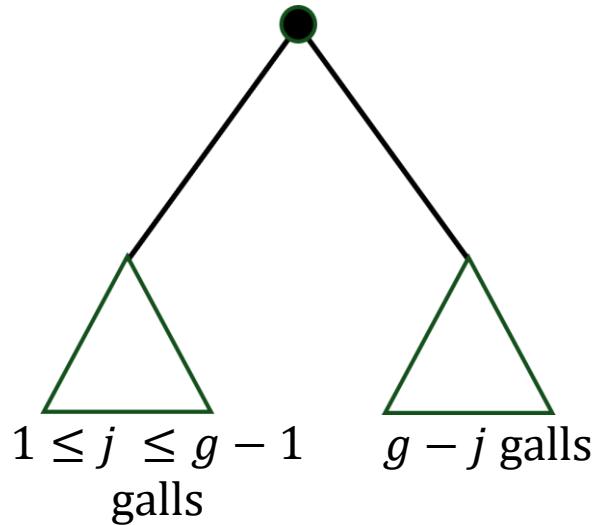


Recursion

No root gall

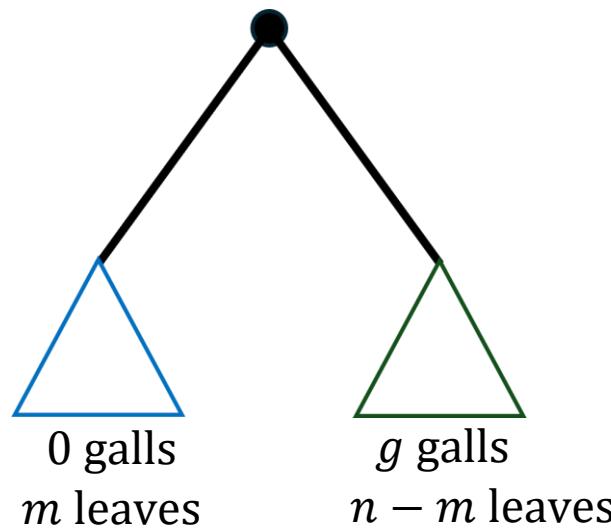


With a root gall

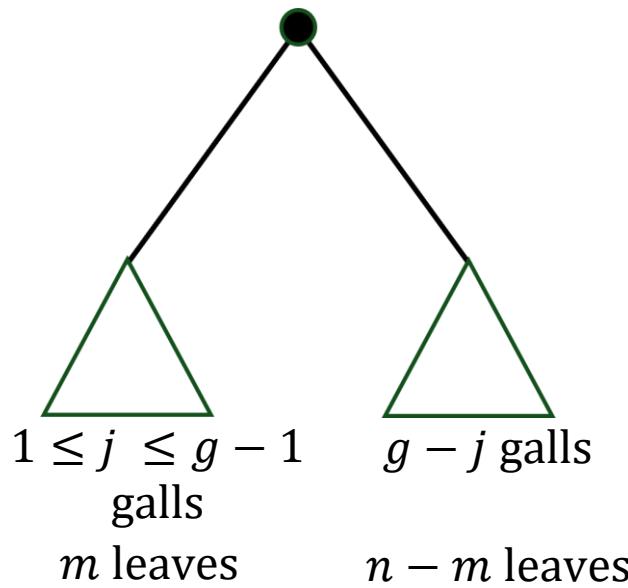


Recursion

No root gall

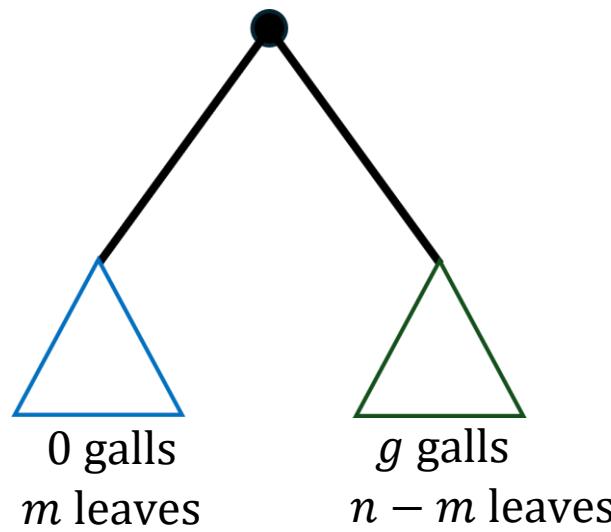


With a root gall

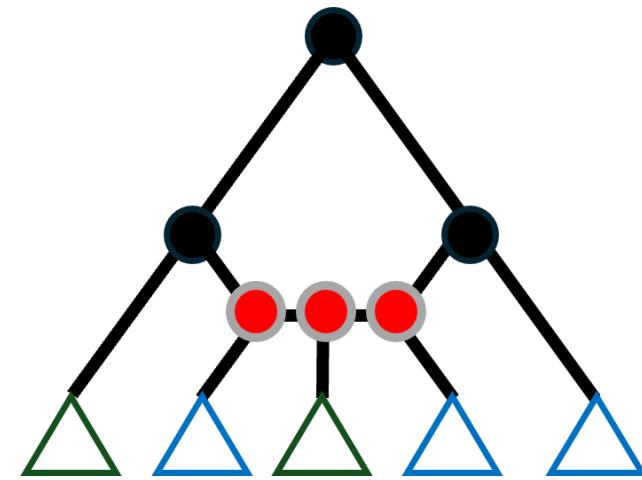


Recursion

No root gall

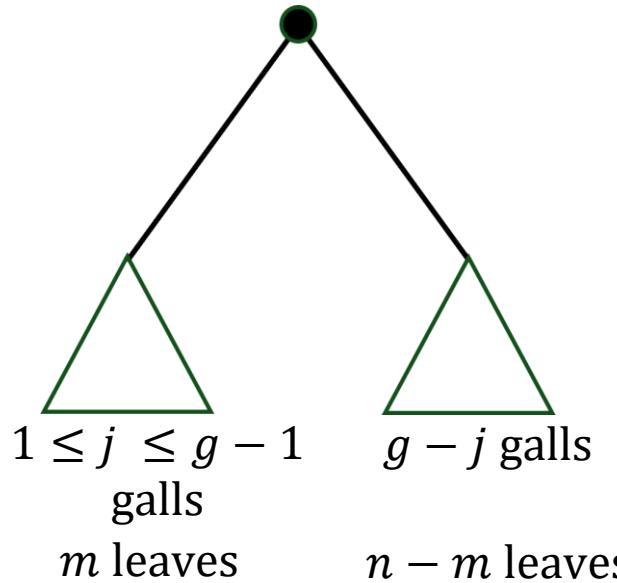
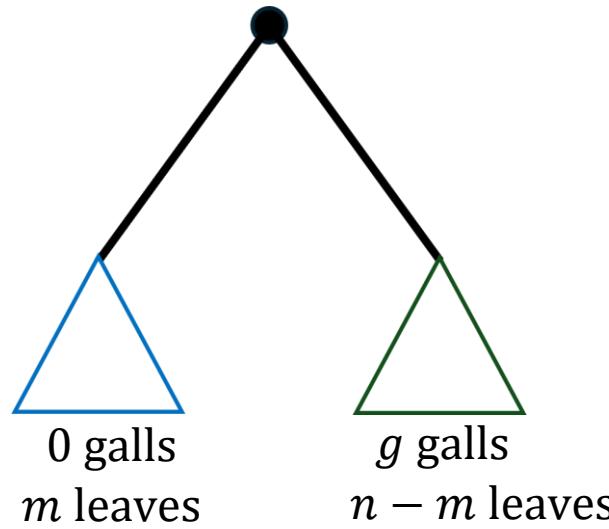


With a root gall



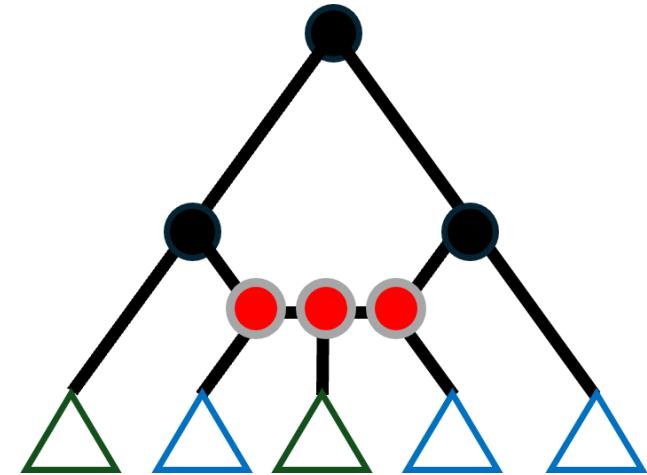
Recursion

No root gall



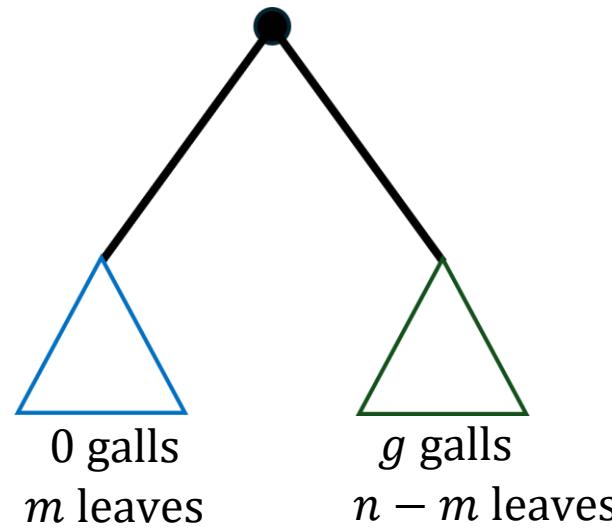
With a root gall

$3 \leq k \leq n$ main subtrees



Recursion

No root gall

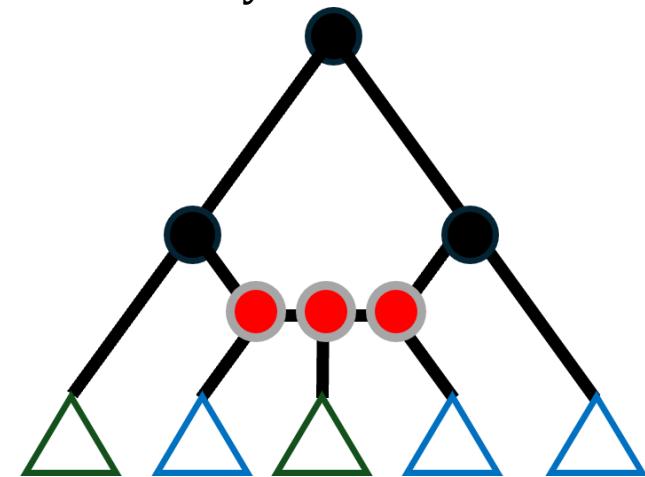


With a root gall

$3 \leq k \leq n$ main subtrees

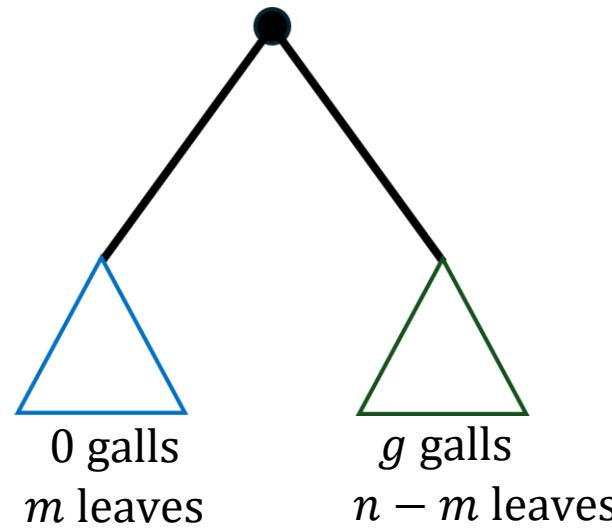
$k - 2$ possibilites

to place the hybrid node



Recursion

No root gall

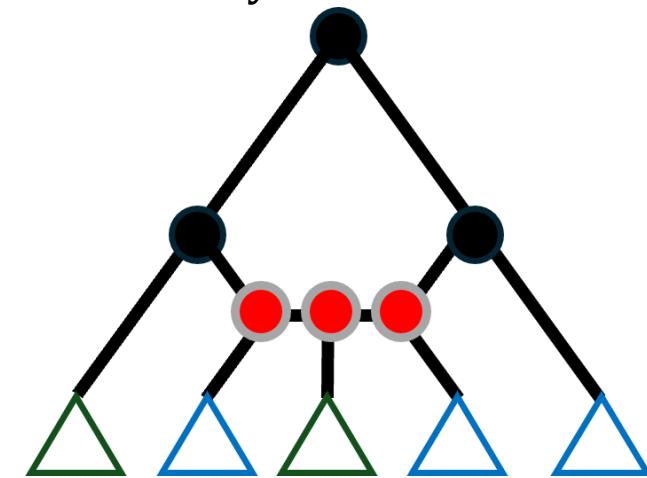


With a root gall

$3 \leq k \leq n$ main subtrees

$k - 2$ possibilites

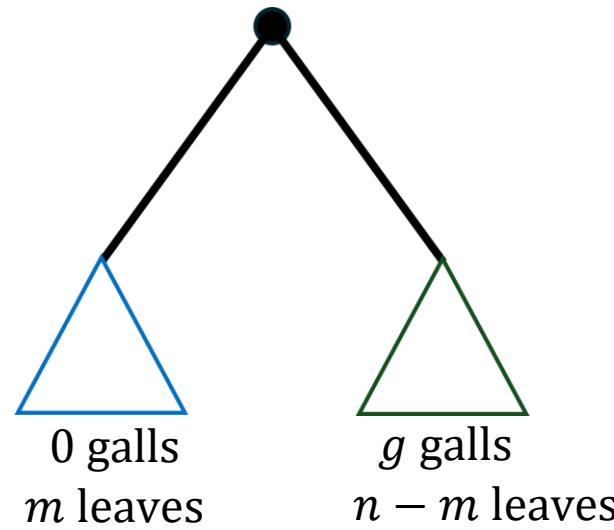
to place the hybrid node



$1 \leq l \leq \min\{g - 1, k\}$
main subtrees with galls

Recursion

No root gall

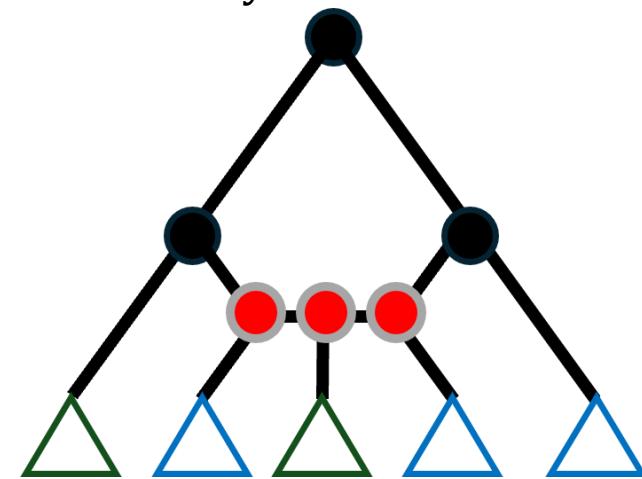


With a root gall

$3 \leq k \leq n$ main subtrees

$k - 2$ possibilites

to place the hybrid node

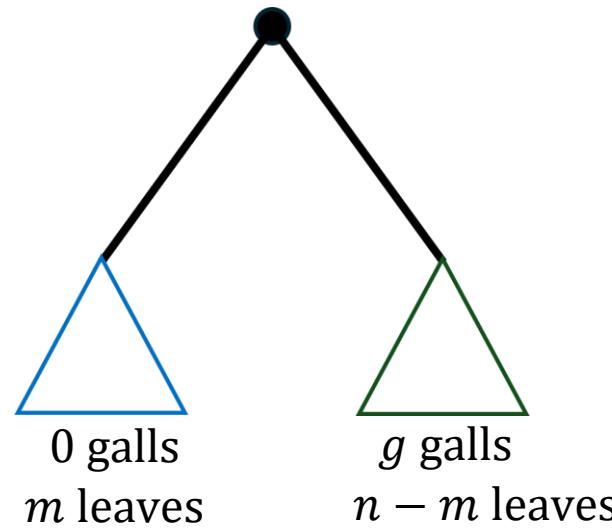


$1 \leq l \leq \min\{g - 1, k\}$
main subtrees with galls

m leaves

Recursion

No root gall

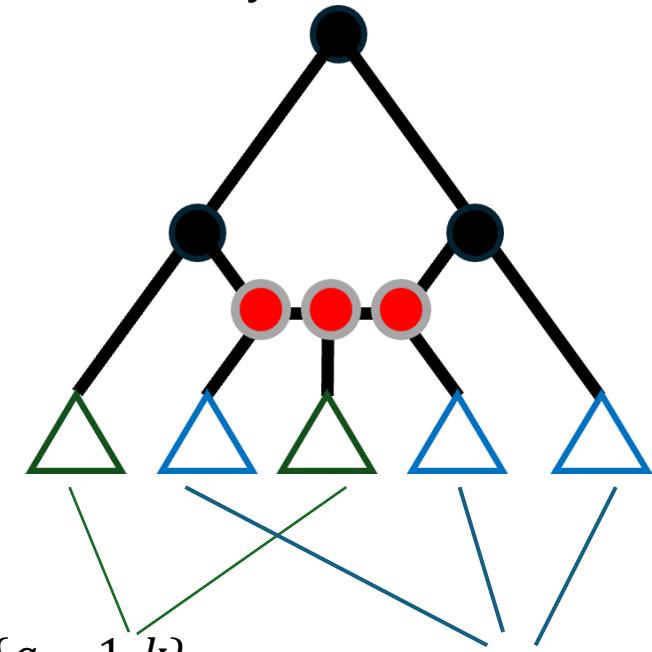


With a root gall

$3 \leq k \leq n$ main subtrees

$k - 2$ possibilites

to place the hybrid node

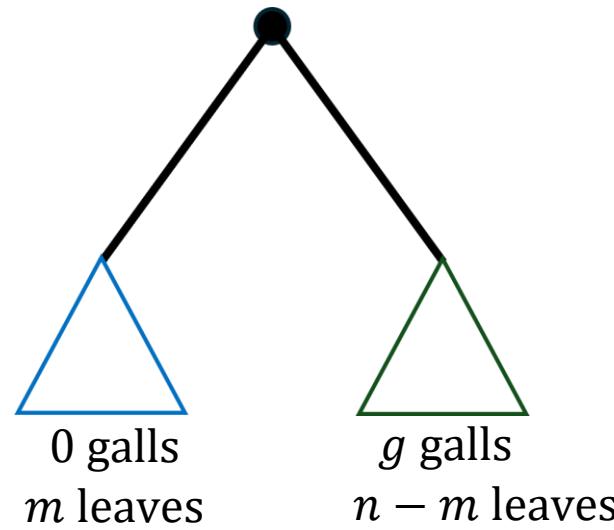


$1 \leq l \leq \min\{g - 1, k\}$
main subtrees with galls
m leaves

$k - l$
main subtrees with 0 galls

Recursion

No root gall

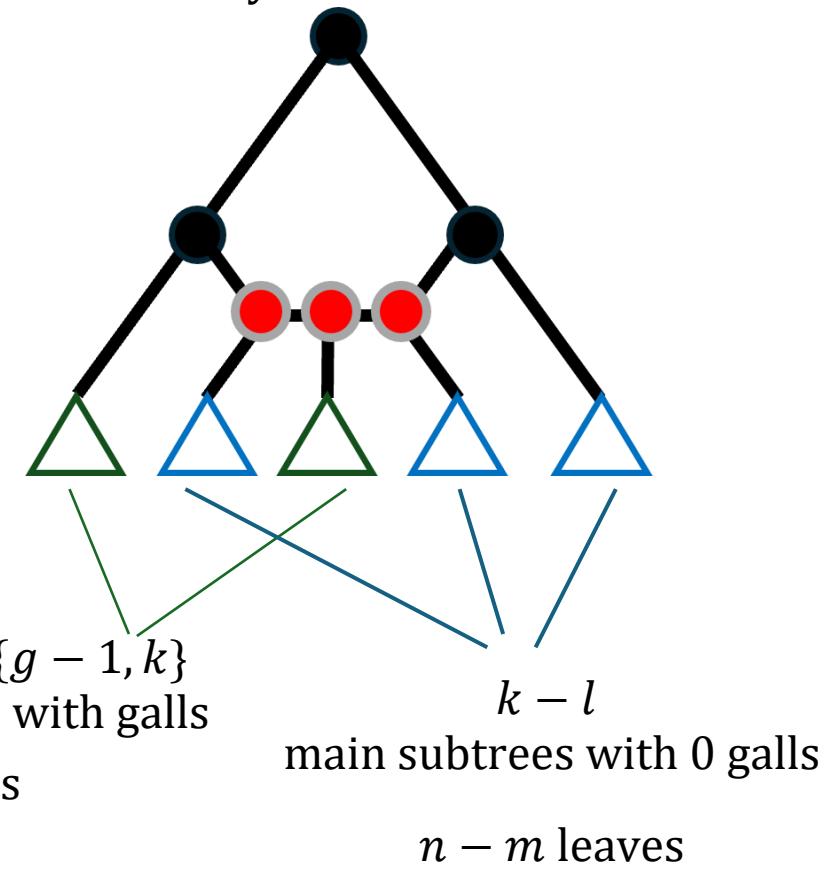


With a root gall

$3 \leq k \leq n$ main subtrees

$k - 2$ possibilites

to place the hybrid node



Asymptotic Analysis

Asymptotic Analysis

$$\varepsilon_1(t) = \frac{1}{1 - u(t)} - \frac{1}{[1 - u(t)]^2} + \frac{u(t)}{2[1 - u(t)]^3} + \frac{u(t)}{2[1 - u(t)][1 - u(t^2)]}$$

Asymptotic Analysis

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_2(t) = \frac{\mathcal{E}_1(t)}{2[1 - \mathcal{U}(t)]} \left[\mathcal{E}_1(t) + \frac{\mathcal{U}(t) + \mathcal{U}^2(t)}{[1 - \mathcal{U}(t)]^3} - \frac{1}{1 - \mathcal{U}(t)} + \frac{1}{1 - \mathcal{U}(t^2)} \right] + \frac{\mathcal{E}_1(t^2)}{2[1 - \mathcal{U}(t)]}$$

Asymptotic Analysis

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_2(t) = \frac{\mathcal{E}_1(t)}{2[1 - \mathcal{U}(t)]} \left[\mathcal{E}_1(t) + \frac{\mathcal{U}(t) + \mathcal{U}^2(t)}{[1 - \mathcal{U}(t)]^3} - \frac{1}{1 - \mathcal{U}(t)} + \frac{1}{1 - \mathcal{U}(t^2)} \right] + \frac{\mathcal{E}_1(t^2)}{2[1 - \mathcal{U}(t)]}$$

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_2(t) = \frac{\mathcal{E}_1(t)}{2[1 - \mathcal{U}(t)]} \left[\mathcal{E}_1(t) + \frac{\mathcal{U}(t) + \mathcal{U}^2(t)}{[1 - \mathcal{U}(t)]^3} - \frac{1}{1 - \mathcal{U}(t)} + \frac{1}{1 - \mathcal{U}(t^2)} \right] + \frac{\mathcal{E}_1(t^2)}{2[1 - \mathcal{U}(t)]}$$

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

$$\delta_1 = \frac{1}{2} \quad \mathcal{E}_1(t) \sim \frac{1}{2\gamma^3 (1 - \frac{t}{\rho})^{\frac{3}{2}}}$$

Asymptotic Analysis

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_2(t) = \frac{\mathcal{E}_1(t)}{2[1 - \mathcal{U}(t)]} \left[\mathcal{E}_1(t) + \frac{\mathcal{U}(t) + \mathcal{U}^2(t)}{[1 - \mathcal{U}(t)]^3} - \frac{1}{1 - \mathcal{U}(t)} + \frac{1}{1 - \mathcal{U}(t^2)} \right] + \frac{\mathcal{E}_1(t^2)}{2[1 - \mathcal{U}(t)]}$$

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

$$\delta_1 = \frac{1}{2} \quad \mathcal{E}_1(t) \sim \frac{1}{2\gamma^3 (1 - \frac{t}{\rho})^{\frac{3}{2}}}$$

$$g \geq 2$$

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

Asymptotic Analysis

Asymptotic Analysis

$$\varepsilon_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

$E_{n,g}$ – The number of galled trees with g galls and n leaves

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

$E_{n,g}$ – The number of galled trees with g galls and n leaves

$$E_{n,g} \sim \frac{\delta_g}{\gamma^{4g-1} \Gamma(2g - 1/2)} n^{2g - \frac{3}{2}} \rho^{-n}$$

$$\varepsilon_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

$E_{n,g}$ – The number of galled trees with g galls and n leaves

$$E_{n,g} \sim \frac{\delta_g}{\gamma^{4g-1} \Gamma(2g - 1/2)} n^{2g - \frac{3}{2}} \rho^{-n}$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g - \frac{3}{2}} \rho^{-n}$$

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1-t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

Asymptotic Analysis

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$2^{2g-1} \delta_g = C_{2g-1}$$

C_m is the m^{th} Catalan number

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$2^{2g-1} \delta_g = C_{2g-1}$$

C_m is the m^{th} Catalan number

$C_0 = 1, C_1 = 1, C_2 = 2, C_3 = 5, C_4 = 14, C_5 = 42, \dots$

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$2^{2g-1} \delta_g = C_{2g-1}$$

C_m is the m^{th} Catalan number

$C_0 = 1, C_1 = 1, C_2 = 2, C_3 = 5, C_4 = 14, C_5 = 42, \dots$

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

$$C_m = \frac{2^m (2m-1)!!}{(m+1)!}$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$2^{2g-1} \delta_g = C_{2g-1}$$

C_m is the m^{th} Catalan number

$C_0 = 1, C_1 = 1, C_2 = 2, C_3 = 5, C_4 = 14, C_5 = 42, \dots$

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

$$C_m = \frac{2^m (2m-1)!!}{(m+1)!}$$

$$E_{n,g} \sim \frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

Asymptotic Analysis

$$E_{n,g} \sim \frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$E_{n,g} \sim \frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

This includes $g = 0$

Asymptotic Analysis

$$E_{n,g} \sim \frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

This includes $g = 0$

$$E_{n,g} \sim \frac{2^{0-1}}{(0)! \gamma^{0-1} \sqrt{\pi}} n^{0-\frac{3}{2}} \rho^{-n} = \frac{\gamma}{2\sqrt{\pi}} n^{-\frac{3}{2}} \rho^{-n} \sim [t^n] \mathcal{U}(t)$$

Asymptotic Analysis

The subexponential portion $\frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-3/2} = c_g n^{2g-3/2}$

Number of galls g	Exact value of c_g	Approximate value of c_g	$n^{2g-3/2}$
0	$\frac{\gamma}{2\sqrt{\pi}}$	0.3188	$n^{-\frac{3}{2}}$
1	$\frac{1}{\gamma^3 \sqrt{\pi}}$	0.3910	$n^{\frac{1}{2}}$
2	$\frac{1}{3\gamma^7 \sqrt{\pi}}$	0.0799	$n^{\frac{5}{2}}$
3	$\frac{2}{45\gamma^{11} \sqrt{\pi}}$	0.0065	$n^{\frac{9}{2}}$
4	$\frac{1}{315\gamma^{15} \sqrt{\pi}}$	2.8638×10^{-4}	$n^{\frac{13}{2}}$
5	$\frac{2}{14175\gamma^{19} \sqrt{\pi}}$	7.8062×10^{-6}	$n^{\frac{17}{2}}$

Summary

Summary

We show an explicit formula for the asymptotic enumeration of unlabeled binary non-plane galled trees with exactly g galls. This includes unlabeled binary non-plane trees with no galls.

Summary

We show an explicit formula for the asymptotic enumeration of unlabeled binary non-plane galled trees with exactly g galls. This includes unlabeled binary non-plane trees with no galls.

From this, the exponential growth of galled trees with any fixed number of galls is the same as that of unlabeled trees with no galls (different from that of all galled trees).

Summary

We show an explicit formula for the asymptotic enumeration of unlabeled binary non-plane galled trees with exactly g galls. This includes unlabeled binary non-plane trees with no galls.

From this, the exponential growth of galled trees with any fixed number of galls is the same as that of unlabeled trees with no galls (different from that of all galled trees).

However, the subexponential growth, with the increase in the number of galls by 1, is greater by a factor of $\frac{4n^2}{\gamma^4(2g+1)(2g+2)}$.

Thank You

Acknowledgements

Noah Rosenberg



Michael Fuchs National Chengchi University



Bernhard Gittenberger Technische Universität Wien



L to R: Egor Lappo, Chloe Shiff, Xiran Liu, Noah Rosenberg, Kaleda Denton, Maike Morrison, Lily Agranat-Tamir

not pictured: Daniel Cotter, Juan Esteban Rodriguez Rodriguez, Kennedy Agwamba, Zarif Ahsan, Emily Dickey, Bradley Moon, Michael Doboli, Anna Lyubarskaja, Daniel Bauman



Asymptotics of unlabeled galled trees with a fixed number of galls

Lily Agranat-Tamir, Michael Fuchs, Bernhard Gittenberger and Noah Rosenberg
AofA 2024

Lecture Outline

Introduction – phylogenetic trees

Definition – galled trees

Previous work – the enumeration of galled trees
and galled trees with one gall

Novel work – the enumeration of galled trees with a
fixed number of galls

Summary

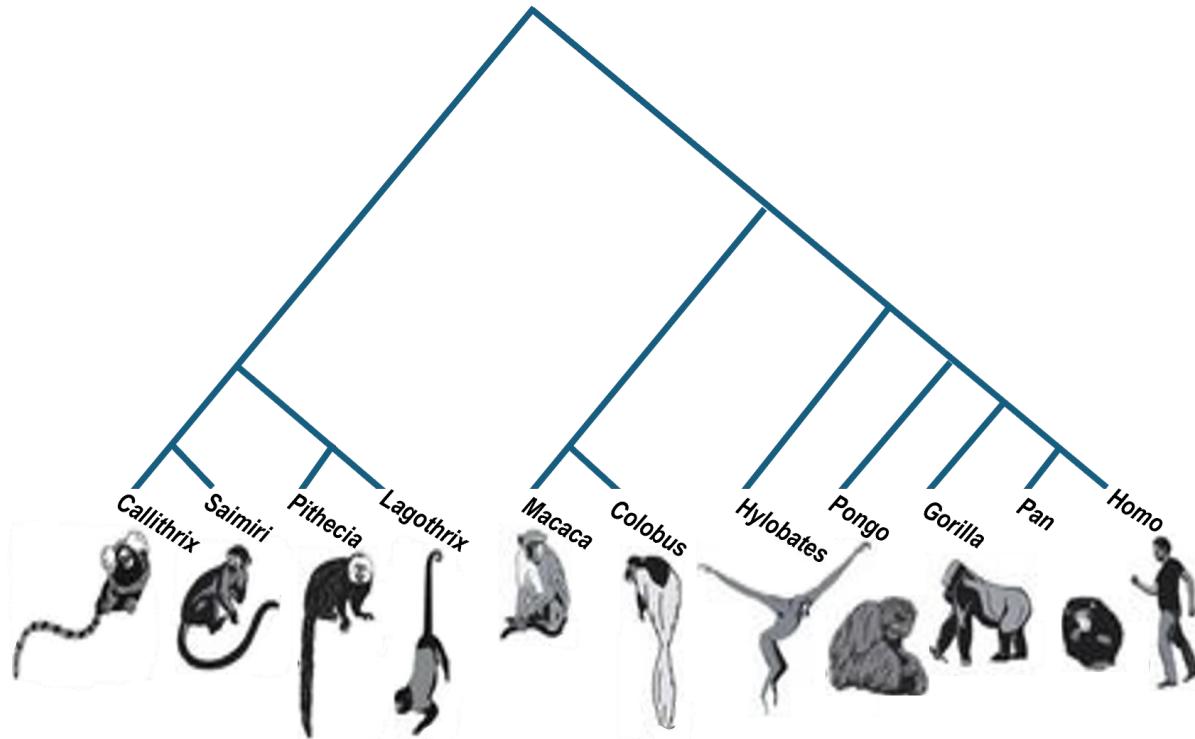
Phylogenetic Trees

Phylogenetic Trees

Species Trees

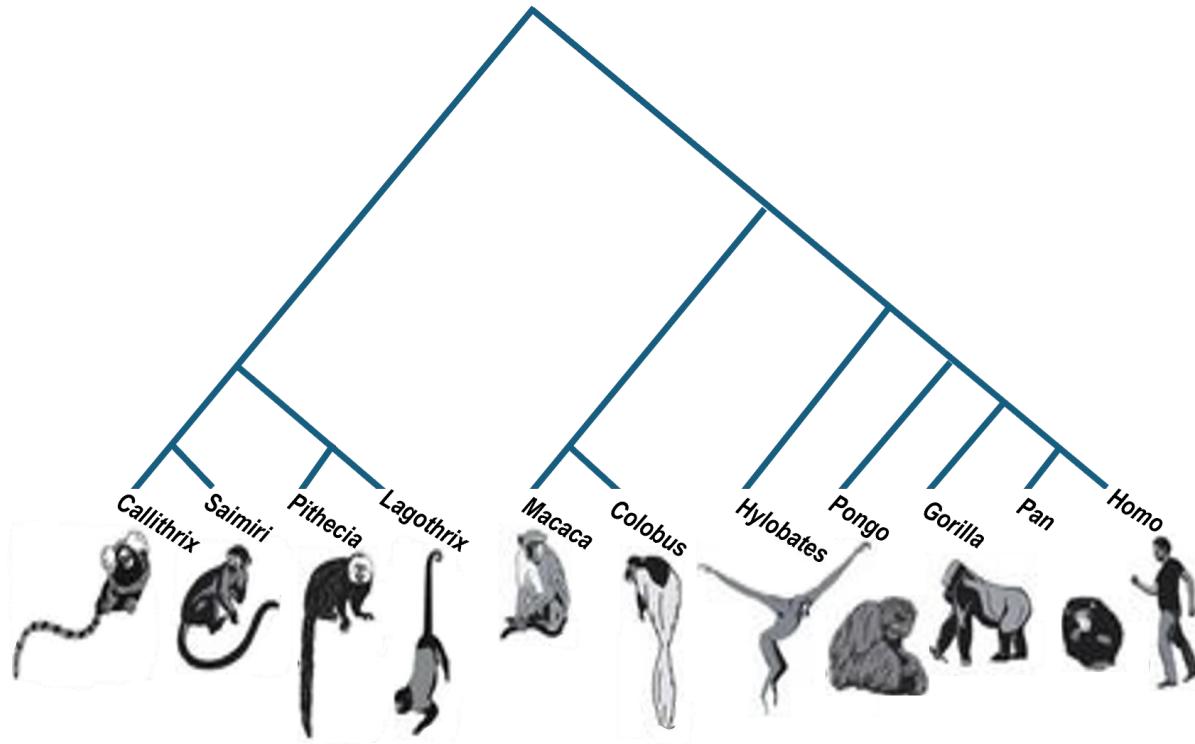
Phylogenetic Trees

Species Trees



Phylogenetic Trees

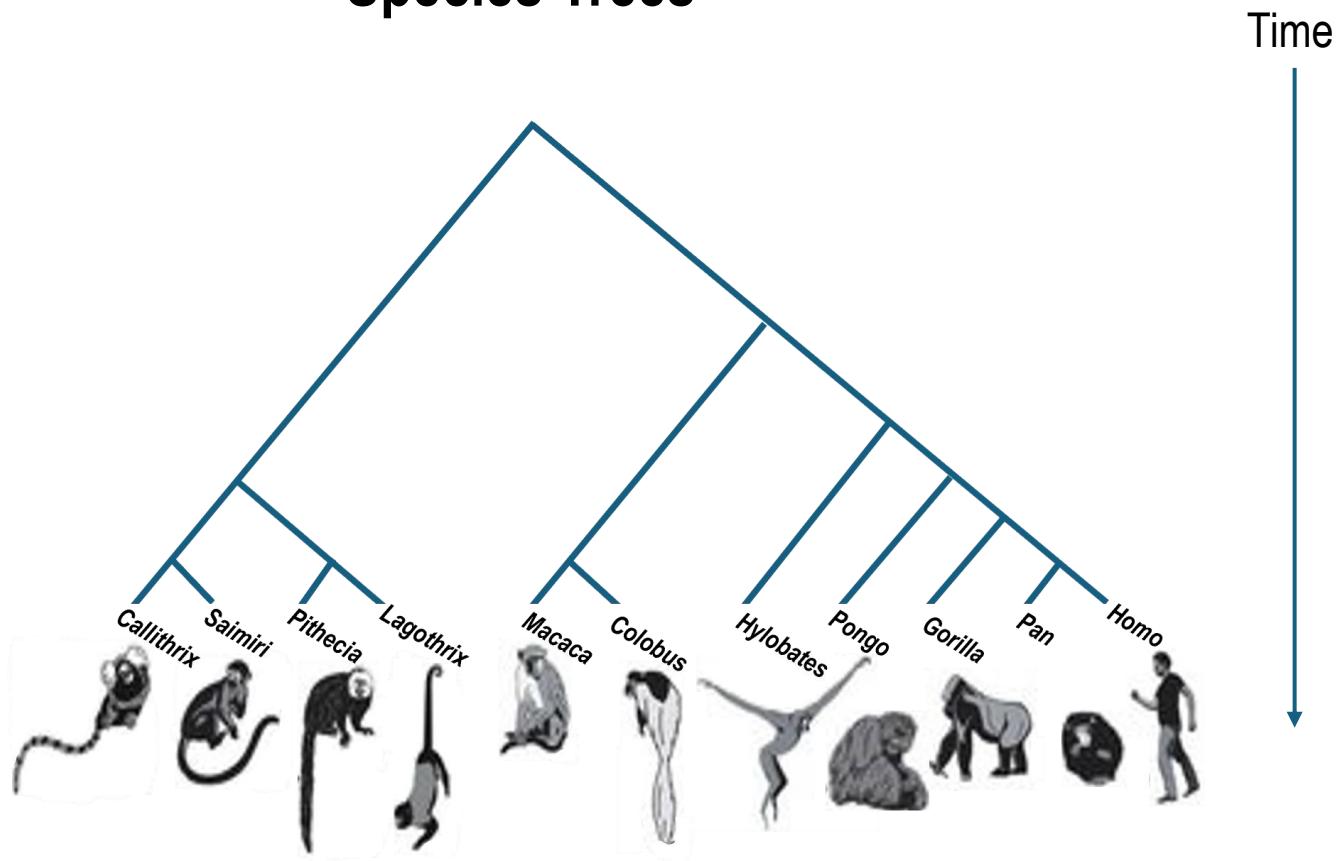
Species Trees



Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

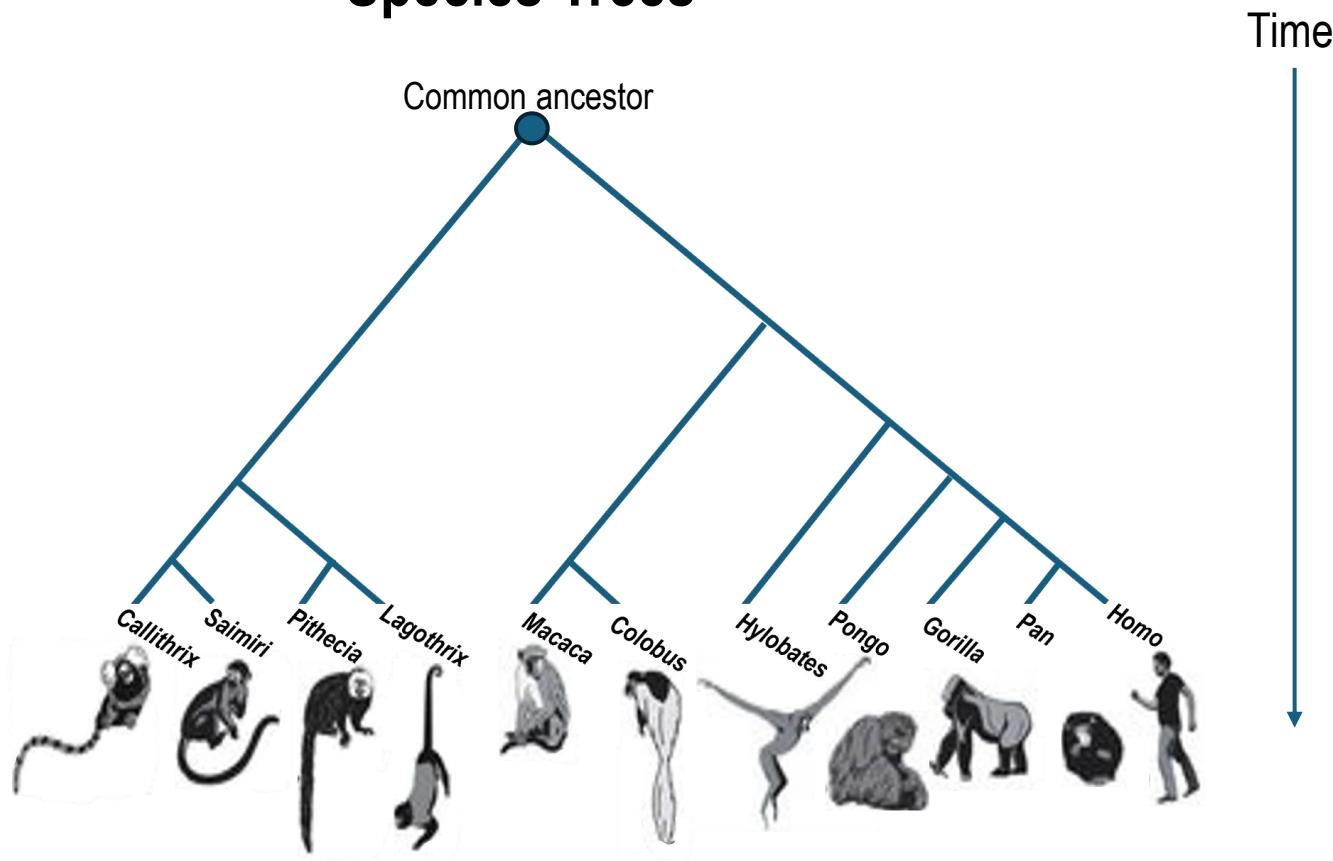
Species Trees



Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

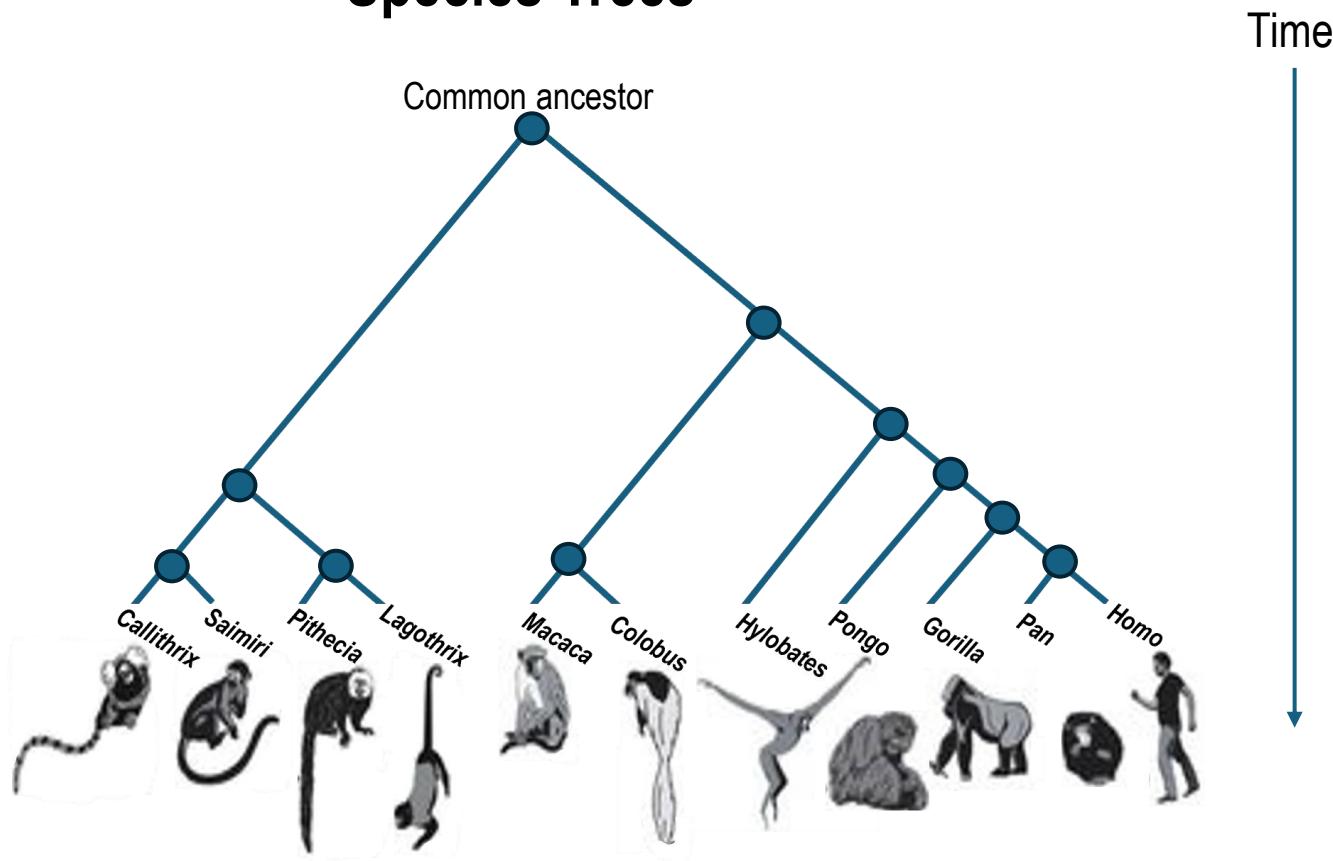
Species Trees



Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

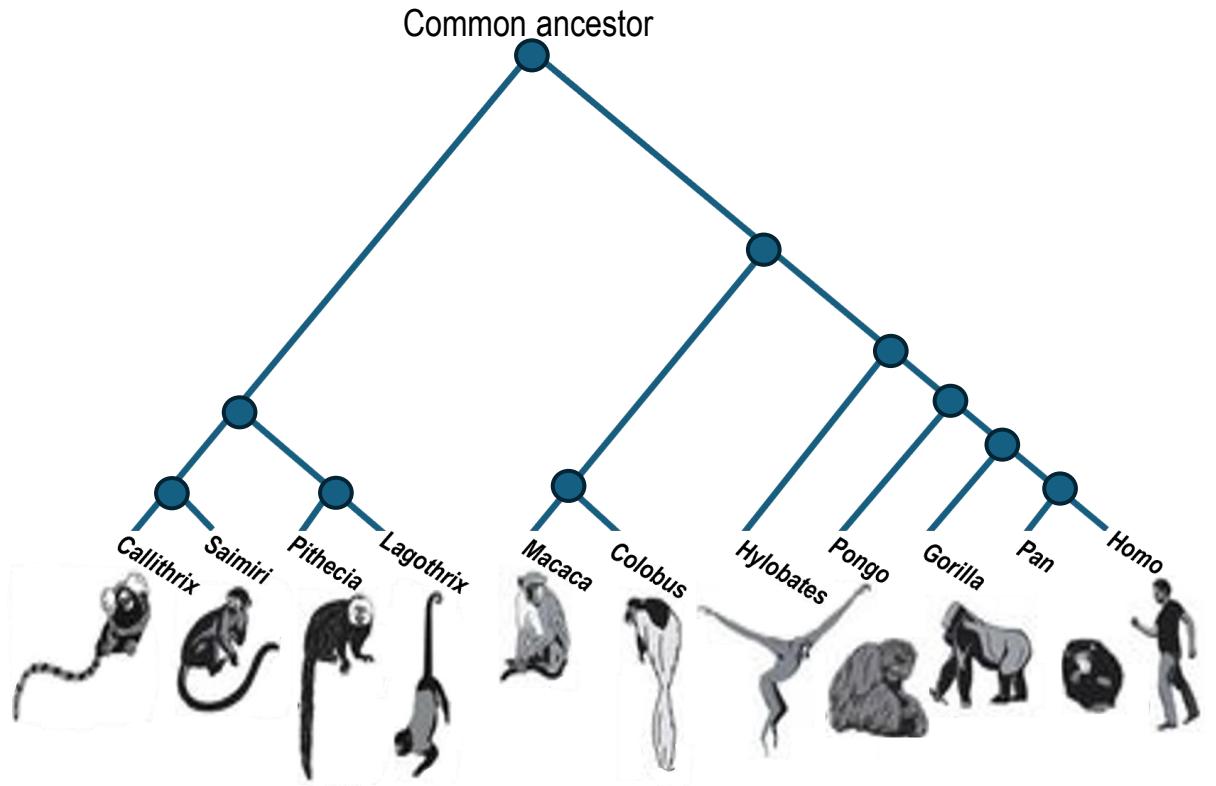
Species Trees



Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

Species Trees



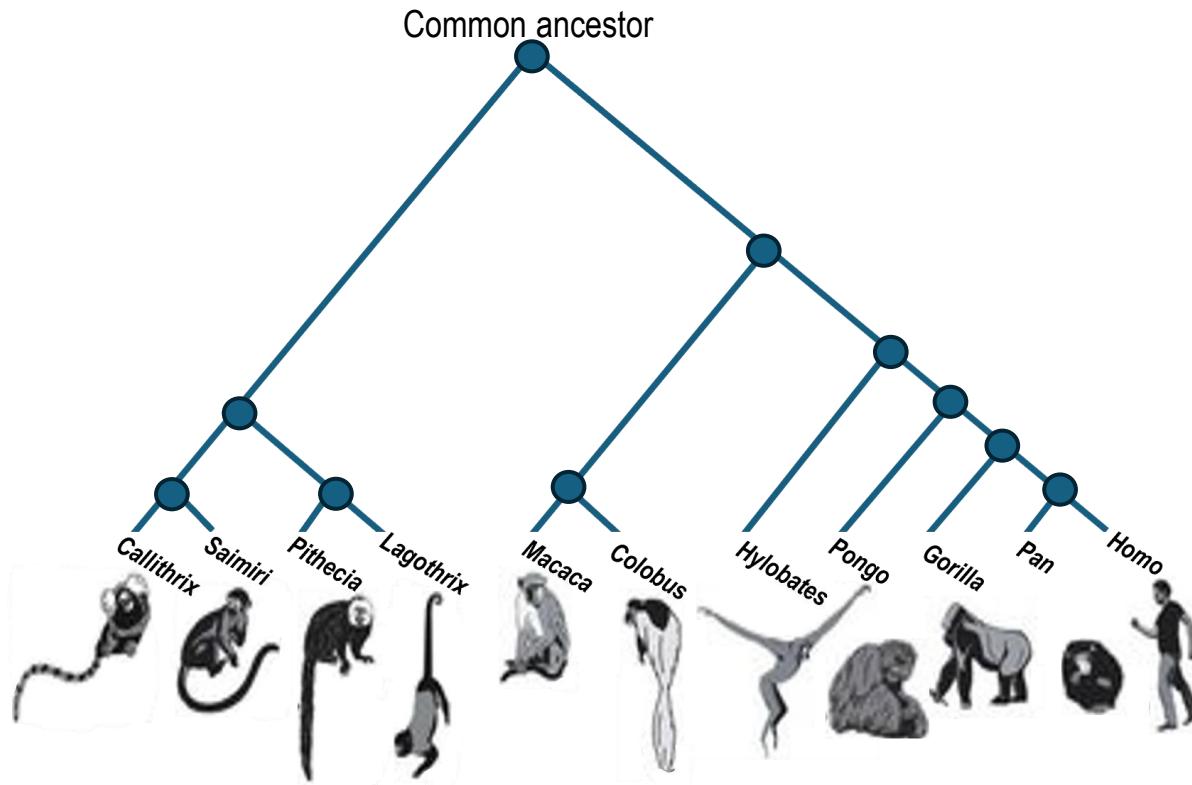
DNA based Trees

Time

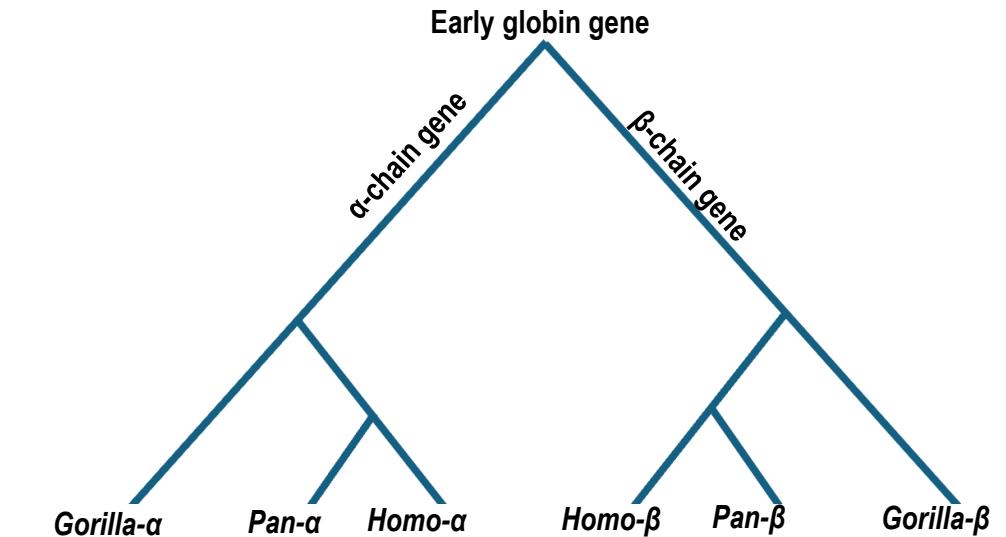
Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

Phylogenetic Trees

Species Trees



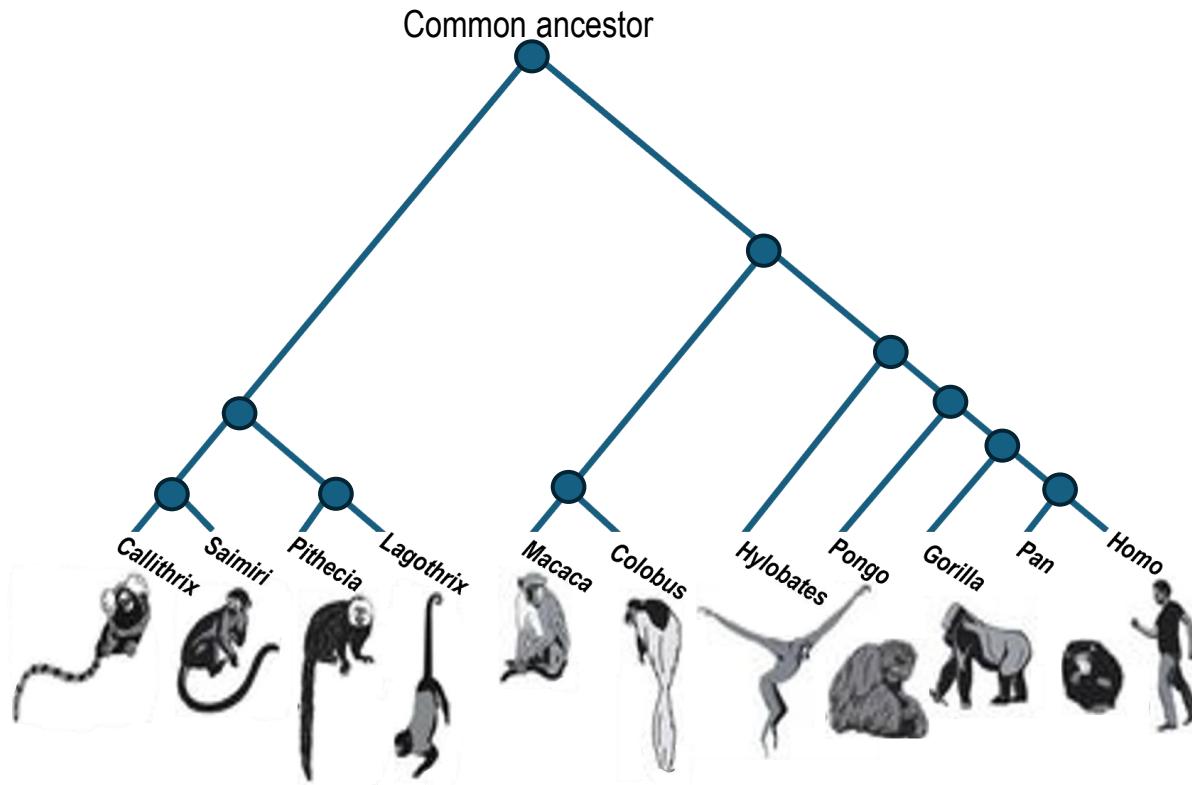
DNA based Trees



Adapted from <http://anthropologyiselemental.ua.edu/osteology.html>

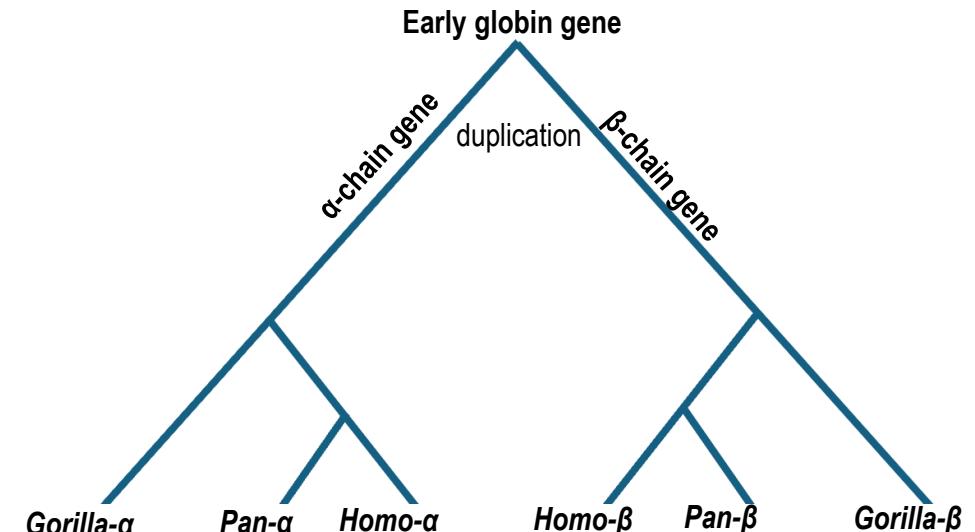
Phylogenetic Trees

Species Trees



Time

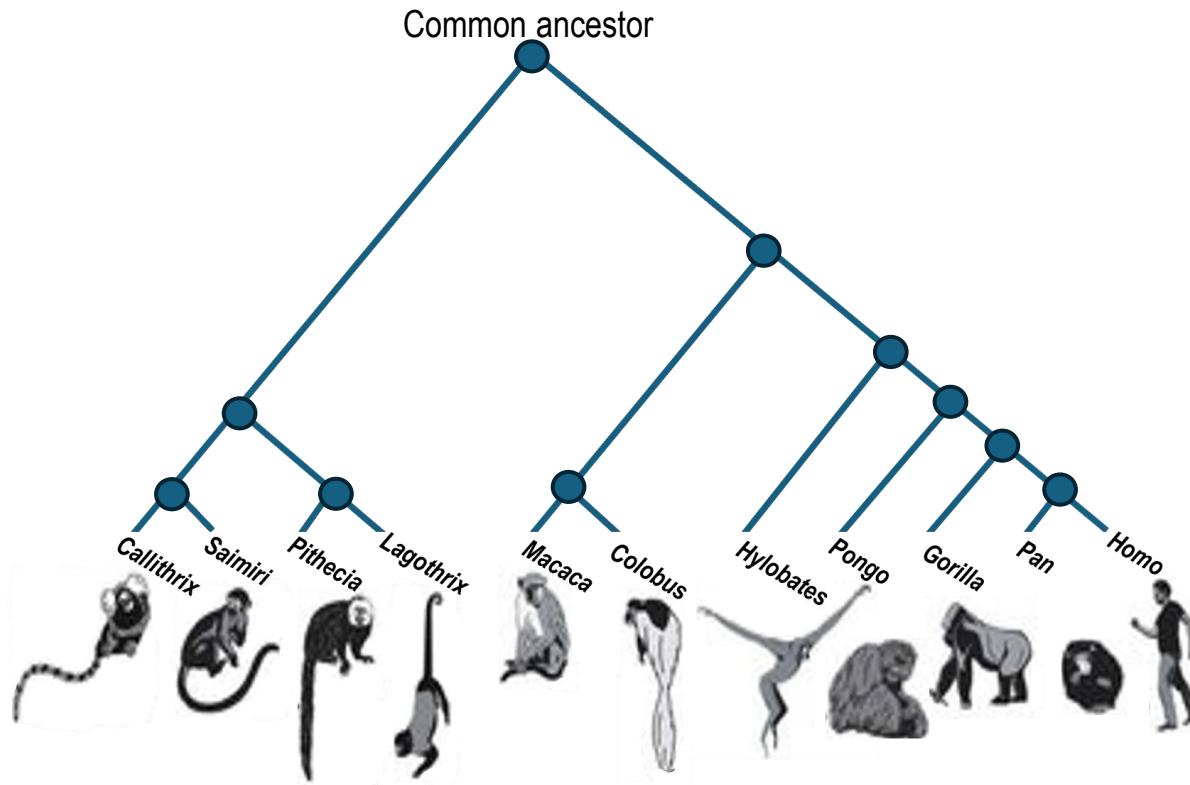
DNA based Trees



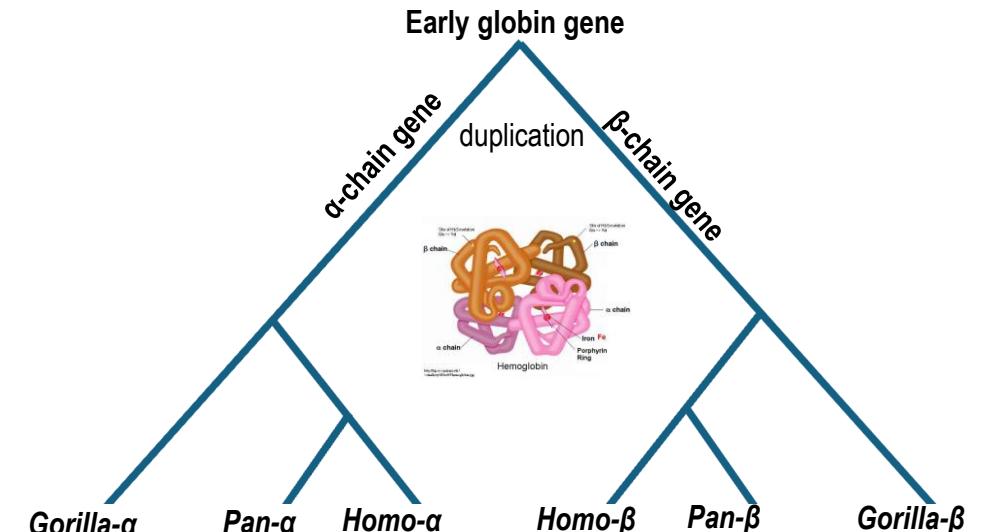
Adapted from <http://anthropologyiselemental.ua.edu/osteology.html>

Phylogenetic Trees

Species Trees



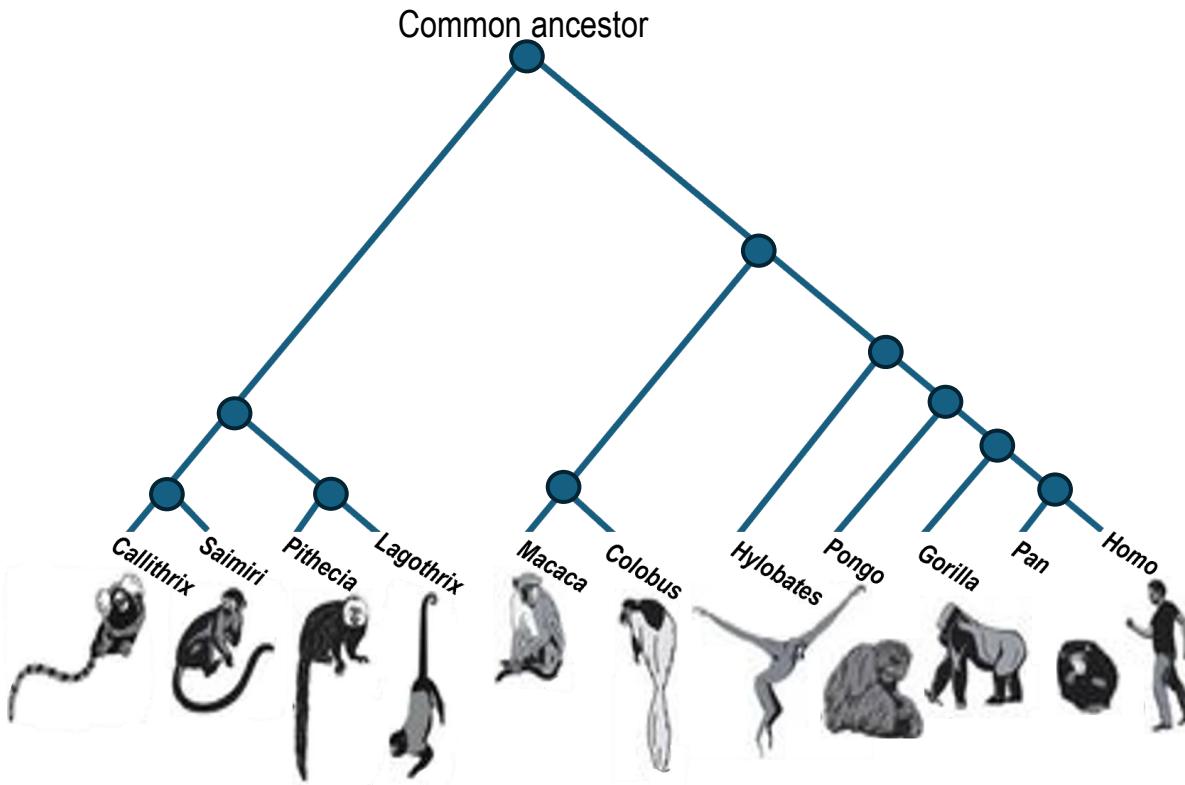
DNA based Trees



Adapted from <http://anthropologyiselemental.ua.edu/osteology.html>

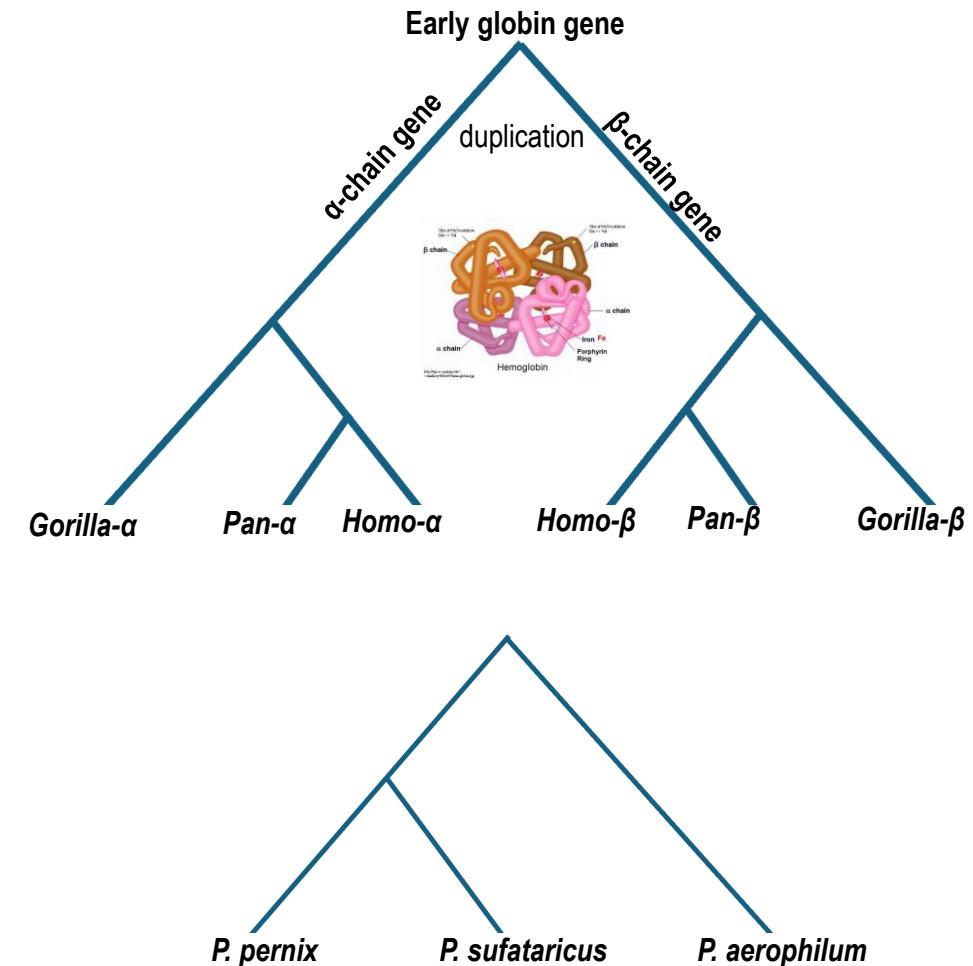
Phylogenetic Trees

Species Trees



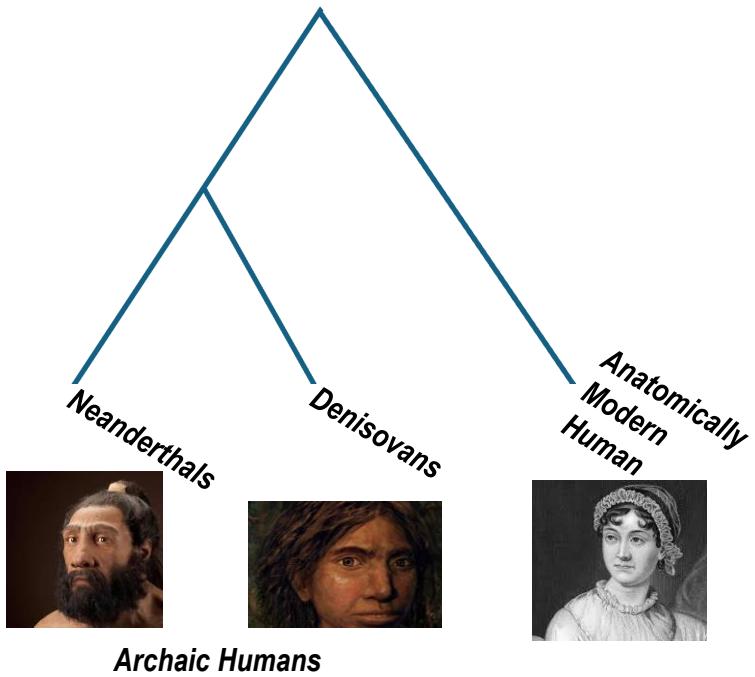
Adapted from <http://anthropologyelemental.ua.edu/osteology.html>

DNA based Trees

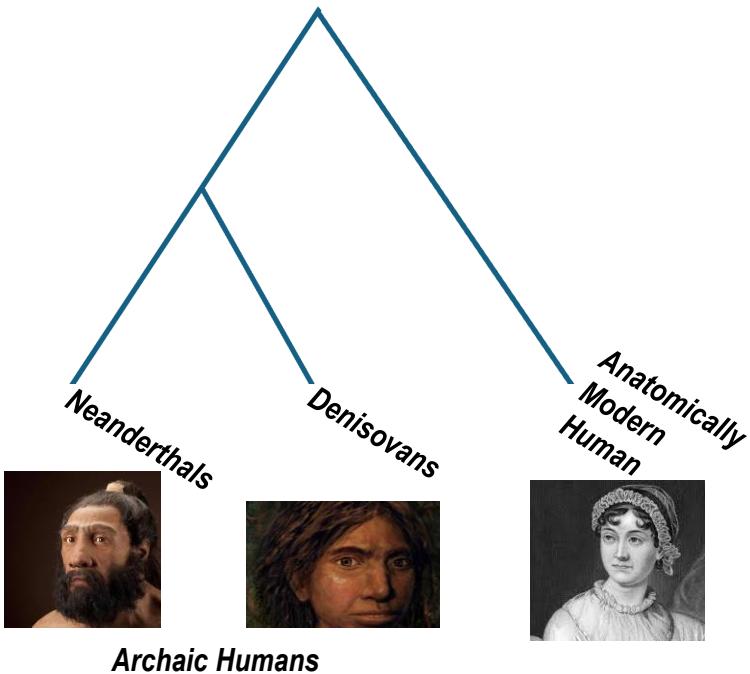


Phylogenetic Trees

Phylogenetic Trees



Phylogenetic Trees

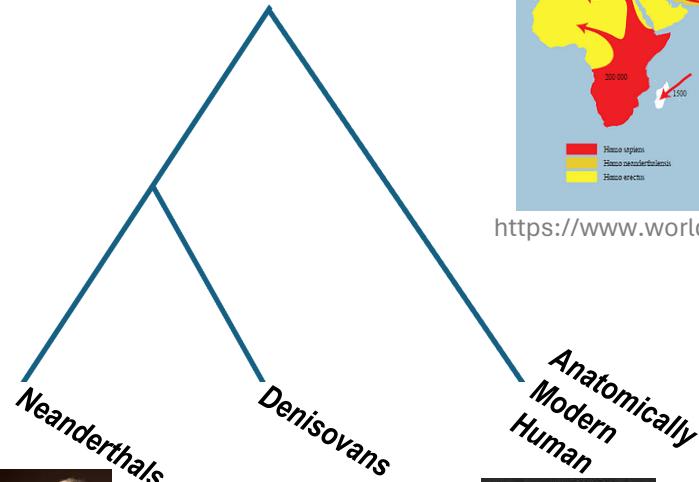


<https://humanorigins.si.edu/evidence/human-fossils/species/homo-neanderthalensis>

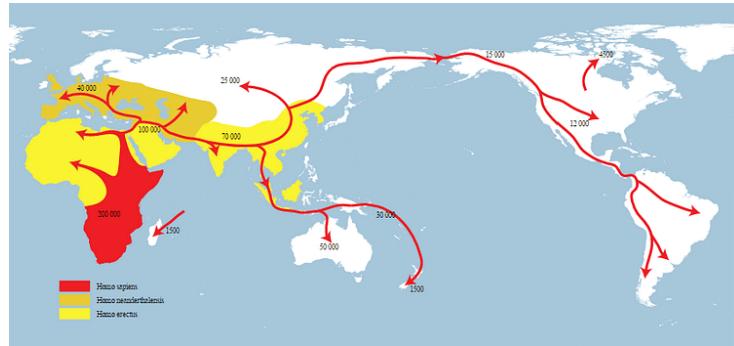
<https://www.sciencefocus.com/science/denisovans>

<https://www.britannica.com/biography/Jane-Austen>

Phylogenetic Trees



Archaic Humans



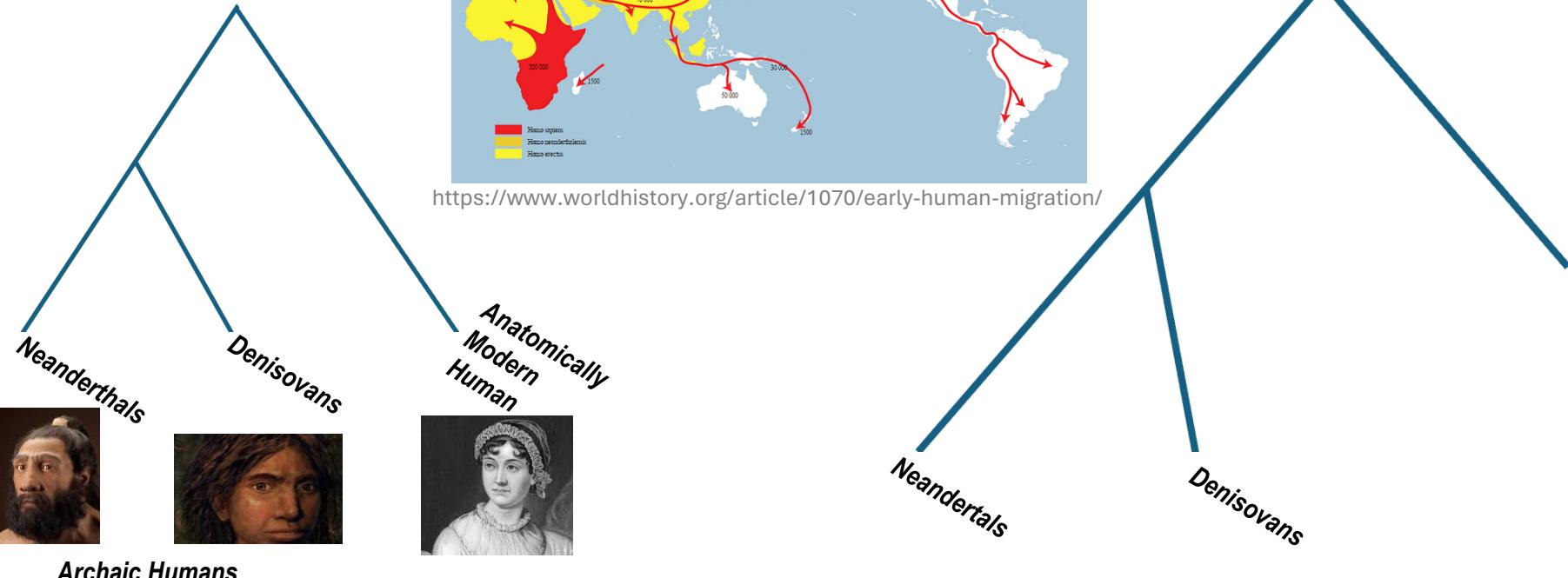
<https://www.worldhistory.org/article/1070/early-human-migration/>

<https://humanorigins.si.edu/evidence/human-fossils/species/homo-neanderthalensis>

<https://www.sciencefocus.com/science/denisovans>

<https://www.britannica.com/biography/Jane-Austen>

Phylogenetic Trees

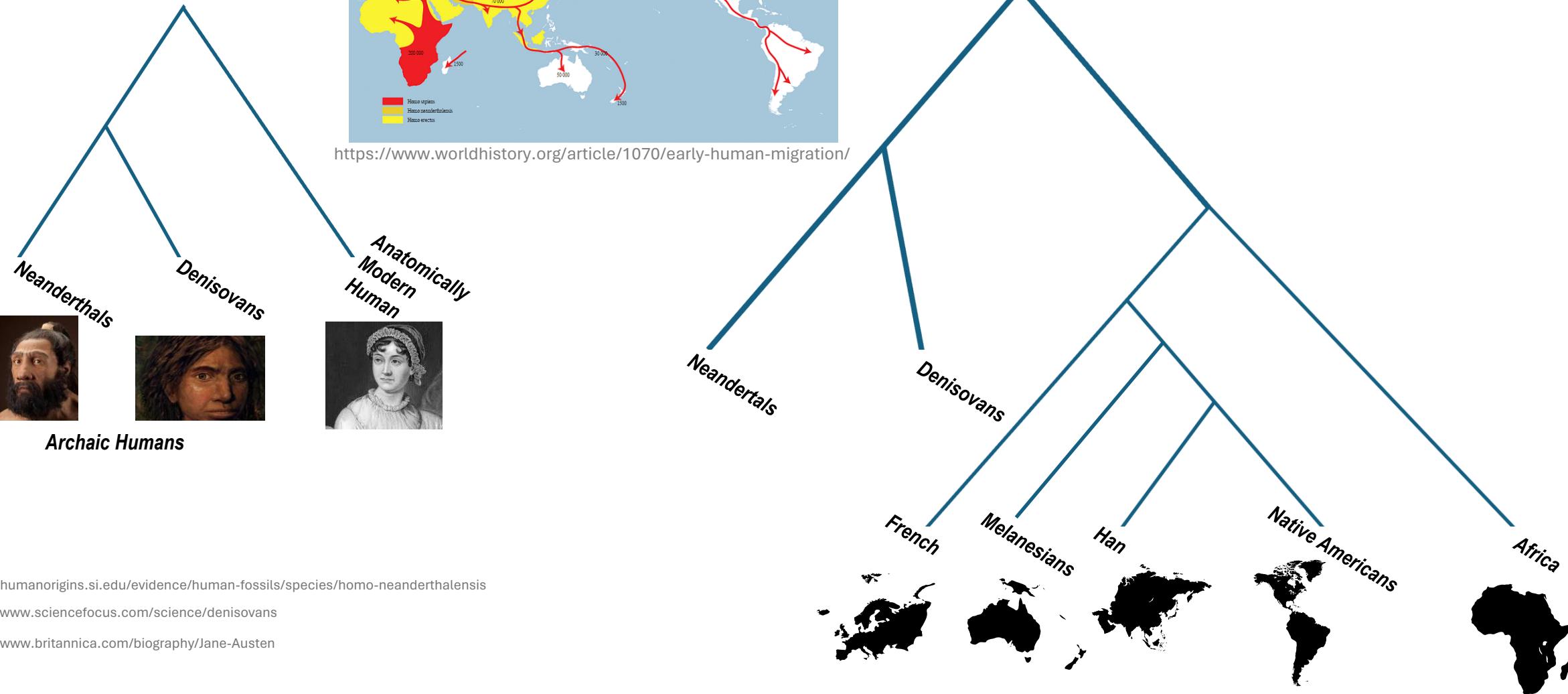


<https://humanorigins.si.edu/evidence/human-fossils/species/homo-neanderthalensis>

<https://www.sciencefocus.com/science/denisovans>

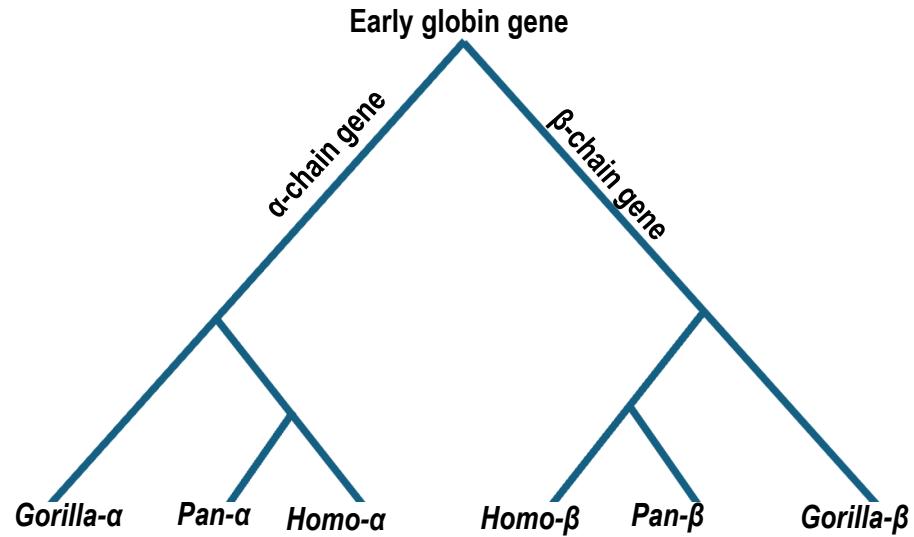
<https://www.britannica.com/biography/Jane-Austen>

Phylogenetic Trees

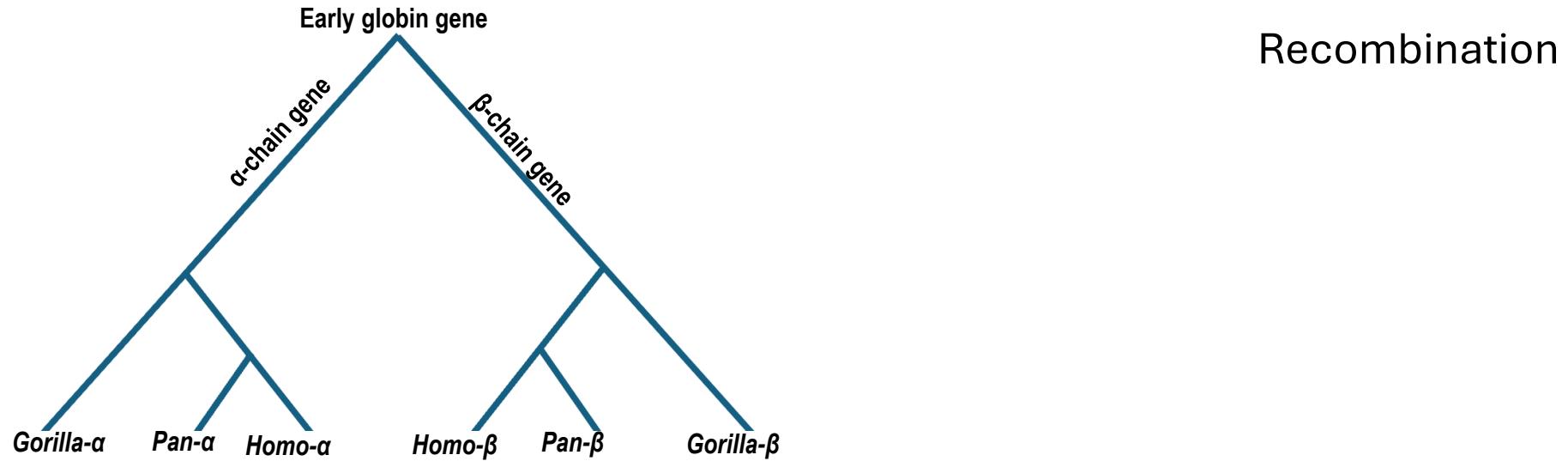


Phylogenetic Trees

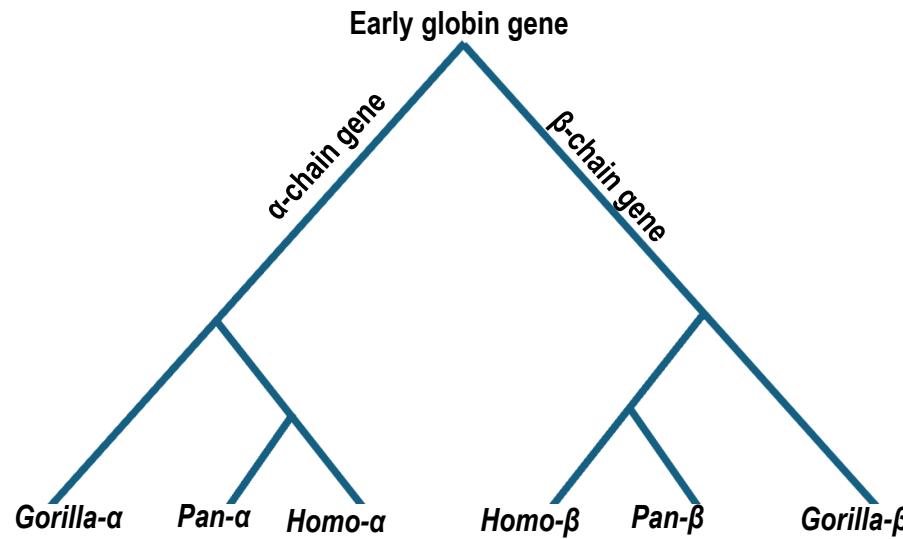
Phylogenetic Trees



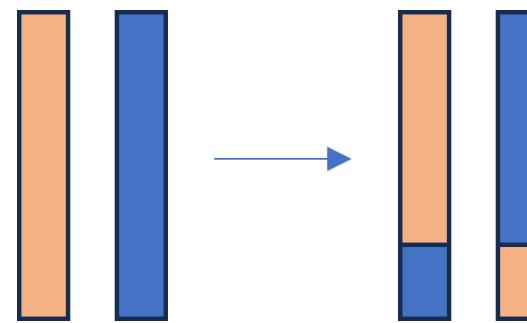
Phylogenetic Trees



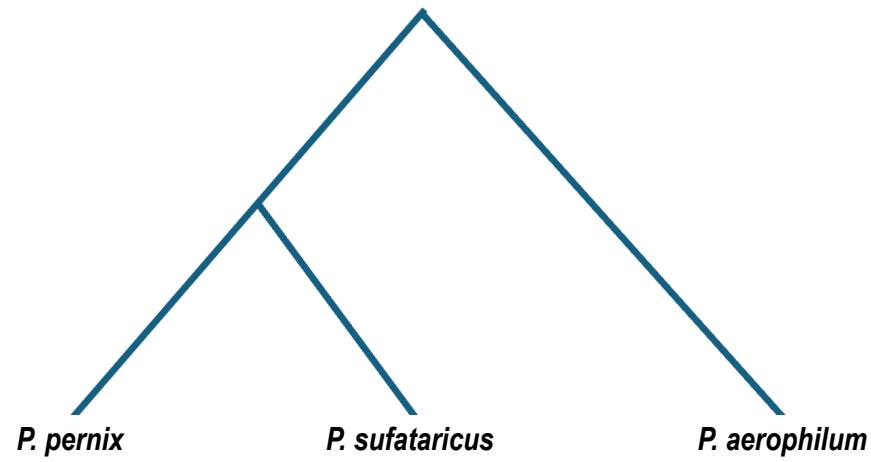
Phylogenetic Trees



Recombination

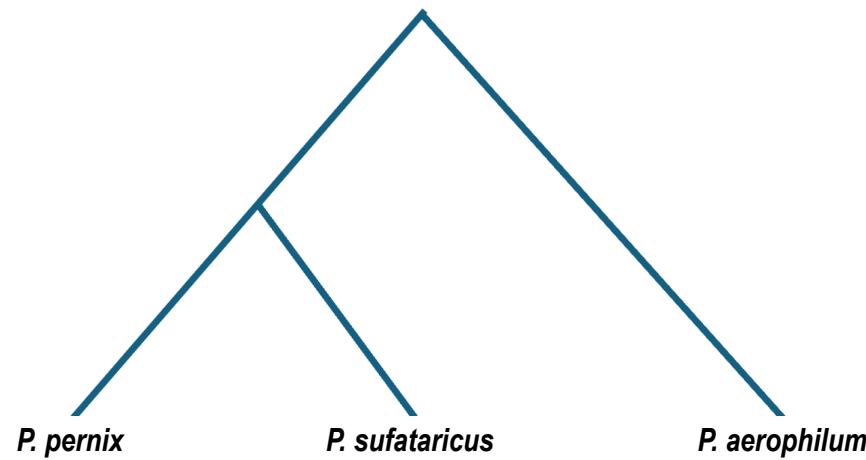


Phylogenetic Trees

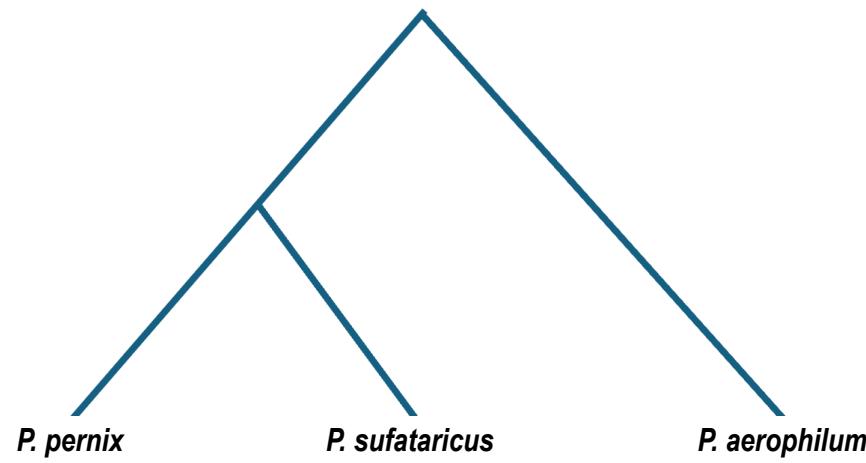


Phylogenetic Trees

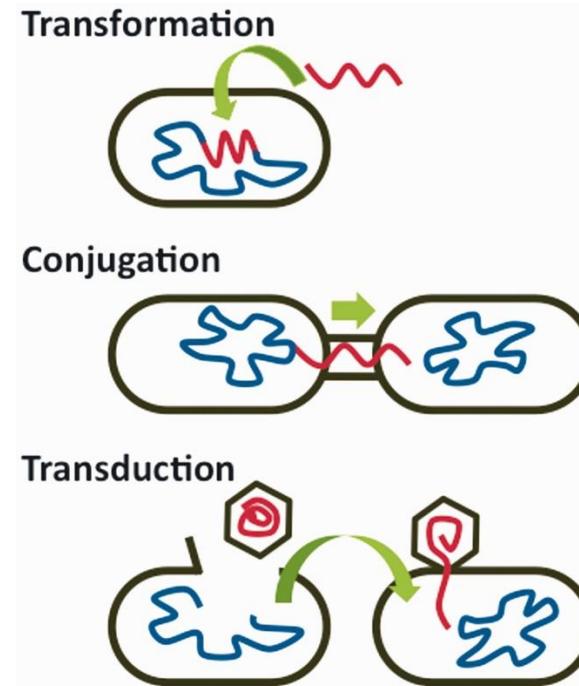
Horizontal Gene Transfer



Phylogenetic Trees



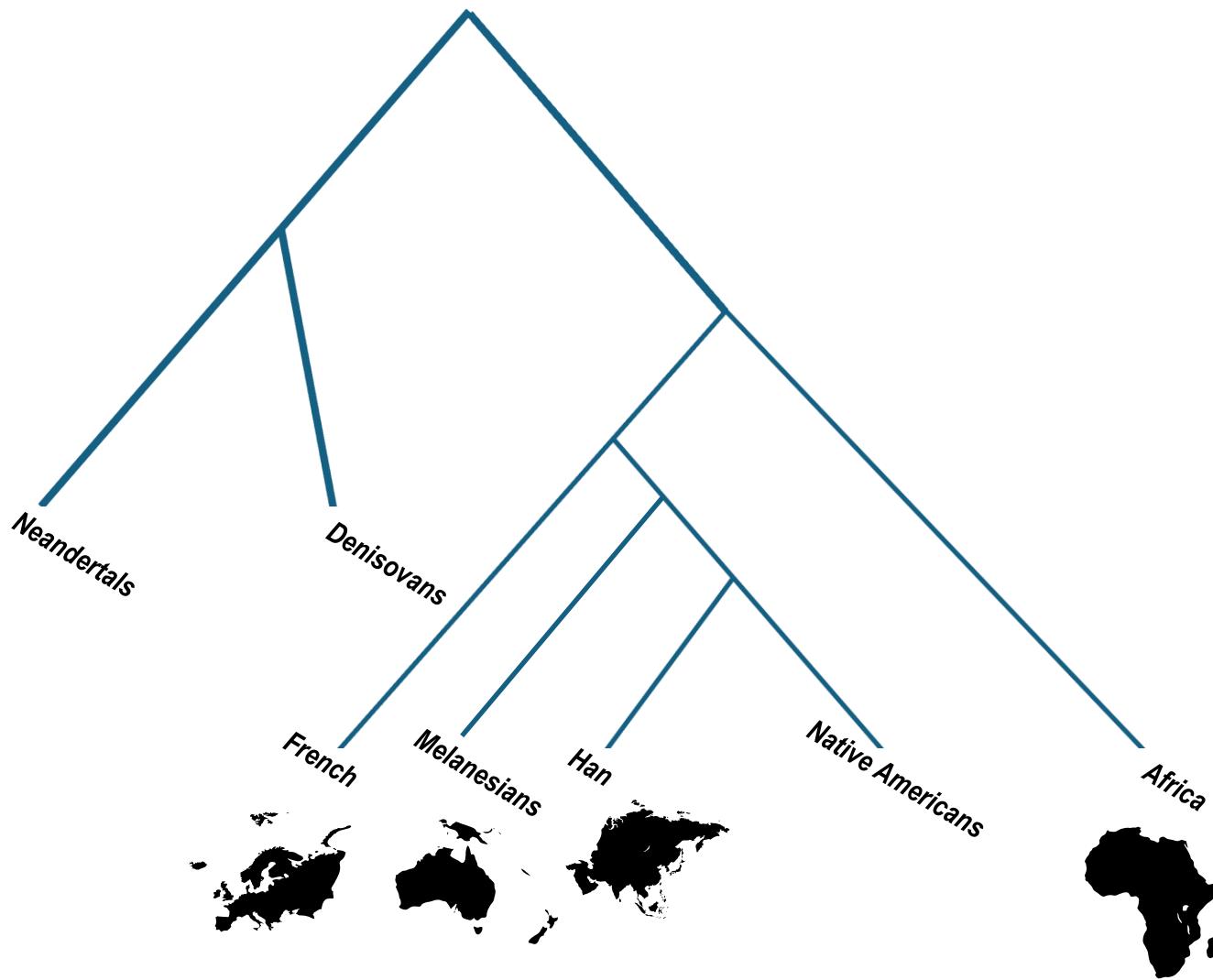
Horizontal Gene Transfer



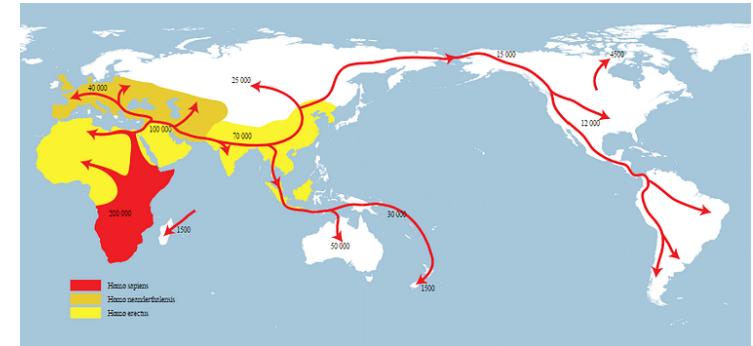
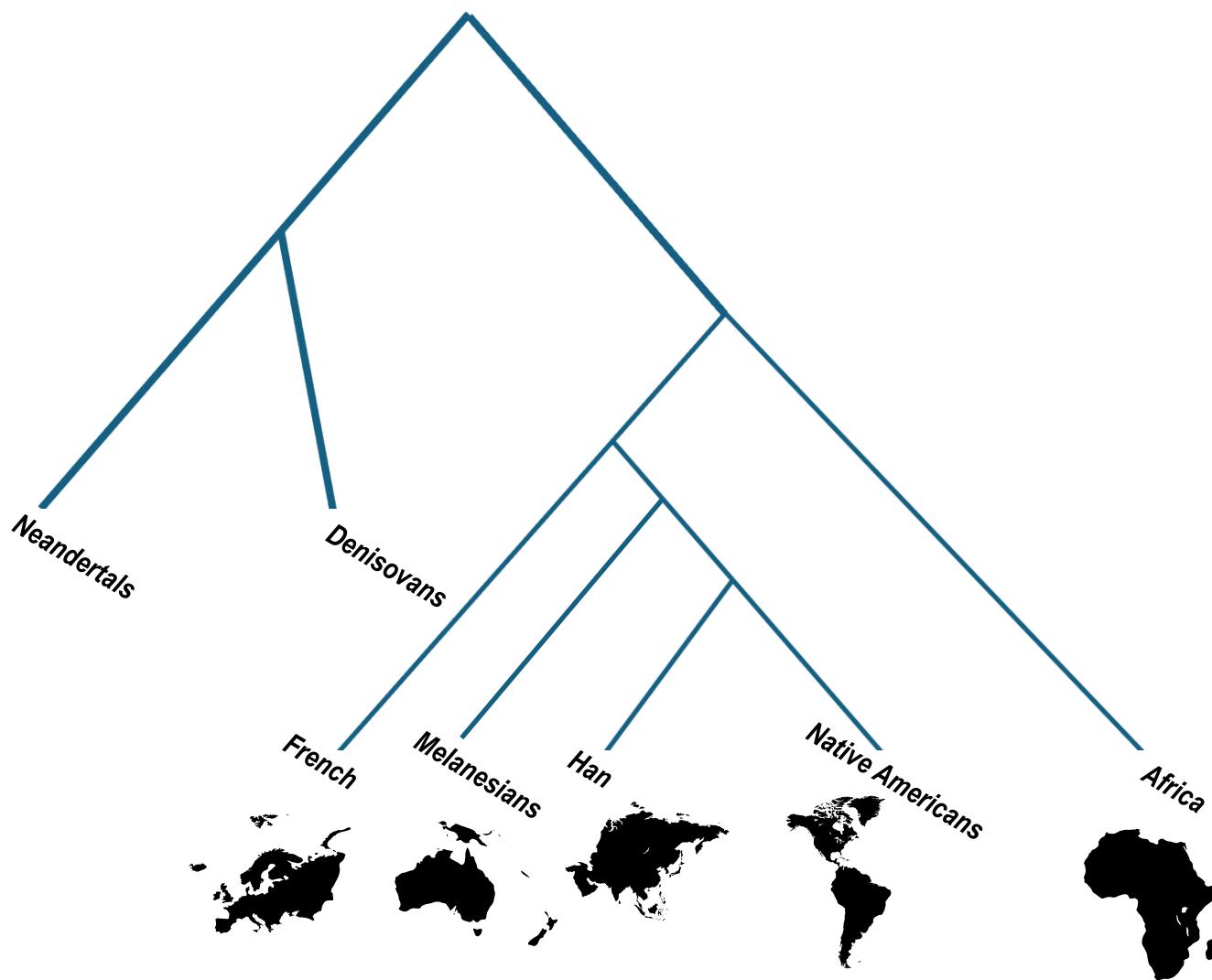
Burmeister, 2015, *Evol Med Public Health*

Phylogenetic Trees

Phylogenetic Trees

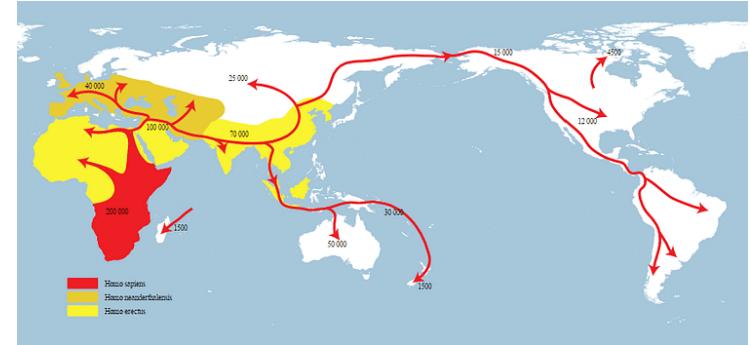
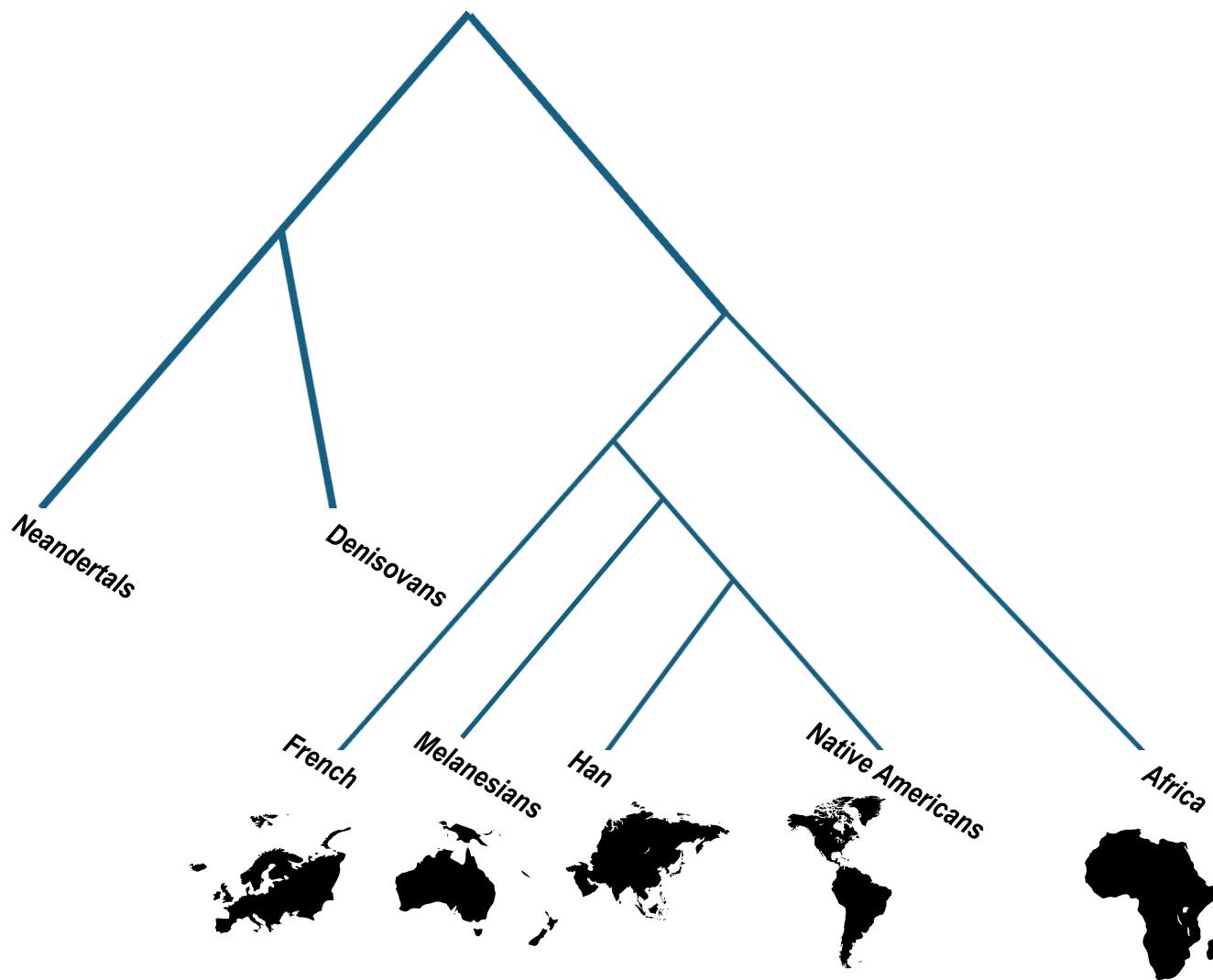


Phylogenetic Trees



<https://www.worldhistory.org/article/1070/early-human-migration/>

Phylogenetic Trees



<https://www.worldhistory.org/article/1070/early-human-migration/>

Admixture



Lecture Outline

Introduction – phylogenetic trees

Definition – galled trees

Previous work – the enumeration of galled trees
and galled trees with one gall

Novel work – the enumeration of galled trees with a
fixed number of galls

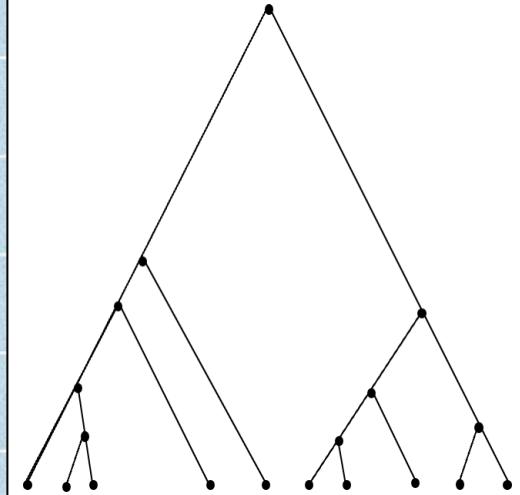
Summary

Galled Trees



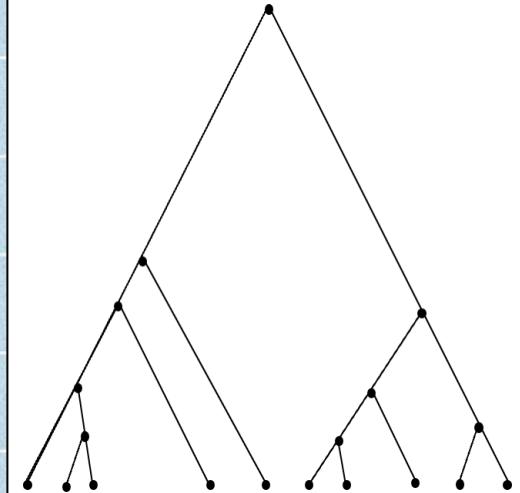
Galled Trees

A rooted binary tree

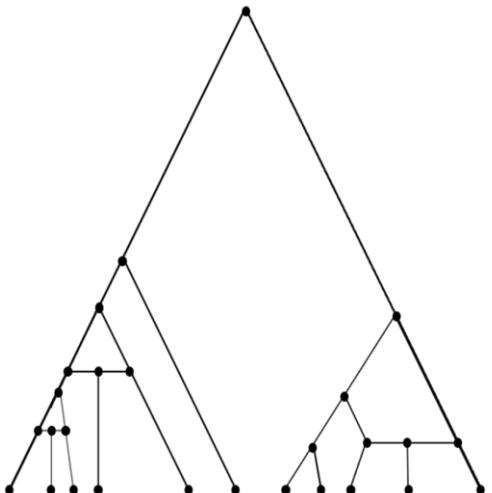


Galled Trees

A rooted binary tree

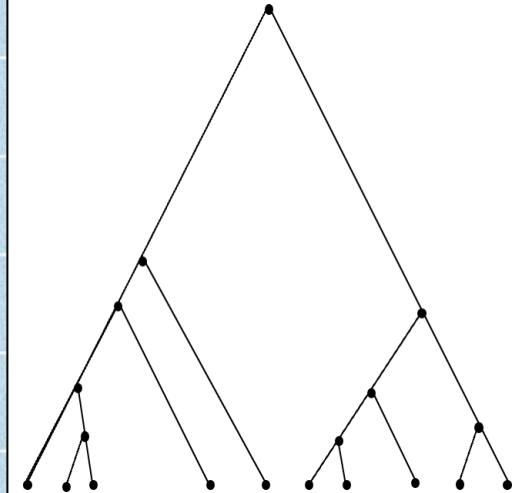


A galled tree

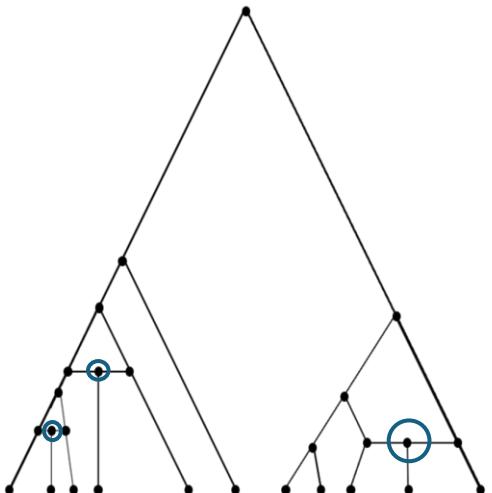


Galled Trees

A rooted binary tree

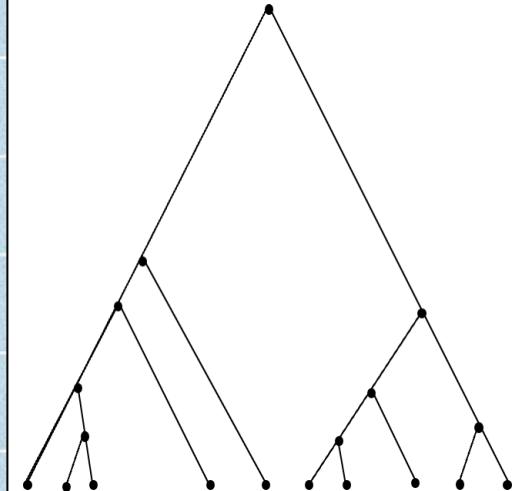


A galled tree

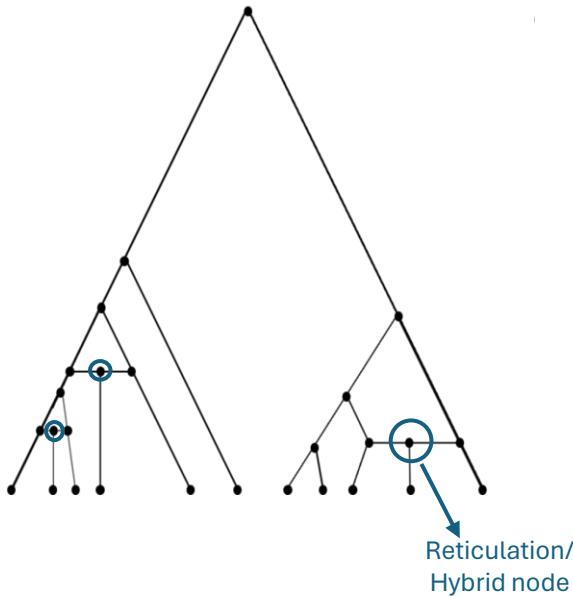


Galled Trees

A rooted binary tree

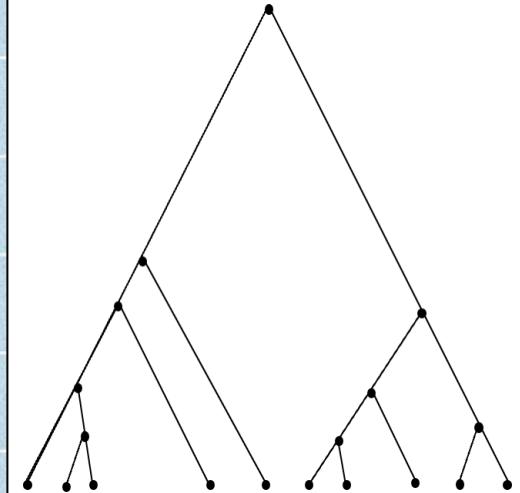


A galled tree

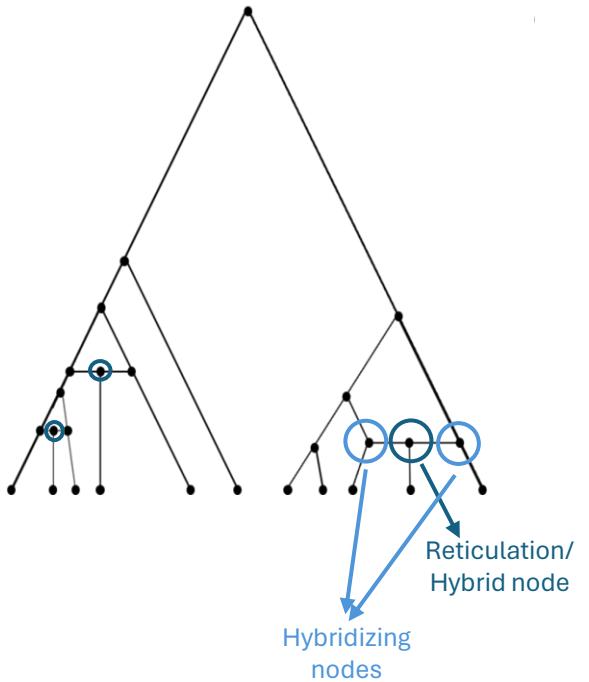


Galled Trees

A rooted binary tree

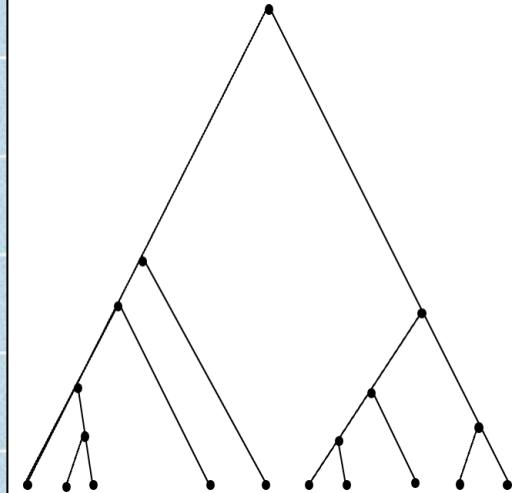


A galled tree

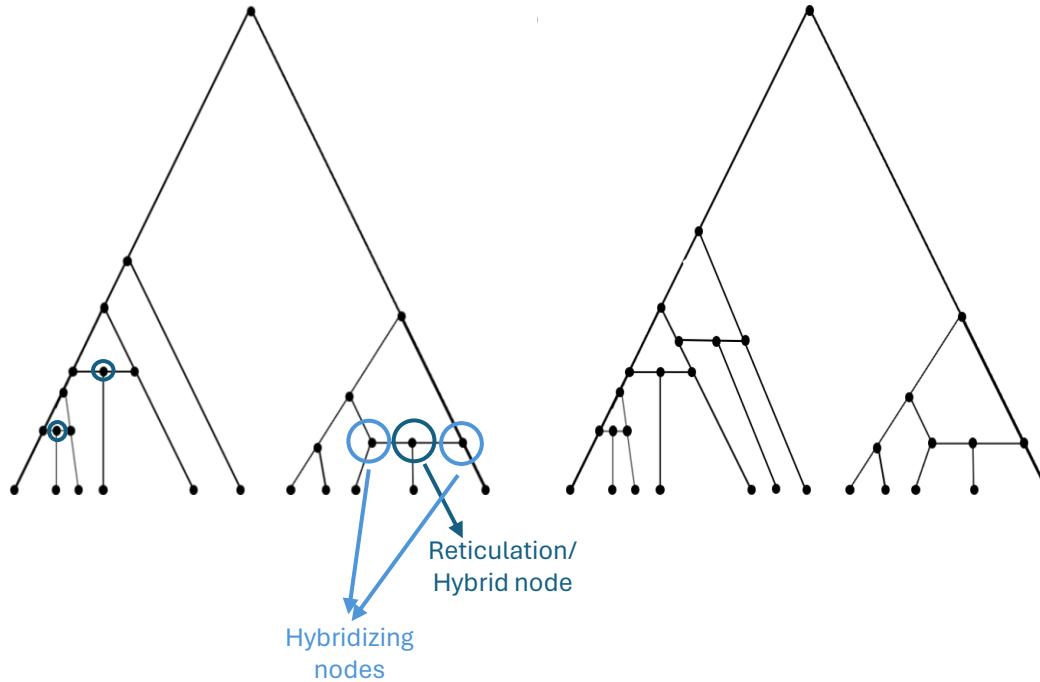


Galled Trees

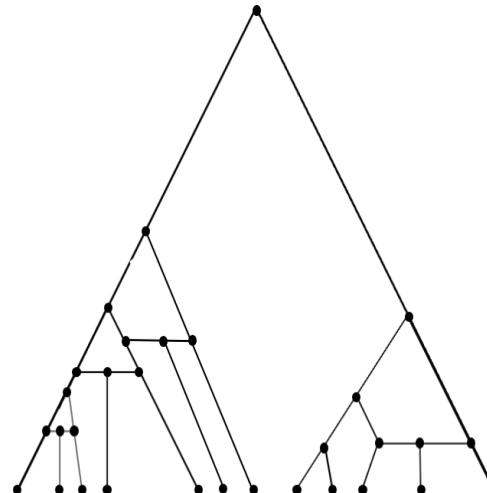
A rooted binary tree



A galled tree

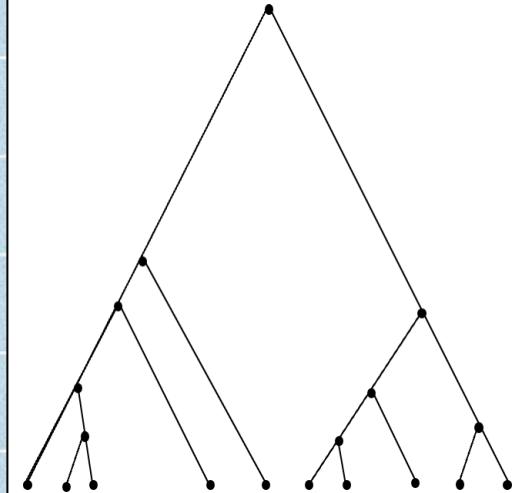


Not a galled tree

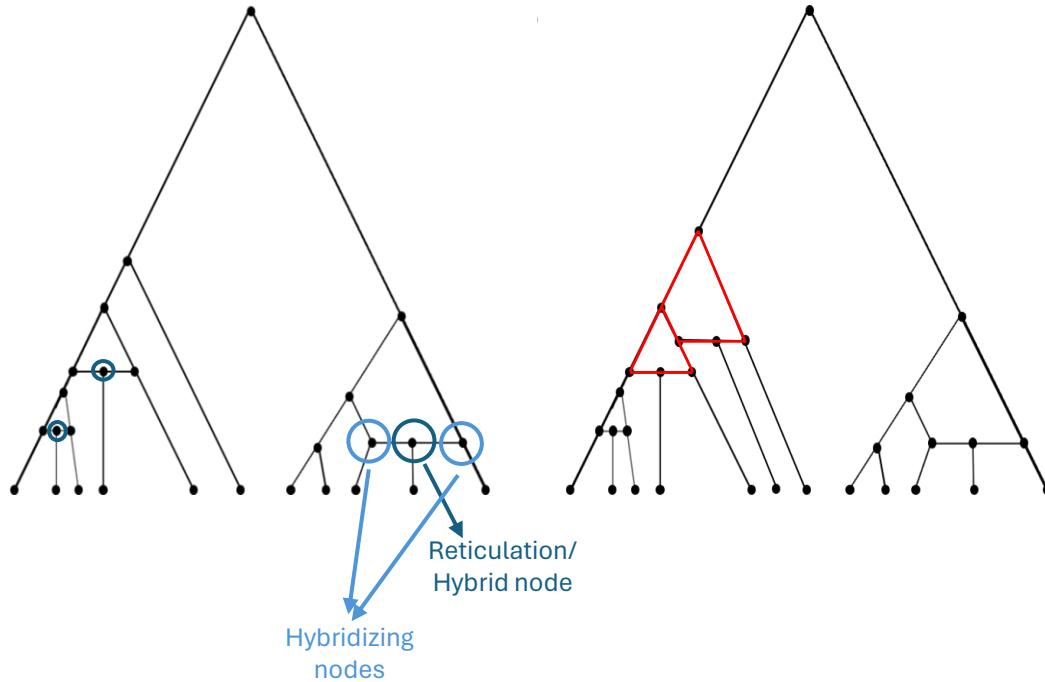


Galled Trees

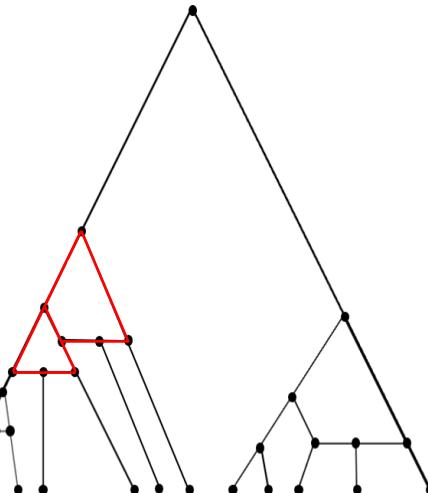
A rooted binary tree



A galled tree

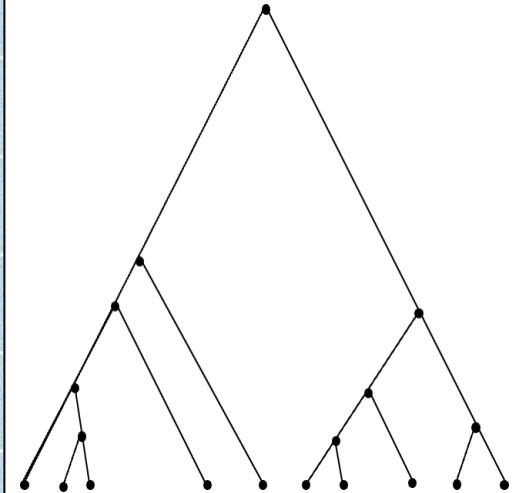


Not a galled tree

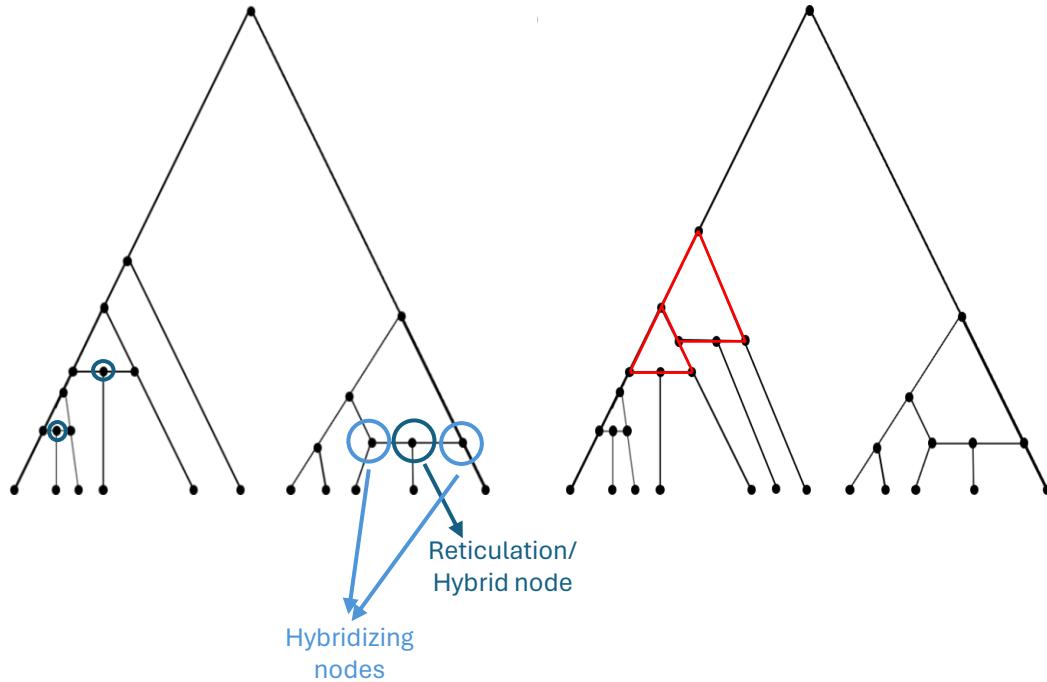


Galled Trees

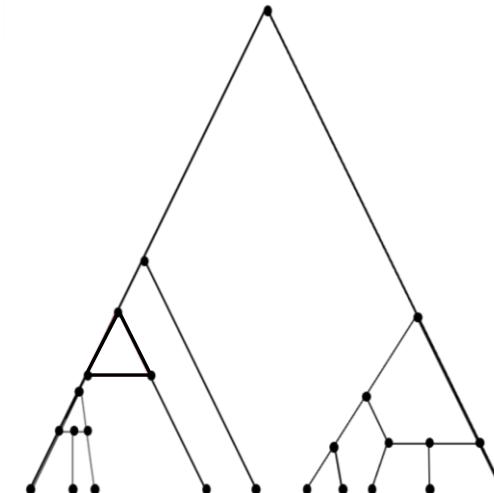
A rooted binary tree



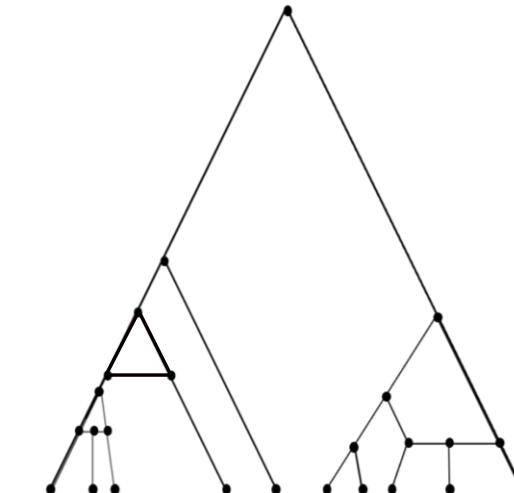
A galled tree



Not a galled tree

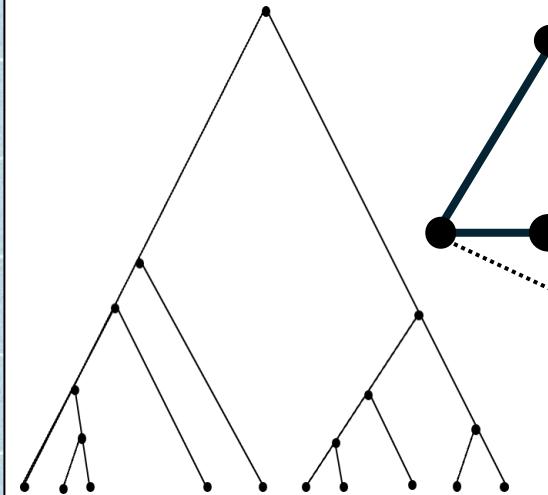


Not a galled tree

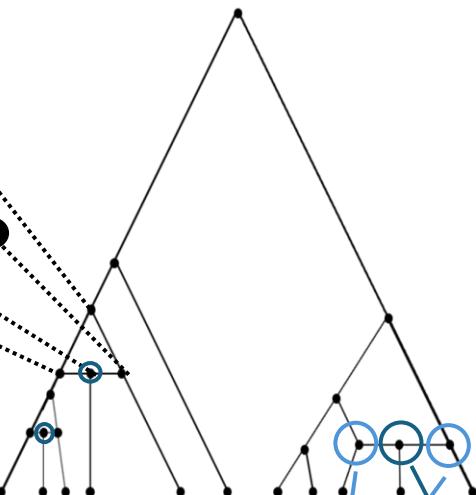


Galled Trees

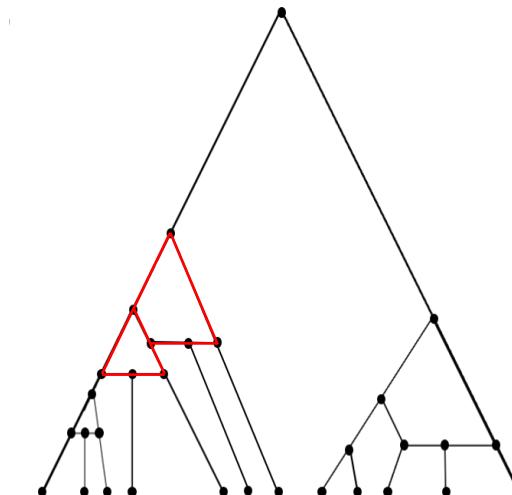
A rooted binary tree



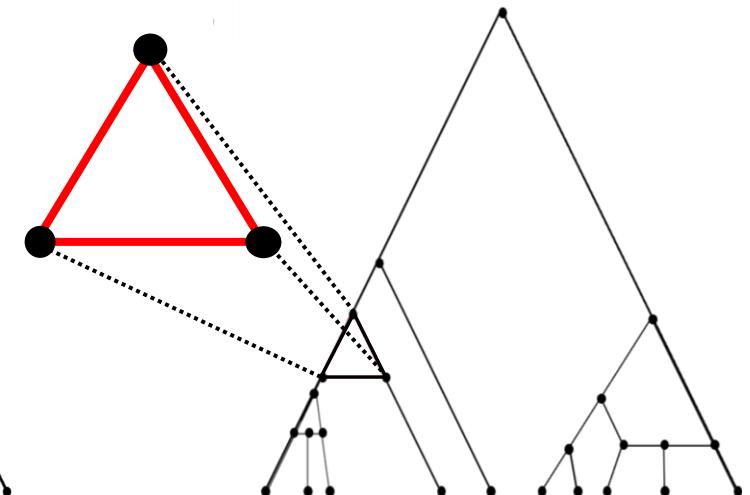
A galled tree



Not a galled tree



Not a galled tree

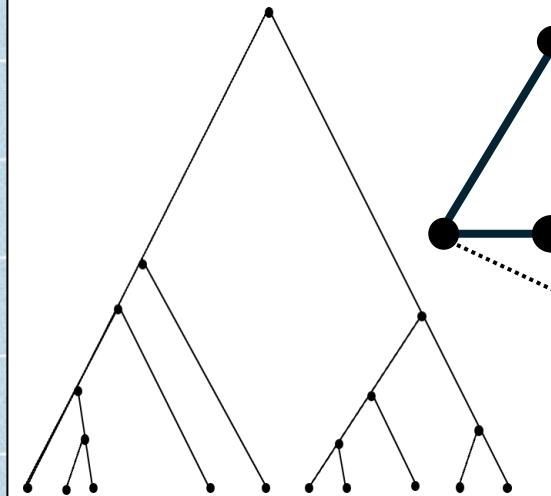


Hybridizing
nodes

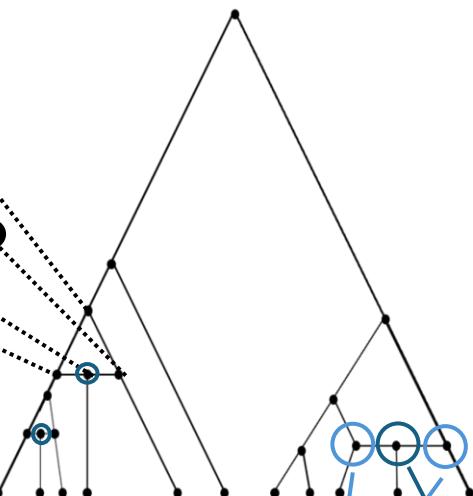
Reticulation/
Hybrid node

Galled Trees

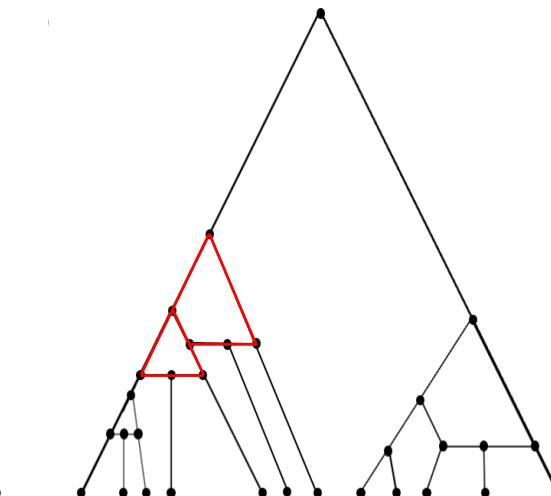
A rooted binary tree



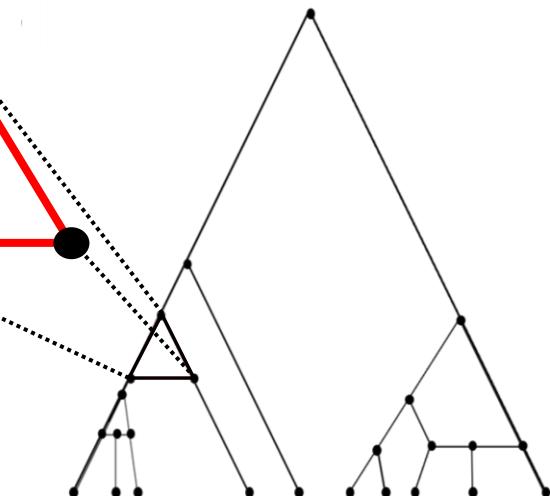
A galled tree



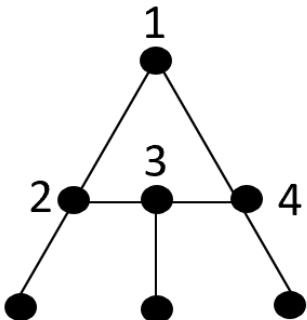
Not a galled tree



Not a galled tree



The smallest
galled tree



Hybridizing
nodes

Reticulation/
Hybrid node

Galled Trees

Galled Trees

Definitions

Galled Trees

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Ignoring the direction of edges, the two paths connecting r and a_r form a cycle C_r , known as a gall.

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Ignoring the direction of edges, the two paths connecting r and a_r form a cycle C_r , known as a gall.

Second, the set of nodes in the gall C_r , associated with reticulation node a_r , and the set of nodes in the gall C_s , associated with reticulation node a_s , are disjoint.

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Ignoring the direction of edges, the two paths connecting r and a_r form a cycle C_r , known as a gall.

Second, the set of nodes in the gall C_r , associated with reticulation node a_r , and the set of nodes in the gall C_s , associated with reticulation node a_s , are disjoint.

Third (time consistency, normality), the ancestor node r must be separated from a_r by at least two edges.

Definitions

A **rooted galled tree** is a rooted binary phylogenetic network in which three properties hold:

First, each reticulation node a_r has a unique ancestor node r such that exactly two non-overlapping paths of edges exist from r to a_r .

Ignoring the direction of edges, the two paths connecting r and a_r form a cycle C_r , known as a gall.

Second, the set of nodes in the gall C_r , associated with reticulation node a_r , and the set of nodes in the gall C_s , associated with reticulation node a_s , are disjoint.

Third (time consistency, normality), the ancestor node r must be separated from a_r by at least two edges.



<https://discoverandshare.org/2021/06/24/all-about-galls/>

Lecture Outline

Introduction – phylogenetic trees

Definition – galled trees

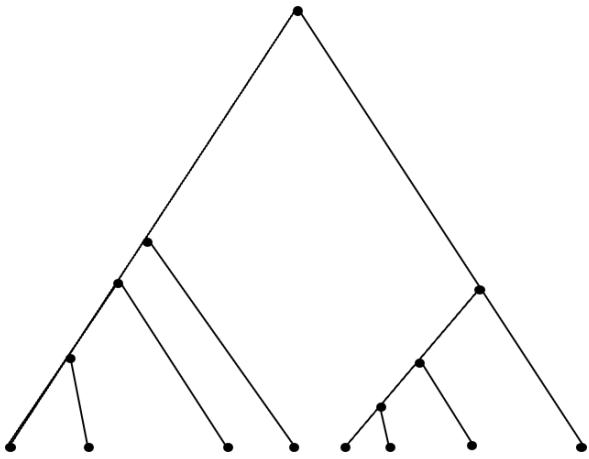
Previous work – the enumeration of galled trees and galled trees with one gall

Novel work – the enumeration of galled trees with a fixed number of galls

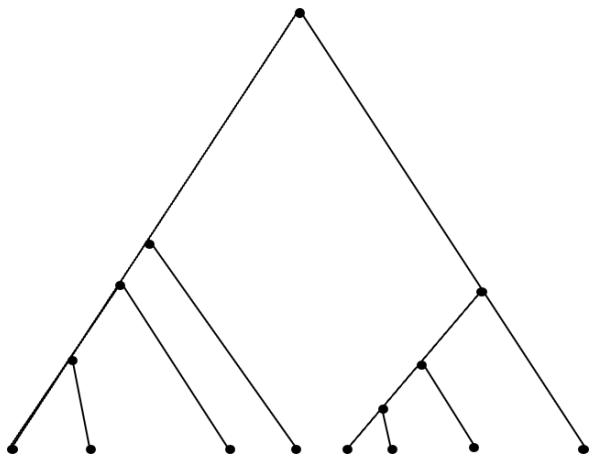
Summary

Rooted Unlabeled Binary Non-plane Trees

Rooted Unlabeled Binary Non-plane Trees



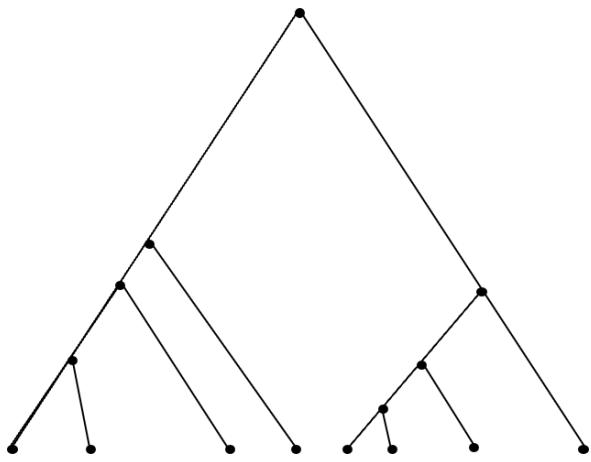
Rooted Unlabeled Binary Non-plane Trees



$$u(t) = t + \frac{1}{2}u^2(t) + \frac{1}{2}u(t^2)$$

Otter 1948, Comtet, 1974

Rooted Unlabeled Binary Non-plane Trees



$$\mathcal{U}(t) = t + \frac{1}{2}\mathcal{U}^2(t) + \frac{1}{2}\mathcal{U}(t^2)$$

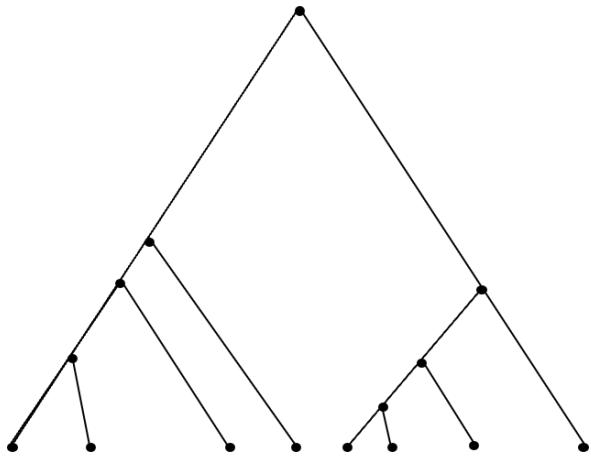
Otter 1948, Comtet, 1974

$$\mathcal{U}(t) \sim 1 - \gamma \sqrt{1 - \frac{t}{\rho}}$$

$$\rho \approx 0.4027$$
$$\gamma \approx 1.1301$$

Landau, 1977; Flajolet and Sedgewick, 2009

Rooted Unlabeled Binary Non-plane Trees



$$\mathcal{U}(t) = t + \frac{1}{2}\mathcal{U}^2(t) + \frac{1}{2}\mathcal{U}(t^2)$$

Otter 1948, Comtet, 1974

$$\mathcal{U}(t) \sim 1 - \gamma \sqrt{1 - \frac{t}{\rho}}$$

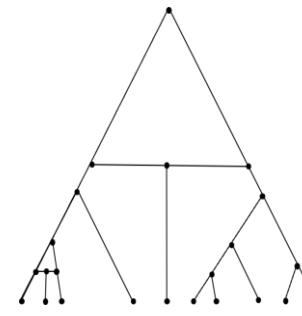
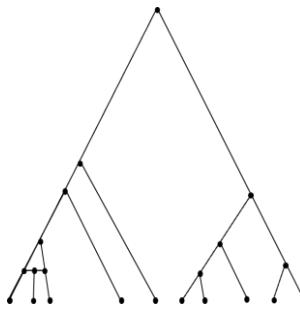
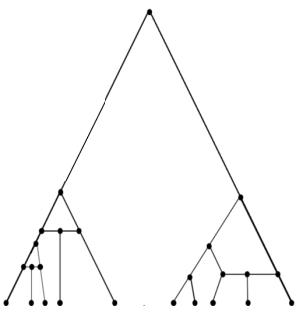
$$\rho \approx 0.4027$$
$$\gamma \approx 1.1301$$

$$[t^n]\mathcal{U}(t) \sim \frac{\gamma}{2\sqrt{\pi}} n^{-\frac{3}{2}} \rho^{-n}$$

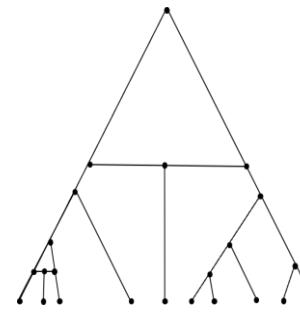
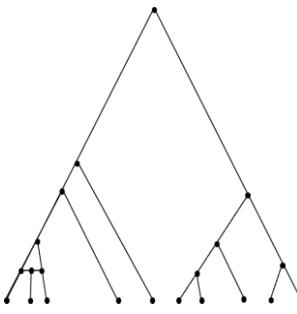
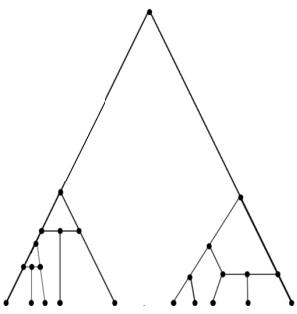
Landau, 1977; Flajolet and Sedgewick, 2009

Rooted Unlabeled Binary Non-plane Galled Trees

Rooted Unlabeled Binary Non-plane Galled Trees

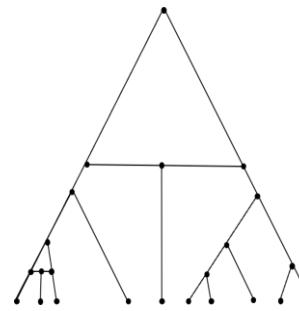
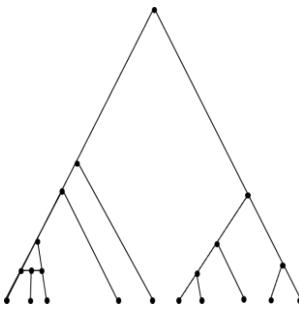
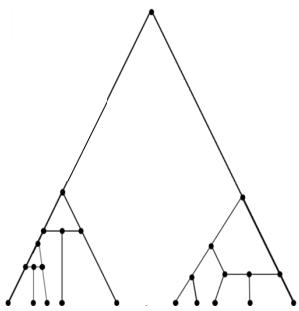


Rooted Unlabeled Binary Non-plane Galled Trees



$$\mathcal{A}(t) = 1 + t + \frac{1}{2}\mathcal{A}^2(t) + \frac{1}{2}\mathcal{A}(t^2) - \frac{1}{1-\mathcal{A}(t)} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t)]^2} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t^2)]}$$

Rooted Unlabeled Binary Non-plane Galled Trees

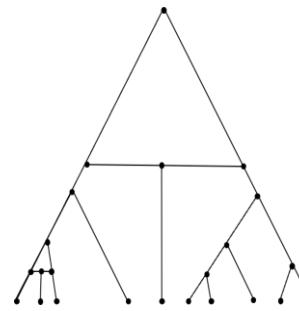
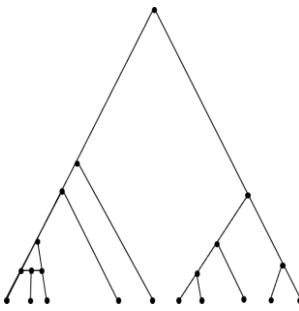
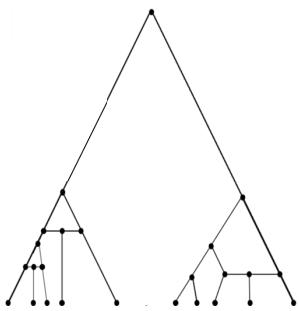


$$\mathcal{A}(t) = 1 + t + \frac{1}{2}\mathcal{A}^2(t) + \frac{1}{2}\mathcal{A}(t^2) - \frac{1}{1-\mathcal{A}(t)} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t)]^2} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t^2)]}$$

$$[t^n]\mathcal{A}(t) \sim \frac{\delta}{2\sqrt{\pi}} n^{-\frac{3}{2}} r^{-n}$$

$$r \approx 0.2073; \delta \approx 0.2793$$

Rooted Unlabeled Binary Non-plane Galled Trees



$$\mathcal{A}(t) = 1 + t + \frac{1}{2}\mathcal{A}^2(t) + \frac{1}{2}\mathcal{A}(t^2) - \frac{1}{1-\mathcal{A}(t)} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t)]^2} + \frac{\mathcal{A}(t)}{2[1-\mathcal{A}(t^2)]}$$

$$[t^n]\mathcal{A}(t) \sim \frac{\delta}{2\sqrt{\pi}} n^{-\frac{3}{2}} r^{-n}$$

$$r \approx 0.2073; \delta \approx 0.2793$$

$$\frac{1}{r} \approx 4.82; \frac{1}{\rho} \approx 2.48$$

Galled Trees with Exactly One Gall

Galled Trees with Exactly One Gall

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

No trees

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

No trees

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

No trees

No trees

$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

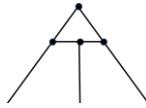
$n = 1$

$n = 2$

$n = 3$

No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

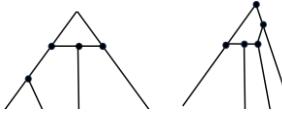
$n = 3$

$n = 4$

No trees

$g = 1$

No trees



g is the number of galls; n is the number of leaves

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

$n = 4$

$n = 5$

No trees

$g = 1$

g is the number of galls; n is the number of leaves



+14 more

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

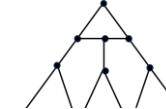
$n = 4$

$n = 5$

$n = 6$

No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves

+14 more

+47 more

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

$n = 4$

$n = 5$

$n = 6$

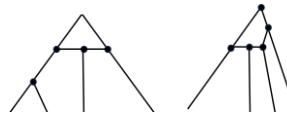
No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves



+14 more



+47 more

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

$n = 4$

$n = 5$

$n = 6$

No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves

+14 more

+47 more

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_1(t) \sim \frac{1}{2\gamma^3(1 - \frac{t}{\rho})^{\frac{3}{2}}}$$

Galled Trees with Exactly One Gall

$n = 1$

$n = 2$

$n = 3$

$n = 4$

$n = 5$

$n = 6$

No trees

No trees



$g = 1$

g is the number of galls; n is the number of leaves

+14 more

+47 more

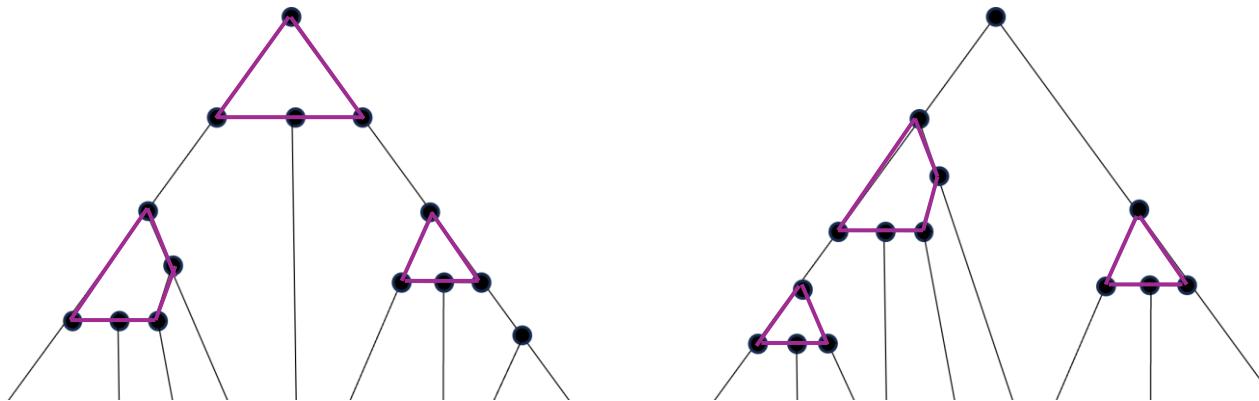
$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_1(t) \sim \frac{1}{2\gamma^3(1 - \frac{t}{\rho})^{\frac{3}{2}}}$$

$$[t^n] \mathcal{E}_1(t) \sim \frac{1}{\gamma^3 \sqrt{\pi}} n^{\frac{1}{2}} \rho^{-n}$$

Goal

Asymptotic enumeration of unlabeled galled trees
with a fixed number of galls



Lecture Outline

Introduction – phylogenetic trees

Definition – galled trees

Previous work – the enumeration of galled trees
and galled trees with one gall

Novel work – the enumeration of galled trees with a
fixed number of galls

Summary

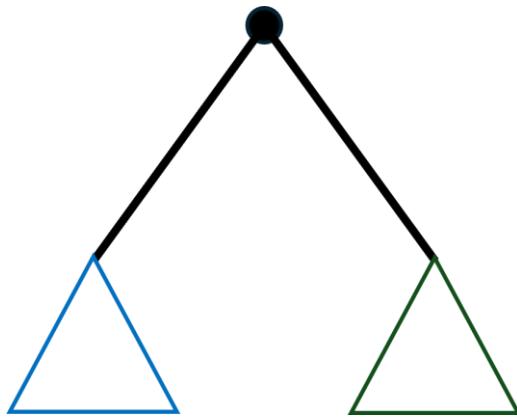
Recursion

No root gall

With a root gall

Recursion

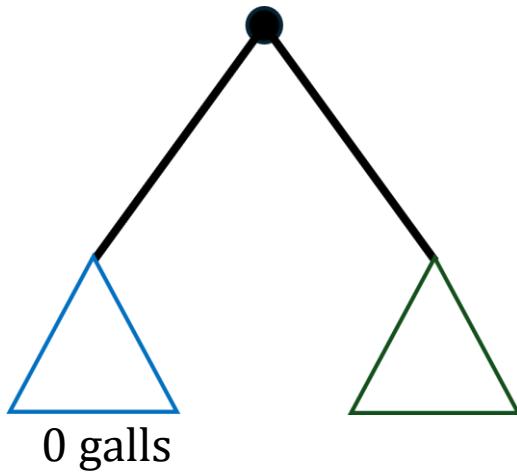
No root gall



With a root gall

Recursion

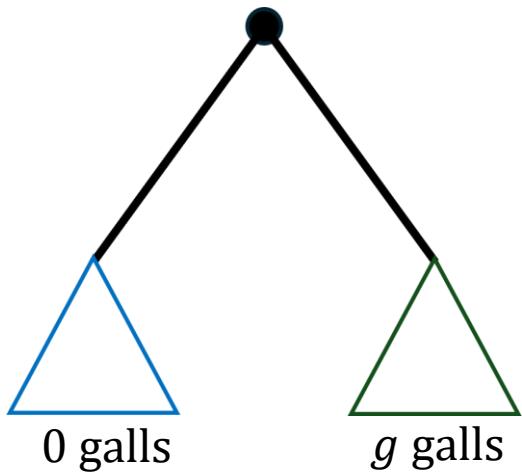
No root gall



With a root gall

Recursion

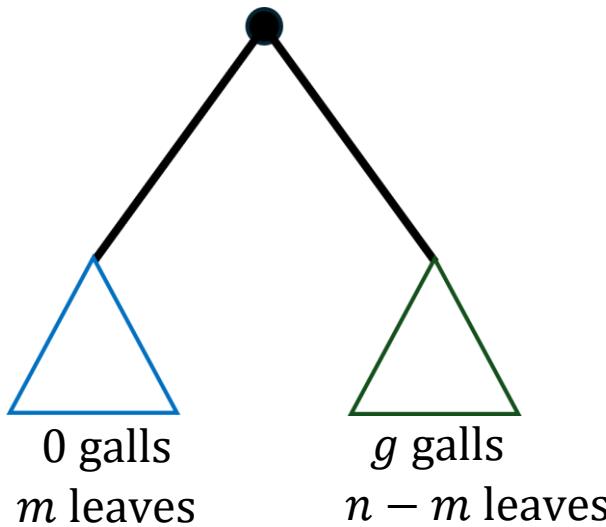
No root gall



With a root gall

Recursion

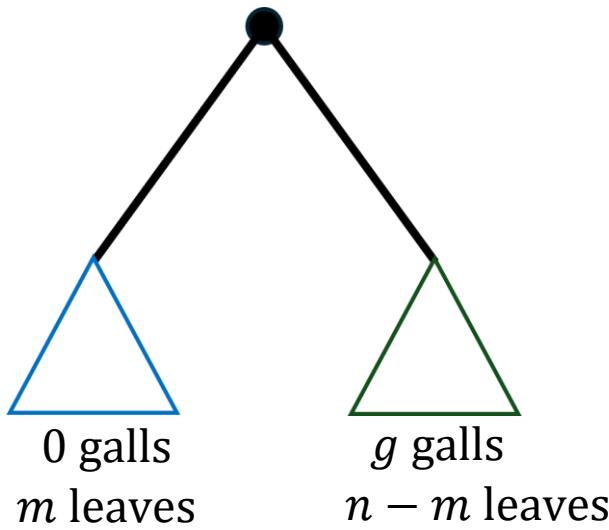
No root gall



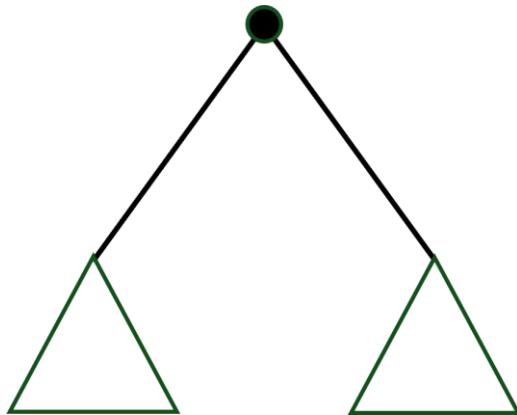
With a root gall

Recursion

No root gall

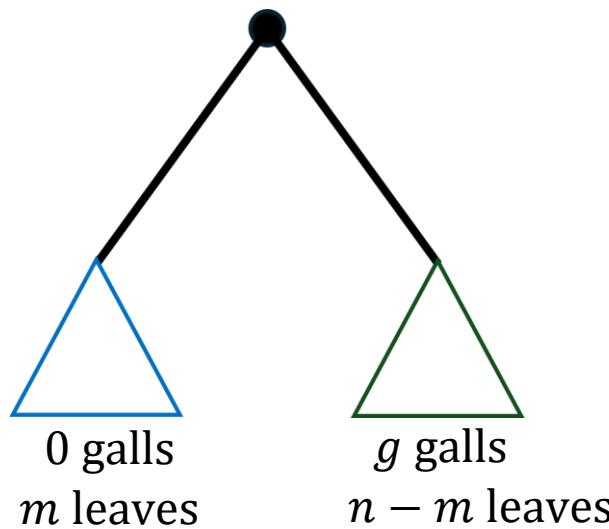


With a root gall

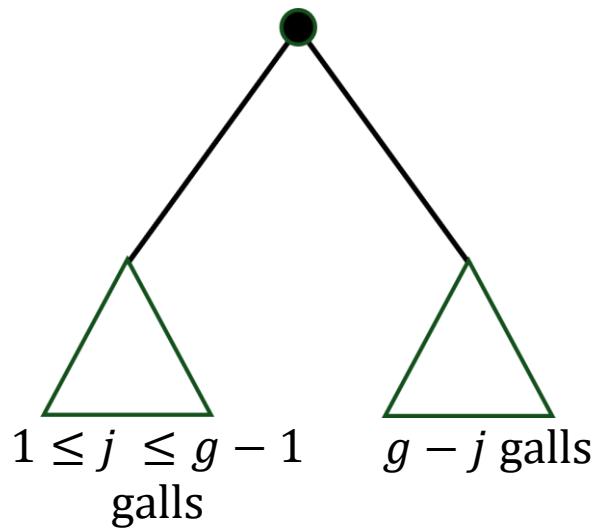


Recursion

No root gall

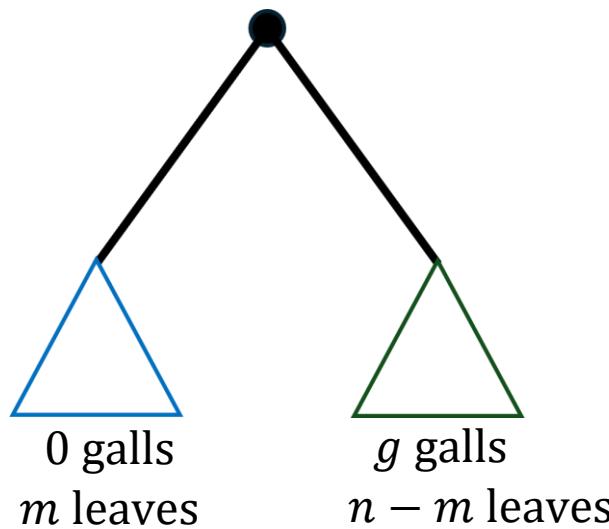


With a root gall

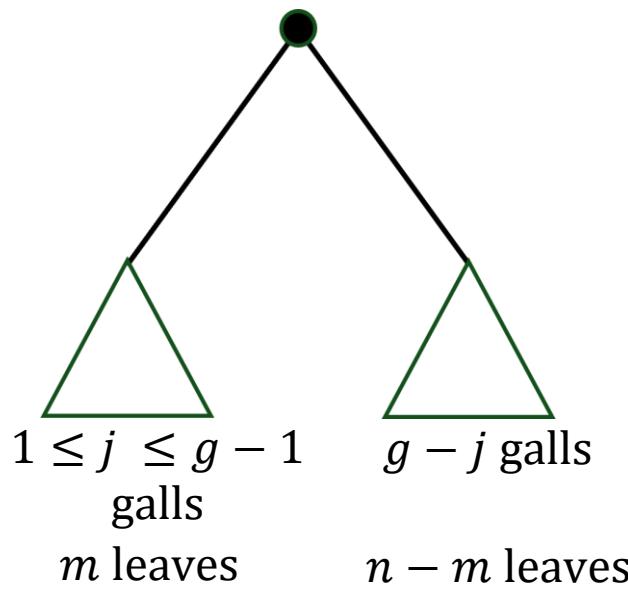


Recursion

No root gall

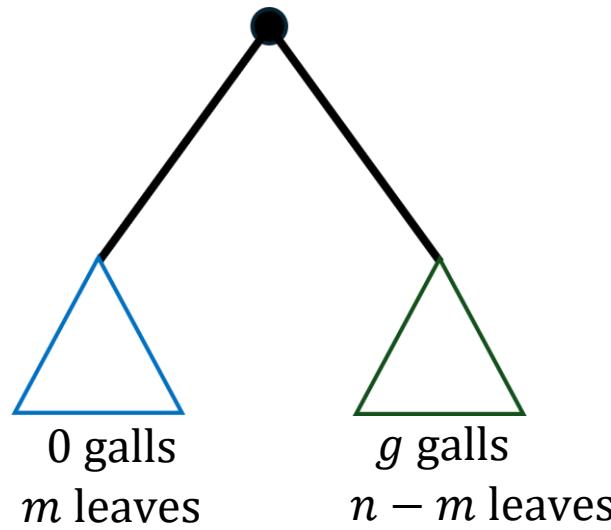


With a root gall

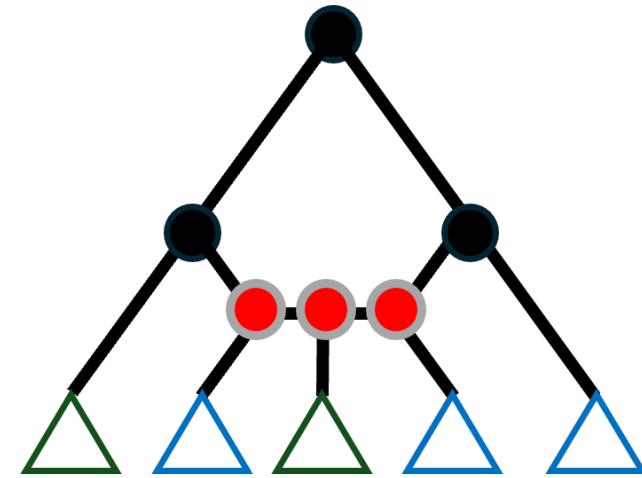


Recursion

No root gall

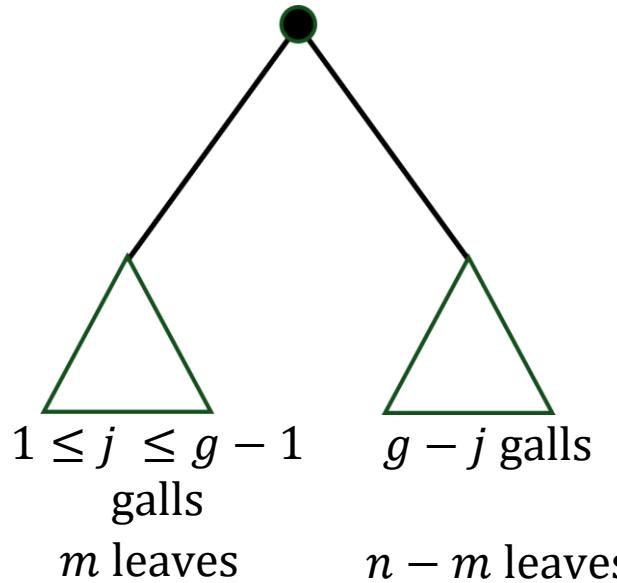
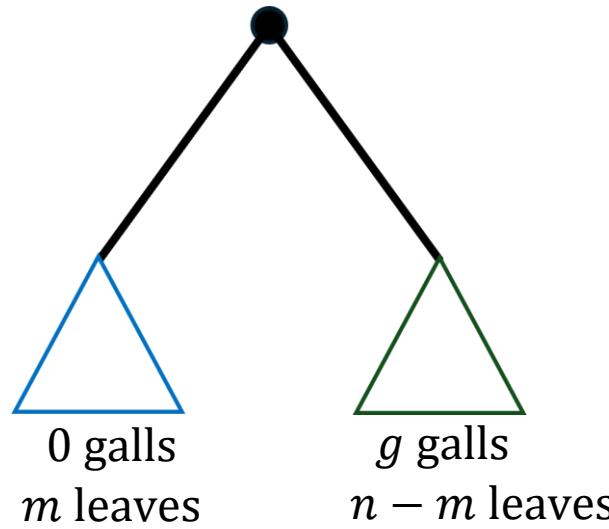


With a root gall



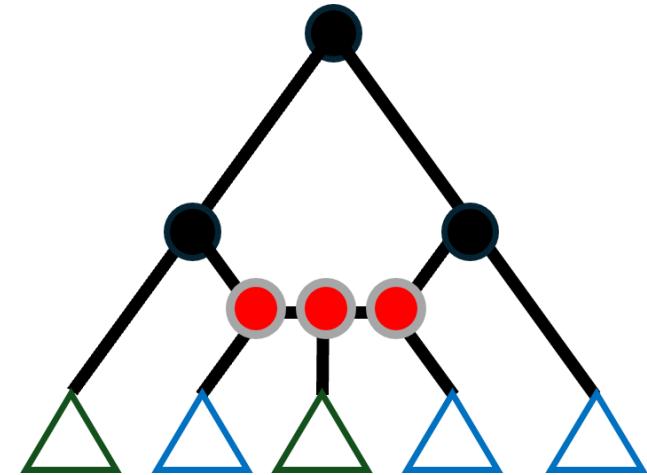
Recursion

No root gall



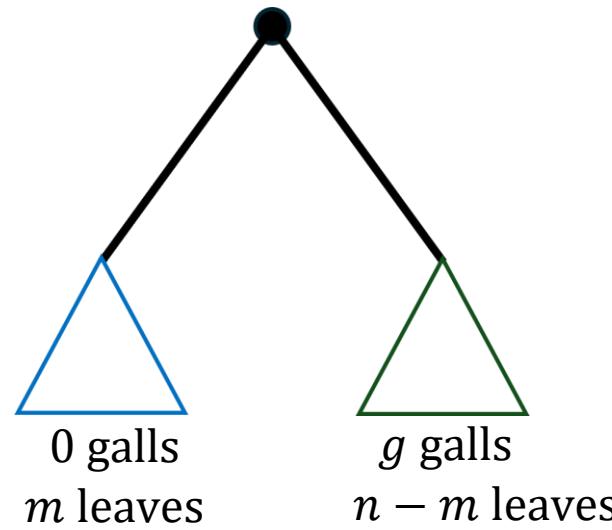
With a root gall

$3 \leq k \leq n$ main subtrees



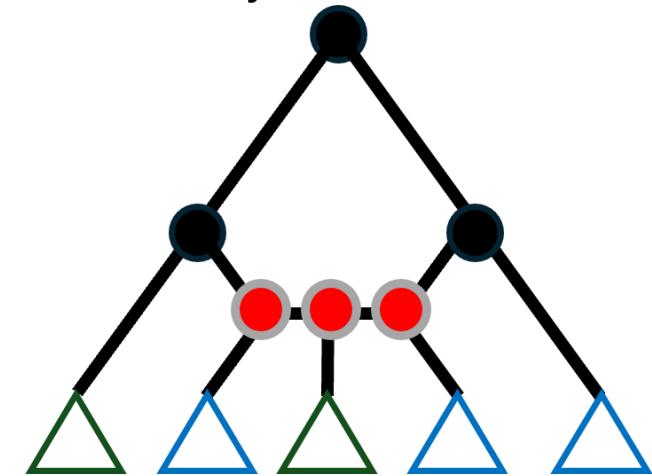
Recursion

No root gall



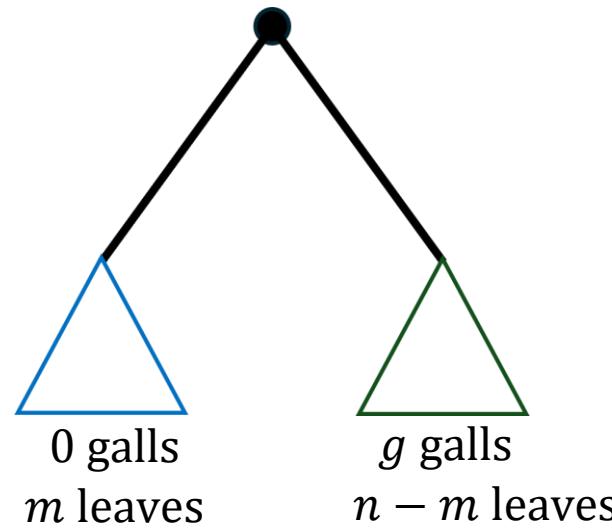
With a root gall

$3 \leq k \leq n$ main subtrees
 $k - 2$ possibilites
to place the hybrid node



Recursion

No root gall

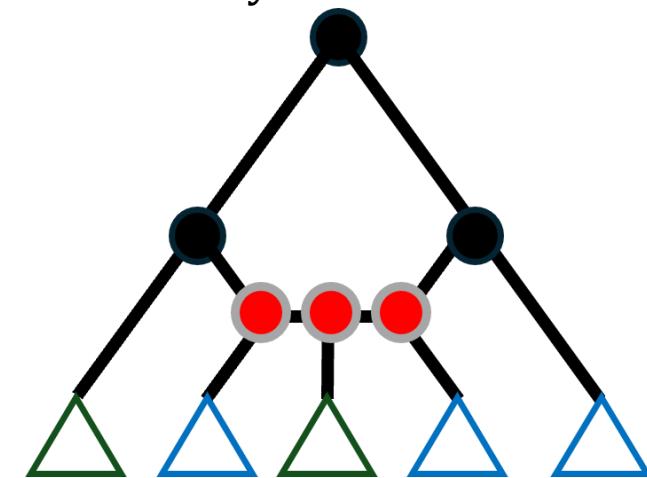


With a root gall

$3 \leq k \leq n$ main subtrees

$k - 2$ possibilites

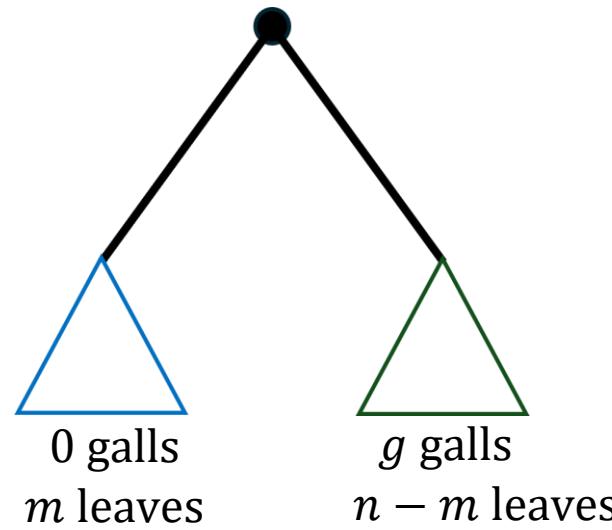
to place the hybrid node



$1 \leq l \leq \min\{g - 1, k\}$
main subtrees with galls

Recursion

No root gall

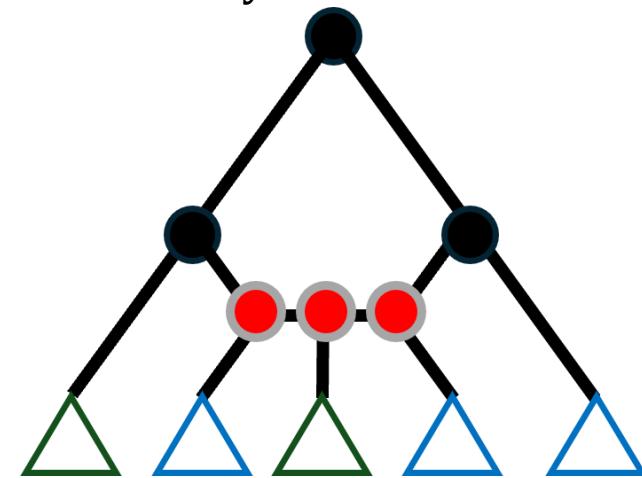


With a root gall

$3 \leq k \leq n$ main subtrees

$k - 2$ possibilites

to place the hybrid node

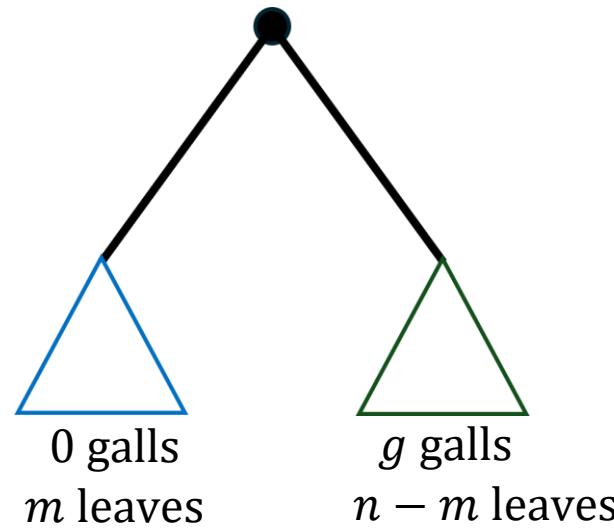


$1 \leq l \leq \min\{g - 1, k\}$
main subtrees with galls

m leaves

Recursion

No root gall

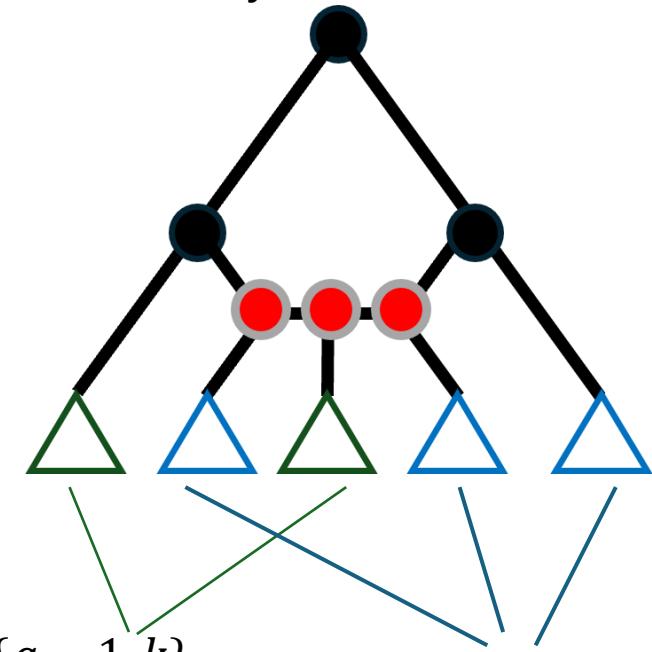


With a root gall

$3 \leq k \leq n$ main subtrees

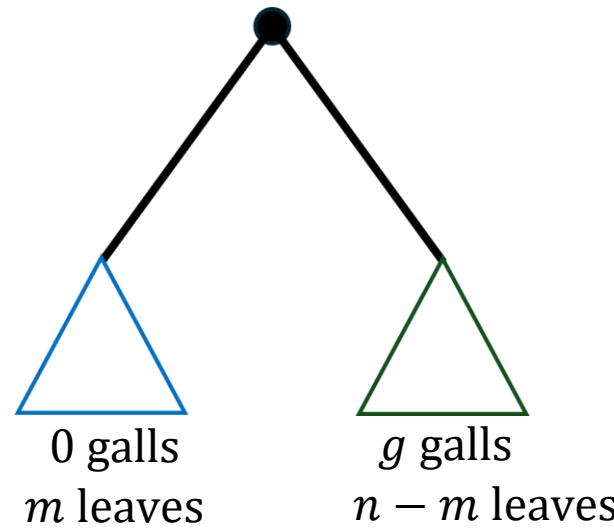
$k - 2$ possibilites

to place the hybrid node



Recursion

No root gall

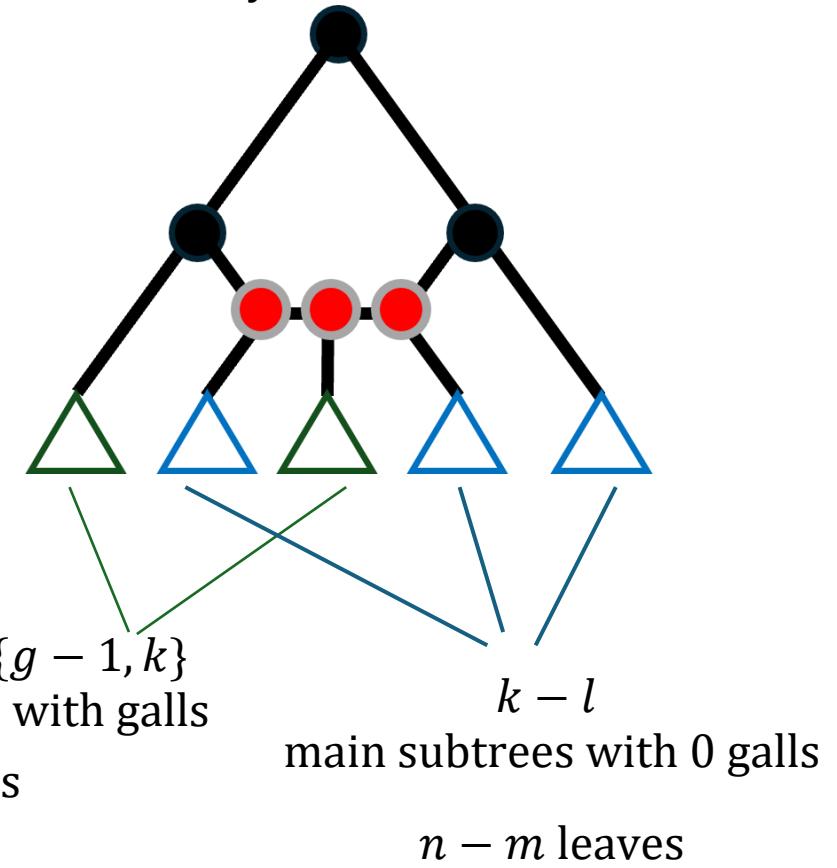


With a root gall

$3 \leq k \leq n$ main subtrees

$k - 2$ possibilites

to place the hybrid node



Asymptotic Analysis

Asymptotic Analysis

$$\varepsilon_1(t) = \frac{1}{1 - u(t)} - \frac{1}{[1 - u(t)]^2} + \frac{u(t)}{2[1 - u(t)]^3} + \frac{u(t)}{2[1 - u(t)][1 - u(t^2)]}$$

Asymptotic Analysis

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_2(t) = \frac{\mathcal{E}_1(t)}{2[1 - \mathcal{U}(t)]} \left[\mathcal{E}_1(t) + \frac{\mathcal{U}(t) + \mathcal{U}^2(t)}{[1 - \mathcal{U}(t)]^3} - \frac{1}{1 - \mathcal{U}(t)} + \frac{1}{1 - \mathcal{U}(t^2)} \right] + \frac{\mathcal{E}_1(t^2)}{2[1 - \mathcal{U}(t)]}$$

Asymptotic Analysis

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_2(t) = \frac{\mathcal{E}_1(t)}{2[1 - \mathcal{U}(t)]} \left[\mathcal{E}_1(t) + \frac{\mathcal{U}(t) + \mathcal{U}^2(t)}{[1 - \mathcal{U}(t)]^3} - \frac{1}{1 - \mathcal{U}(t)} + \frac{1}{1 - \mathcal{U}(t^2)} \right] + \frac{\mathcal{E}_1(t^2)}{2[1 - \mathcal{U}(t)]}$$

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_2(t) = \frac{\mathcal{E}_1(t)}{2[1 - \mathcal{U}(t)]} \left[\mathcal{E}_1(t) + \frac{\mathcal{U}(t) + \mathcal{U}^2(t)}{[1 - \mathcal{U}(t)]^3} - \frac{1}{1 - \mathcal{U}(t)} + \frac{1}{1 - \mathcal{U}(t^2)} \right] + \frac{\mathcal{E}_1(t^2)}{2[1 - \mathcal{U}(t)]}$$

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

$$\delta_1 = \frac{1}{2} \quad \mathcal{E}_1(t) \sim \frac{1}{2\gamma^3 (1 - \frac{t}{\rho})^{\frac{3}{2}}}$$

Asymptotic Analysis

$$\mathcal{E}_1(t) = \frac{1}{1 - \mathcal{U}(t)} - \frac{1}{[1 - \mathcal{U}(t)]^2} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)]^3} + \frac{\mathcal{U}(t)}{2[1 - \mathcal{U}(t)][1 - \mathcal{U}(t^2)]}$$

$$\mathcal{E}_2(t) = \frac{\mathcal{E}_1(t)}{2[1 - \mathcal{U}(t)]} \left[\mathcal{E}_1(t) + \frac{\mathcal{U}(t) + \mathcal{U}^2(t)}{[1 - \mathcal{U}(t)]^3} - \frac{1}{1 - \mathcal{U}(t)} + \frac{1}{1 - \mathcal{U}(t^2)} \right] + \frac{\mathcal{E}_1(t^2)}{2[1 - \mathcal{U}(t)]}$$

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

$$\delta_1 = \frac{1}{2} \quad \mathcal{E}_1(t) \sim \frac{1}{2\gamma^3 (1 - \frac{t}{\rho})^{\frac{3}{2}}}$$

$$g \geq 2$$

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

Asymptotic Analysis

Asymptotic Analysis

$$\varepsilon_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

$E_{n,g}$ – The number of galled trees with g galls and n leaves

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

$E_{n,g}$ – The number of galled trees with g galls and n leaves

$$E_{n,g} \sim \frac{\delta_g}{\gamma^{4g-1} \Gamma(2g - 1/2)} n^{2g - \frac{3}{2}} \rho^{-n}$$

$$\varepsilon_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1 - t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

$E_{n,g}$ – The number of galled trees with g galls and n leaves

$$E_{n,g} \sim \frac{\delta_g}{\gamma^{4g-1} \Gamma(2g - 1/2)} n^{2g - \frac{3}{2}} \rho^{-n}$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g - \frac{3}{2}} \rho^{-n}$$

$$\mathcal{E}_g(t) \sim \frac{\delta_g}{\gamma^{4g-1} (1-t/\rho)^{2g-1/2}}$$

Asymptotic Analysis

Asymptotic Analysis

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$2^{2g-1} \delta_g = C_{2g-1} \quad C_m \text{ is the } m^{\text{th}} \text{ Catalan number}$$

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$2^{2g-1} \delta_g = C_{2g-1}$$

C_m is the m^{th} Catalan number

$C_0 = 1, C_1 = 1, C_2 = 2, C_3 = 5, C_4 = 14, C_5 = 42, \dots$

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$2^{2g-1} \delta_g = C_{2g-1}$$

C_m is the m^{th} Catalan number

$C_0 = 1, C_1 = 1, C_2 = 2, C_3 = 5, C_4 = 14, C_5 = 42, \dots$

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

$$C_m = \frac{2^m (2m-1)!!}{(m+1)!}$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$2^{2g-1} \delta_g = C_{2g-1}$$

C_m is the m^{th} Catalan number

$C_0 = 1, C_1 = 1, C_2 = 2, C_3 = 5, C_4 = 14, C_5 = 42, \dots$

$$\delta_g = \frac{1}{2} \sum_{l=1}^{g-1} \left[\delta_l \delta_{g-l} + (l+1) \sum_{d \in C(g-1, l)} \prod_{j=1}^l \delta_{d_j} \right]$$

$$C_m = \frac{2^m (2m-1)!!}{(m+1)!}$$

$$E_{n,g} \sim \frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

$$E_{n,g} \sim \frac{2^{2g-1} \delta_g}{\gamma^{4g-1} (4g-3)!! \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

Asymptotic Analysis

$$E_{n,g} \sim \frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

Asymptotic Analysis

$$E_{n,g} \sim \frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

This includes $g = 0$

Asymptotic Analysis

$$E_{n,g} \sim \frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-\frac{3}{2}} \rho^{-n}$$

This includes $g = 0$

$$E_{n,g} \sim \frac{2^{0-1}}{(0)! \gamma^{0-1} \sqrt{\pi}} n^{0-\frac{3}{2}} \rho^{-n} = \frac{\gamma}{2\sqrt{\pi}} n^{-\frac{3}{2}} \rho^{-n} \sim [t^n] \mathcal{U}(t)$$

Asymptotic Analysis

The subexponential portion $\frac{2^{2g-1}}{(2g)! \gamma^{4g-1} \sqrt{\pi}} n^{2g-3/2} = c_g n^{2g-3/2}$

Number of galls g	Exact value of c_g	Approximate value of c_g	$n^{2g-3/2}$
0	$\frac{\gamma}{2\sqrt{\pi}}$	0.3188	$n^{-\frac{3}{2}}$
1	$\frac{1}{\gamma^3 \sqrt{\pi}}$	0.3910	$n^{\frac{1}{2}}$
2	$\frac{1}{3\gamma^7 \sqrt{\pi}}$	0.0799	$n^{\frac{5}{2}}$
3	$\frac{2}{45\gamma^{11} \sqrt{\pi}}$	0.0065	$n^{\frac{9}{2}}$
4	$\frac{1}{315\gamma^{15} \sqrt{\pi}}$	2.8638×10^{-4}	$n^{\frac{13}{2}}$
5	$\frac{2}{14175\gamma^{19} \sqrt{\pi}}$	7.8062×10^{-6}	$n^{\frac{17}{2}}$

Summary

Summary

We show an explicit formula for the asymptotic enumeration of unlabeled binary non-plane galled trees with exactly g galls. This includes unlabeled binary non-plane trees with no galls.

Summary

We show an explicit formula for the asymptotic enumeration of unlabeled binary non-plane galled trees with exactly g galls. This includes unlabeled binary non-plane trees with no galls.

From this, the exponential growth of galled trees with any fixed number of galls is the same as that of unlabeled trees with no galls (different from that of all galled trees).

Summary

We show an explicit formula for the asymptotic enumeration of unlabeled binary non-plane galled trees with exactly g galls. This includes unlabeled binary non-plane trees with no galls.

From this, the exponential growth of galled trees with any fixed number of galls is the same as that of unlabeled trees with no galls (different from that of all galled trees).

However, the subexponential growth, with the increase in the number of galls by 1, is greater by a factor of $\frac{4n^2}{\gamma^4(2g+1)(2g+2)}$.

Thank You

Acknowledgements

Noah Rosenberg



Michael Fuchs National Chengchi University



Bernhard Gittenberger Technische Universität Wien



L to R: Egor Lappo, Chloe Shiff, Xiran Liu, Noah Rosenberg, Kaleda Denton, Maike Morrison, Lily Agranat-Tamir

not pictured: Daniel Cotter, Juan Esteban Rodriguez Rodriguez, Kennedy Agwamba, Zarif Ahsan, Emily Dickey, Bradley Moon, Michael Doboli, Anna Lyubarskaja, Daniel Bauman

